



Report to:	Integrated Care Board				
Date of Meeting:	1 July 2022				
Subject:	Scheme of Reservation and Delegation (including the Operational Scheme of Delegation)				
Director Sponsor:	Executive Director of Corporate Affairs				
Author:	Corporate Affairs Team				
STATUS OF THE REPORT: (Please click on the appropriate box) Approve Discuss Assurance Information A Regulatory Requirement					
SUMMARY OF REPORT: The report presents the inaugural Scheme of Reservation and Delegation (SORD) for the NHS Humber and North Yorkshire Integrated Care Board (ICB).					
Relevant legislation provides the ICB with powers to delegate its functions to certain bodies, such as committees, collaboratives or individuals. Such delegations are set out within the SORD. It has been drawn up to reflect the ICB operating and governance frameworks and to facilitate safe and effective decision-making within the organisation.					
The Operational Scheme of Delegation (OSoD) is a supporting document to both the SORD and the Standing Financial Instructions (SFIs). It provides operational guidance on the ICB's delegation framework for all staff, including those who work for the ICB in an interim or agency basis.					
RECOMMENDATIONS: Members are asked to approve the following:					
i) The Scheme of Reservation and Delegation ii) The Operational Scheme of Delegation					
ICB STRATEGIC OBJECTIVE (please click on the boxes of the relevant strategic objective(s))					
Realising our vision		\boxtimes			
Improving outcomes	Improving outcomes in population health and healthcare				
Supporting broader social and economic development		\boxtimes			
Tackling inequalities	in outcomes experience and access	\boxtimes			

Delivering our operational plan 2022/23	\boxtimes
Developing our ICS	\boxtimes

IMPLICATIONS (Please state N/A against any domain where none are identified)					
Finance	Adoption of the SORD is an essential element to the robust financial governance for the ICB				
Quality	The SORD sets out delegations with respect to approval of system-level arrangements to minimise clinical risk, maximise patient safety and to secure continuous improvement in quality and patient outcomes.				
HR	The SORD and OSoD set out the means through which certain HR related matters are delegated within the ICB, supporting timely and efficient decision-making.				
Legal / Regulatory	Adoption of the SORD supports the maintenance of robust and legal decision-making within the ICB, therefore reducing the risk of legal challenge or judicial review.				
Data Protection / IG	N/A				
Health inequality / equality	N/A				
Conflict of Interest Aspects	N/A				
Sustainability	N/A				

ASSESSED RISK:

Risk

The failure to establish a comprehensive SORD and OSoD would significantly undermine the ICB's ability to deliver its objectives. As a mandated requirement to maintain a SORD, there is a high risk that formal intervention would be taken against the ICB by NHS England.

Mitigation

The proposed SORD and OSoD have been subject to comprehensive review and provide strong mitigation against the assessed risks.

MONITORING AND ASSURANCE:

The SORD and OSoD will be reviewed annually and the in-year effectiveness of their operation will be monitored via the work programmes of the Audit Committee and the Finance, Performance and Delivery Committee.

Assurance as to the effectiveness of their operation will also be given via the ICB Annual Governance Statement and the Head of Internal Audit Opinion.

ENGAGEMENT:

The SORD and OSoD have been subject to comprehensive engagement with subject matter experts and senior executive leads and directors within the ICB.

REPORT EXEMPT FROM PUBLIC DISCLOSURE	No	\boxtimes	Yes	
If yes, please detail the specific grounds for exemption				