

Report to:	Integrated Care Board
Date of Meeting:	9 th November 2022
Subject:	HNY ICB Primary Care: Delegation of Pharmacy, Optometry and Dental Services (POD)
Director Sponsor:	Amanda Bloor, Deputy Chief Executive / Chief Operating Officer
Author:	Julie Warren Interim Director of Primary Care and Assurance Helen Philips, Head of Primary Care, NHS England

STATUS OF THE REPORT: *(Please click on the appropriate box)*

Approve Discuss Assurance Information A Regulatory Requirement

SUMMARY OF REPORT:

In May 2022, NHS England (NHSE) set out its intention to delegate responsibility to all Integrated Care Boards (ICBs) for all pharmaceutical services, general ophthalmic services, and dental services (primary, secondary and community) in from April 2023.

The purpose of this paper is to:

- Provide assurance to the ICB Board as to the preparation and due diligence checks in place in preparing for the transferring of services.
- Provide an update on the approach being taken for the three identified areas that will be transferred and, in some areas, seek approval so that progress can continue at pace.

RECOMMENDATIONS:

Members are asked to:

- Pharmacy & Optometry services - Note that delegation of these functions is approved from April 2023 and that the team are aligned to the ICB.
- Community Pharmacy Delegation – approve the split of the current Pharmaceutical Services Regulations Committee to two separate ones for Yorkshire & Humber and Cumbria & North East.
- Commissioning of Dental Services – to support Option 2: Dental Commissioning delegated to the 3 Y&H ICBs with commissioning, undertaken by NHSE Commissioning Hub on behalf of ICBs.

ICB STRATEGIC OBJECTIVE *(please click on the boxes of the relevant strategic objective(s))*

Realising our vision	<input checked="" type="checkbox"/>
Improving outcomes in population health and healthcare	<input checked="" type="checkbox"/>
Supporting broader social and economic development	<input checked="" type="checkbox"/>
Tackling inequalities in outcomes experience and access	<input checked="" type="checkbox"/>

Delivering our operational plan 2022/23	<input checked="" type="checkbox"/>
Developing our ICS	<input checked="" type="checkbox"/>

IMPLICATIONS	
Finance	Due diligence group established with HNY Finance rep.
Quality	The ICB response will strengthen Primary Care services and will help to support tackle challenges driving pressures across the system.
HR	HR implications include the NHS England team transferring to the HNY ICB. This is currently under review and the Board will be updated on progress.
Legal / Regulatory	The ICB must ensure that it meets its statutory duties and actions within the paper will help to support meeting those duties.
Data Protection / IG	There are no immediate implications, however data protection/IG principles and legislation will need to be considered and reported on as required.
Health inequality / equality	There are no immediate health inequality / equality implications identified in this paper, however this will form part of the due diligence processes.
Conflict of Interest	No conflicts of interest have been identified prior to this meeting.
Sustainability	The ICB response will strengthen Primary Care services and will help to tackle challenges driving pressures across the system.

ASSESSED RISK: There are no immediate significant risks associated with this paper.

MONITORING AND ASSURANCE: The ICB Board will be kept up to date on developments which may impact upon the delivery of any agreed plans.

ENGAGEMENT: N/A

REPORT EXEMPT FROM PUBLIC DISCLOSURE	No <input checked="" type="checkbox"/> Yes <input type="checkbox"/>
If yes, please detail the specific grounds for exemption	

Humber and North Yorkshire ICB

Primary Care:

Delegation of Pharmacy, Optometry and Dental Services (POD) – April 2023

1.0 Introduction and Context

In May 2022, NHS England (NHSE) set out its intention to delegate responsibility to Integrated Care Boards (ICBs) for all pharmaceutical services, general ophthalmic services, and dental services (primary, secondary and community) from April 2023. In preparation for transferring delegation, a Pre-Delegation Assessment Framework (PDAF) has been developed and submitted by Humber & North Yorkshire ICB (HNY ICB) setting out risks and mitigations to support the safe transfer of services.

The HNY PDAF was approved by HNY Executive and signed off regionally. It was submitted to the National Moderation Panel in October 2022 prior to formal approval by the NHS England Board on 1 December 2022.

A regional POD delegation group has been established and representatives from the ICB have been part of the proposals. A different approach is being suggested for the 3 elements, which are detailed in this paper as follows:

1. Commissioning of Pharmacy and Optometry Services
2. Community Pharmacy Delegation
3. Commissioning of Dental Services

2.0 Commissioning of Pharmacy and Optometry Services

The ICB has agreed delegation of these functions will transfer from NHSE to the ICB April 2023 and that the NHSE primary care team supporting this are aligned to the ICB. Nationally NHSE is undertaking a capacity review and ICBs across NEY have flagged this as a risk to delegation. Assurance is sought that adequate resources and capacity to deliver the functions transfers at the time of delegation.

3.0 Community Pharmacy Delegation

As part of the transfer of delegation for Community Pharmacy and Dispensing Doctors there is the need to comply with regulations relating to market entry, eg applications to open new Community Pharmacies, to move premises, and change of opening hours, all of which are governed by the Pharmaceutical Services Regulations (PSR).

The current arrangements are a single Pharmaceutical Services Regulations Committee (PSRC) for Northeast and Yorkshire (NEY), which was established in August 2020.

From April 2023, it is proposed that the current NEY PSRC be split to establish one for Y&H and one for CNE. This is supported by HNY Executive Lead and NHSE NEY.

4.0 Commissioning of all Dental Services

HNY is part of a regional working group to explore the best option for commissioning and contracting dental services from 1 April 2023.

There are differences in availability and access to dental services, and inequalities across the different ICBs. Local work will continue to understand these and work with partners, providers and service users to develop priorities.

NHSE current priorities of work include:

- To reduce oral health inequalities and improve oral health in children under the age of 5
- Flexible commissioning
- To oversee national dental access and address area of weakest dental provision
- To ensure a consistent and fair approach to contract performance management is applied nationally to dental contracts
- Dental contract reform

To enable the ICB to be able to carry out the priorities as set out above and to oversee the commissioning and contracting of dental services, an option appraisal has taken place and four options have been considered across the three ICBs in Yorkshire and Humber (Y&H). The four options considered were: -

Option 1	No delegation to ICBs
Option 2	Dental Commissioning delegated to the 3 Y&H ICBs with commissioning, undertaken by NHSE Commissioning Hub on behalf of ICBs
Option 3	Delegation to ICBs and one ICB oversees on behalf of the other two in Y&H and;
Option 4	Each ICB takes on full delegation

The Executive Leads in the 3 ICBs with region recommend option 2. It maintains resilience and expertise of the dental team to support and work alongside place leads.

Locally we can work with Public Health England, Directors of Public Health and Places to develop our priorities for recruiting and retaining NHS dentists and encouraging them to continue with NHS contracts in light of local health needs.

5.0 Recommendations

The HNY ICB Board Members are being asked to:

- Pharmacy & Optometry services - Note that delegation of these functions is approved from April 2023 and that the team are aligned to the ICB.
- Community Pharmacy Delegation – approve the split of the current PSRC to two separate ones for Y&H and CNE.
- Commissioning of Dental Services – to support Option 2: Dental Commissioning delegated to the 3 Y&H ICBs with commissioning, undertaken by NHSE Commissioning Hub on behalf of ICBs.