

Item 14



HNY ICB Committee Assurance and Escalation Report

Report to: HNY Integrated Care Board

Report from: Remuneration Committee

Date of meeting: 10 June 2024

Committee Chair: Mark Chamberlain, Non-Executive Director

Director Sponsor: Jayne Adamson, Executive Director of People and Karina Ellis, Executive Director of Corporate Affairs

Author: Emma Kirkwood, Head of Transformational HR

Key agenda items covered by the meeting

(A bulleted list of the key agenda items discussed at the meeting)

- Executive Performance and Appraisals
- Talent Management and Succession Planning
- VSM Consultation
- Associate NEDS
- Extension of Executive Director of Strategy and Partnerships

ALERT

(BY EXCEPTION ONLY - key matters and / or risks to alert or escalate to the ICB Board)

- **None**

ADVISE AND / OR ASSURE

(BY EXCEPTION ONLY - Key decisions and any updates to advise the ICB Board on the matters the Committee was able to take assurance on or where additional information was required)

- Received assurance on the progress of the Talent management and succession planning work at system level and the intrinsic links between the system level talent management and leadership development initiatives and the ICB's internal leadership development actions and succession planning.
- Assurance received that the outcomes of the voluntary redundancy scheme were in accordance with the scheme outline and advise that the individual VR cases have been approved for submission to NHSE for their approval.
- Approved Associate NED development programme.

END.



HNY ICB Committee Assurance and Escalation Report

Report to: HNY Integrated Care Board

Report from: Workforce Board

Date of meeting: Monday 17 June 2024

Committee Chair: Jason Stamp, Senior Responsible Officer for Workforce

Director Sponsor: Jayne Adamson, Executive Director of People

Author: Carly McIntyre, Senior Officer: Workforce Transformation

Key agenda items covered by the meeting

(A bulleted list of the key agenda items discussed at the meeting)

- [Risk register](#) review.
- Humber and North Yorkshire Health and Care Partnership update - verbal
 - ICB Board
- Breakthrough 2024/25 programme [update](#) (slides 1 and 2)
- Committee updates
 - [People Story](#)
 - [Paybill and Agency Management plan](#) (slide 3)
 - [Inclusion Assembly plan](#)
- Talent, leadership and succession [update](#)
- Operational planning – verbal
- Items for information only
 - [HNY Workforce Transformation – NHS Futures](#)

ALERT

(BY EXCEPTION ONLY - key matters and / or risks to alert or escalate to the ICB Board)

Nil

ADVISE AND / OR ASSURE

(BY EXCEPTION ONLY - Key decisions and any updates to advise the ICB Board on the matters the Committee was able to take assurance on or where additional information was required)

- Risk register reviewed and agreed by members.
- Inclusion Assembly plan agreed by members.
- Paybill and Agency Management Committee delivery plan agreed by members.
- Talent management, leadership development and succession planning principles agreed by members.

END.



HNY ICB Committee Assurance and Escalation Report

Report to: HNY Integrated Care Board

Report from: Audit Committee

Date of meeting: 20 June 2024

Committee Chair: Stuart Watson, Non-Executive Director

Director Sponsor: Emma Sayner, Acting Executive Director of Finance and Investment
Karina Ellis, Executive Director of Corporate Affairs

Author: Mike Napier, Director of Governance and Board Secretary

Key agenda items covered by the meeting

(A bulleted list of the key agenda items discussed at the meeting)

- External Audit Completion Report and Letter of Representation
- ICB Audited Annual Accounts 23/24
- Year End Governance Assurance Update, including the ICB Annual Report 2023/24
- Assurance of other Committees of the Board
- External Audit Progress Report
- Internal Audit Annual Report 2023/24, including draft Head of Internal Audit Opinion 2023/24
- Draft Internal Audit Plan 2024/25 and Progress Report
- Counter Fraud Annual Report 2023/24 and Progress Report
- HNY Audit Committee Workplan

ALERT

(BY EXCEPTION ONLY - key matters and / or risks to alert or escalate to the ICB Board)

- The Audit Committee will receive a report at its next meeting setting out the risks, and their mitigations, associated with the potential migration of the ICB to a cloud-based financial management / accounting system as part of a national programme.

