



Report to:	Humber & North Yorkshire ICB Board
Date of Meeting:	10 May 2023
Subject:	Risk Management Policy
Director Sponsor:	Karina Ellis, Executive Director of Corporate Affairs
Authors:	Mike Napier, Director of Governance & Board Secretary

STATUS OF THE REPORT: *(Please click on the appropriate box)*

Approve Discuss Assurance Information A Regulatory Requirement

SUMMARY OF REPORT:

The Humber and North Yorkshire Integrated Care Board (HNY ICB) has a statutory and regulatory obligation to ensure that systems of control are in place to minimise the impact of all types of risk, which could affect patients, staff, public resources, and the function of the ICB. This includes both the risk to the organisation and the risk to those individuals to whom the ICB owes a duty of care.

The HNY ICB risk management system is designed to support the delivery of safe and effective health services for service users, staff and wider stakeholders. Risk Management is not about risk elimination; it is about encouraging appropriate risk-taking, ie those risks that have been evaluated and which are understood as well as is possible with currently available information. It is recognised that only through appropriate risk-taking will the HNY ICB be able to ensure high quality healthcare services are commissioned; successful organisations are by their nature are successful risk takers and aware of their risk appetite.

The HNY ICB Risk Management Policy is integral to the ICB's decision making and management processes and will be embedded at all levels across the organisation. The Risk Management Policy demonstrates the approach to risk management and ensures there is a system for monitoring the application of risk management within the ICB.

RECOMMENDATIONS:

Members are asked to:

- i) Approve the Risk Management Policy.

ICB STRATEGIC OBJECTIVE *(please click on the boxes of the relevant strategic objective(s))*

Realising our vision	<input checked="" type="checkbox"/>
Improving outcomes in population health and healthcare	<input checked="" type="checkbox"/>
Supporting broader social and economic development	<input checked="" type="checkbox"/>
Tackling inequalities in outcomes experience and access	<input checked="" type="checkbox"/>
Delivering our operational plan 2022/23	<input checked="" type="checkbox"/>
Developing our ICS	<input checked="" type="checkbox"/>

IMPLICATIONS	
Finance	The Finance, Performance and Delivery Executive Committee and will review any risks aligned to it, as detailed within the Risk Management Policy.
Quality	The Quality Committee and the Clinical and Professional Executive Committee are Committees of the ICB Board and will review any risks aligned to them, as detailed within the Risk Management Policy.
HR	The People Committee and Remuneration Committees are Committees of the ICB Board and will review any risks aligned to it, as detailed within the Risk Management Policy.
Legal / Regulatory	The HNY ICB has a statutory and regulatory obligation to ensure that systems of control are in place to minimise the impact of all types of risk, which could affect patients, staff, public resources, and the function of the ICB
Data Protection / IG	The Audit Committee is responsible for compliance in the areas of IG/Data Protection and will review any risks aligned to it, as detailed within the Risk Management Policy.
Health inequality / equality	All Committees of the ICB Board have a role in ensuring we enact our duties in relation to health inequalities.
Conflict of Interest Aspects	No conflicts of interest have been identified prior to the meeting. Conflicts of interest compliance will be considered within the review and detailed within the Committees annual reports.
Sustainability	Not applicable.

ASSESSED RISK: There are no significant risks aligned to this paper.

MONITORING AND ASSURANCE: The Risk Management Policy will be reviewed annually by the ICB Board. Earlier review may be required in response to exceptional circumstances, organisational change or relevant changes in legislation / guidance, as instructed by the senior manager responsible for this policy

ENGAGEMENT: Not applicable.

REPORT EXEMPT FROM PUBLIC DISCLOSURE No Yes
 If yes, please detail the specific grounds for exemption