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| Agenda Item No: | 19ii |
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| Report to: | Humber and North Yorkshire Integrated Care Board |
| Date of Meeting: | 8 November 2023 |
| Subject: | Artificial Intelligence Governance Policy |
| Director Sponsor: | Karina Ellis, Executive Director Corporate Affairs |
| Author: | Hayley Gillingwater – Senior Information Governance Manager |

STATUS OF THE REPORT: *(Please click on the appropriate box)*

Approve Discuss Assurance Information A Regulatory Requirement

SUMMARY OF REPORT:

The ICB recognises the importance of adopting Artificial Intelligence (AI) technologies while ensuring their ethical and responsible use. This AI Governance Policy serves as a guiding framework to ensure the appropriate deployment, management, and oversight of AI systems across NHS Humber & North Yorkshire Integrated Care Board.

The purpose of this policy is to establish clear guidelines for the development, implementation, and monitoring of AI systems to protect personal data, uphold ethical standards, and mitigate potential risks.

It is important to note that this AI policy is not exhaustive and may need to be adapted and updated periodically as technology advances, regulatory requirements evolve, and best practices in AI governance emerge.

RECOMMENDATIONS:

Members are asked to:

- i) Approve this policy.

ICB STRATEGIC OBJECTIVE *(please click on the boxes of the relevant strategic objective(s))*

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| Managing Today | <input checked="" type="checkbox"/> |
| Managing Tomorrow | <input checked="" type="checkbox"/> |
| Enabling the Effective Operation of the Organisation | <input checked="" type="checkbox"/> |

IMPLICATIONS *(Please state N/A against any domain where none are identified)*

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| Finance | N/A |
| Quality | N/A |
| HR | People Impact Assessments will be completed where implementation of AI impacts the workforce. |
| Legal / Regulatory | Complies with requirements of Data Protection Legislation. |
| Data Protection / IG | When implementing AI staff must adhere to the conditions set out in <u>Article 22</u> of the UK General Data Protection Regulation in relation to automated individual decision making, including profiling. – Individuals have the right not to be subject to automated decision making. |
| Health inequality / equality | An equality impact assessment has been completed and is attached at Appendix B. |
| Conflict of Interest Aspects | N/A |
| Sustainability | A Sustainability Impact Assessment has been undertaken. No positive or negative impacts were identified against the twelve sustainability themes. However, the policy was amended to include a people impact assessment as part of the requirements for the introduction of new AI technologies. |

ASSESSED RISK:

No risk with regards to the policy as this relates to the governance requirements of implementing AI not the implementation itself. Each use case of AI will be risk assessed on a case-by-case basis.

MONITORING AND ASSURANCE:

N/A, just seeking approval of the policy.

ENGAGEMENT:

Please see collated policy feedback at Appendix C for details of engagement.

REPORT EXEMPT FROM PUBLIC DISCLOSURE

No Yes

If yes, please detail the specific grounds for exemption.