ADVISE AND / OR ASSURE

(BY EXCEPTION ONLY - Key updates to advise the ICB Board on the matters the Committee was able to take assurance on or where additional information was required)

- The Audit Committee recommended approval by the ICB Board of the ICB Annual Accounts and Annual Report 2023/24.
- The Committee was assured through the draft Annual Governance Statement and the significant assurance opinion provided by the Head of Internal Audit that a sound system of internal control has been maintained by the ICB.

END.



HNY ICB Committee Assurance and Escalation Report

Report to: HNY Integrated Care Board

Report from: HNY Finance, Performance and Delivery Committee

Date of meeting: 24 June 2024

Committee Chair: Richard Gladman

Executive Director Sponsor: Emma Sayner

Author: Dilani Gamble

REMINDER – THIS REPORT SHOULD BE NO MORE THAT TWO PAGES LONG PLEASE

<p>Key agenda items covered by the meeting <i>(A bulleted list of the key agenda items discussed at the meeting)</i></p>
<ul style="list-style-type: none"> • Financial Plan for 2024/25 for both Revenue and Capital • Month 2 Financial Position • HNY Productivity & Efficiency Framework • Procurement Register and Pipeline Update • Overview of Procurement Act legislation 2023 • ICB Finance Team Transformation
<p>ALERT <i>(BY EXCEPTION ONLY - key matters and / or risks to alert or escalate to the ICB Board)</i></p>
<ul style="list-style-type: none"> • Whilst still early in the financial year a discussion took place around engagement with the wider ICS on how HNY approaches financial reporting for 24/25, delivers the agreed financial plan, and moves towards longer term sustainability. • An escalated conversation has been scheduled with Chief Executive and Director of Finance colleagues across the system to discuss on 1 July. Actions flowing from this discussion will feed into the Board and FPD in due course.
<p>ADVISE AND / OR ASSURE <i>(BY EXCEPTION ONLY - Key updates to advise the ICB Board on the matters the Committee was able to take assurance on or where additional information was required)</i></p>
<ul style="list-style-type: none"> • An update was given to the committee on the final Finance plan submission which had been submitted on 12th June 2024 and the £50m deficit agreed with NHS England as the Revenue Financial Plan Limit for the ICS for 2024/25. • The committee reviewed the high-level information available across the system for the Month 2 position and noted the challenges associated with the completion given the timing of plan submission and in year reporting timelines colliding.

- An update was given on the ICS efficiency plan of £235m and the efficiency plan for the ICB of £63m. This included an update on the level of unidentified efficiency at plan stage and the recurrent and non-recurrent efficiencies in the plan.
- An update was given on the capital plan and a presentation was given on the changes from May draft plan to the final June plan submission.
- An update was given on Procurement Act 2023 legislation and the status of the ICB procurement register and pipeline.
- An update was given on the finance team transformation and work underway to reorganise the ICB finance function to better enable a response to the competing priorities of the organisation and system in as efficient and effective way as possible.
- A refresh of the terms of reference, controls and assurance, and workplan have been discussed subsequent to the formal FPD and the output of those changes will be reported to Board in due course.

END.



HNY ICB Committee Assurance and Escalation Report

Report to: HNY Integrated Care Board

Report from: HNY Integrated Care Partnership

Date of meeting: 26 June 2024

Committee Chair: Councillor Jonathon Owen / Sue Symington ICB Chair

Executive Director Sponsor: Karina Ellis, Director Corporate Affairs

Author: Nicky Lowe, Head of Corporate Affairs and System Support

<p>Key agenda items covered by the meeting <i>(A bulleted list of the key agenda items discussed at the meeting)</i></p>
<ul style="list-style-type: none"> • Updates provided from <ul style="list-style-type: none"> ○ Chair of the ICB/ICB Chief Executive ○ Local Government Partnership ○ Shared Framework for Excellence Sustainability ○ Futures Group • Integrated Strategy for Wellbeing, Health & Care • Outcomes Framework • Ageing Well including demographics, Frailty Service update and Age Friendly Cities and Communities
<p>ALERT <i>(BY EXCEPTION ONLY - key matters and / or risks to alert or escalate to the ICB Board)</i></p>
<ul style="list-style-type: none"> • Noted the upcoming Junior Doctor strikes and the ballot released in the public domain by the BMA regarding GP industrial action. • Noted the impact of the cyber security incident in London.
<p>ADVISE AND / OR ASSURE <i>(BY EXCEPTION ONLY - Key updates to advise the ICB Board on the matters the Committee was able to take assurance on or where additional information was required)</i></p>
<ul style="list-style-type: none"> • Integrated Care Partnership – Update from HNY ICB regarding: <ul style="list-style-type: none"> ○ Recognition of the work of Regional Director Richard Barker who is retiring. ○ Development of work around Design for the Future. • Local Government Partnership – Update from Councillor Owen describing key topics within local authorities including: <ul style="list-style-type: none"> ○ Public health funding ○ Workforce strategies ○ Balancing of statutory requirements for public and population health with prevention and community development.

- **Futures Group** – Update from HNY Connected which is running proof-of-concept pilots focusing on children and young people (CYP) in North East Lincolnshire and York Places. An agreement was reached to **explore the Liberated Method** to enhance the Integrated Neighbourhood Teams approach.
- **Shared Framework for Excellence Sustainability** – there was support for the draft Place Framework, which includes sections on the delegation of resource/decision-making, and of collaborative working.
- **Integrated Strategy for Wellbeing, Health & Care** – update that the plan had been socialised amongst various stakeholders, committees and the ICB Board. The strategy focuses on commitment to a person-centred approach and listening to the voices of those the people we serve and aims to increase healthy life expectancy and narrow the gap in healthy life expectancy. It was agreed that implementation requires a strong partnership approach and system investment to empower collaboration. **The final version of the Strategy was received and approved.**
- **Outcomes Framework** – update on the draft framework and assessment of measures. This included the proposed deployment of decision support units/ strategic intelligence functions. The Partnership agreed to receive a detailed report at the next meeting.
- **Ageing Well** – updates were provided covering various topics including:
 - Population demographics and the intersectionality of deprivation and other wider determinants of health, and how this creates challenges. Various potential responses to these challenges were discussed, with the Partnership recognising prevention and rebalancing of health and care as favoured responses.
 - Frailty and anticipatory care which noted the work of the Community Centre of Excellence for Frailty. A **Frailty Strategy** is being developed underpinned by the place-level framework based on 10 established principles for frailty care.
 - Age-friendly cities and communities - an effort-based concept developed by the World Health Organisation. It requires a local response to encourage active ageing by optimising opportunities for health, participation, and security in order to enhance quality of life as people age and focuses on 8 areas including outdoor spaces/buildings, civic participation and employment, respect and inclusion, communication and information, social participation, housing, transport and community support and health services. **The Partnership supported the principles and encouraged local authorities and other organisations to consider signing up to the age friendly network.**
- **Transport and Travel** – the Partnership was notified that the VCSE Collaborative is undertaking a mapping exercise to assess challenges with community transport. The Partnership agreed to receive a detailed report at the next meeting.

END.



HNY ICB Committee Assurance and Escalation Report

Report to: HNY Integrated Care Board

Report from: Pharmacy Services Regulation Committee (Committees in Common across Yorkshire and the Humber)

Date of meeting: 26 June 2024

Committee Chair: Victoria Lindon, Deputy Head of Primary Care, South Yorkshire ICB

Director Sponsor: Helen Philips, Assistant Director of Primary Care, HNY ICB

Author: Hayley Patterson, Programme Lead – Primary Care

Key agenda items covered by the meeting.

(A bulleted list of the key agenda items discussed at the meeting)

- Excel Chemists Limited – Unforeseen Benefits Application.
- Tennyson Healthcare Limited – Unforeseen Benefits Application.

ALERT

(BY EXCEPTION ONLY - key matters and / or risks to alert or escalate to the ICB Board)

- No items

ADVISE AND / OR ASSURE

(BY EXCEPTION ONLY - Key decisions and any updates to advise the ICB Board on the matters the Committee was able to take assurance on or where additional information was required)

Excel Chemists Limited – Unforeseen Benefits Application.

- This application was not supported by the committee who did not think there was sufficient information submitted to demonstrate any unforeseen benefits or innovative approaches as required by Regulation 18 of the National Health Service (Pharmaceutical and Local Pharmaceutical Services) Regulations 2013.

Tennyson Healthcare Limited – Unforeseen Benefits Application

- This application was not supported by the committee who did not think there was sufficient information submitted to demonstrate any unforeseen benefits or innovative approaches as required by Regulation 18 of the National Health Service (Pharmaceutical and Local Pharmaceutical Services) Regulations 2013.

END.