



**Humber and North Yorkshire**  
Health and Care Partnership



**Humber and  
North Yorkshire**  
Integrated Care Board (ICB)

# Integrated Finance Report for the period ending

## 31 January 2024

For presentation at the

ICB Board

Date: 13/03/2024

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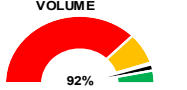
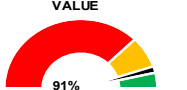
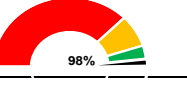
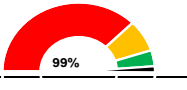
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# 1. Executive Financial Summary

The Humber and North Yorkshire NHS system delivered a breakeven financial position in 2022/23. For 2023/24 the system-wide financial plan is a deficit of £30m, with an expectation that the system continues to seek ways to bring the system into financial balance.

The forecast at month 10 remains in line with planned £30m deficit plus £5.8m of Industrial Action costs incurred in December 2023 and January 2024. Following a full review of risks and mitigations at the end of January 2024, the system has a residual risk of £4.2m that will require management and mitigation to deliver this forecast for the year.

The table below describes the key financial indicators across both the ICB and NHS providers as at the end of January 2024 (Month 10):

| Humber and North Yorkshire ICS   |  |  |                   |   |                        |               |
|--|--|--|-------------------|---|------------------------|---------------|
| Executive ICS Financial Summary - 2023/24 (M10)  |  |  |                   |   |                        |               |
|  | Plan YTD (£m)  | Actual YTD (£m)  | Variance YTD (£m) | Plan 31/03/24 (£m)  | Forecast 31/03/24 (£m) | Variance (£m) |
| Key Financial Indicators   | <b>ICS Financial Position - Surplus/(Deficit)</b>  |  |                   |   |                        |               |
|  | The ICS Providers are reporting a £28.18m adverse variance against a M10 planned deficit of £34.79m. The full year forecast remains in line with plan at £30m deficit. In line with guidance it has been adjusted to show the industrial action cost impact of £5.8m for December and January. |  |                   |   |                        |               |
|  | (34.79)  | (62.97)  | (28.18)           | (30.00)   | (35.84)                | (5.84)        |
|  | The ICB is reporting a £0.04m YTD underspend at M10 and a forecast position that is consistent with submitted plans  |  |                   |   |                        |               |
|  | 0.00   | 0.04   | 0.04              | (0.00)  | 0.00                   | 0.00          |
|  | <b>ICB QEP/Efficiency</b>  |  |                   |   |                        |               |
|  | The ICB has delivered a M10 YTD actual position of £63.26m against £58.88m plan (£4.38m favourable variance). The forecast is to achieve £3.23m above £72.24m plan.  |  |                   |   |                        |               |
| 58.88  | 63.26  | 4.38   | 72.24             | 75.47   | 3.23                   |               |
| <b>NHS Provider QEP/Efficiency</b>   |  |  |                   |   |                        |               |
| The ICS providers reported a YTD actual of £99.76m against YTD plan of £120.07m plan (£20.32m adverse variance). The forecast position at M10 is to deliver an efficiency target of £149.76m at 31 Mar 2024 (an underachievement of £10.30m against plan).   |  |  |                   |   |                        |               |
| 120.07   | 99.76  | (20.32)  | 160.06            | 149.76  | (10.30)                |               |
| <b>ICS Capital Funding</b>   |  |  |                   |   |                        |               |
| System capital expenditure against the Capital Department Expenditure Limit (CDEL) is forecasting an overspend of £20.55m against £175.48m plan. This is mainly a timing issue associated with additional scheme allocation not currently reflected in the annual plan. Year to date actual is showing an underspend of £38.49m mainly due to profiling of additional schemes and some leases starting later than planned. |  |  |                   |   |                        |               |
| 124.11   | 85.62  | 38.49  | 175.48            | 196.02  | (20.55)                |               |
| <b>ICB Running Costs Position</b>  |  |  |                   |   |                        |               |
| The ICB is reporting a £6.87 underspend YTD position at M10 and a forecast outturn of £9.79m.  |  |  |                   |   |                        |               |
| 29.65  | 22.79  | 6.87   | 36.36             | 26.57   | 9.79                   |               |
| <b>Provider Agency Costs</b>   |  |  |                   |   |                        |               |
| ICS Provider's agency spend is £17.81m above target at M10. This is mainly attributable to strike cover and general reliance on agency staff. The forecast position is an overspend of £21.15m against the full year target of £59.39m.  |  |  |                   |   |                        |               |
| 51.21  | 69.02  | (17.81)  | 59.39             | 80.54   | (21.15)                |               |
| Other Financial Indicators   | The ICB MHIS target was updated to 9.08% at M6 to reflect the 2022/23 final outturn position, impact of the 1.6% 2023/24 Agenda for Change and 0.6% medical staff pay awards.  |  |                   |   |                        |               |
|  | 9.08%  | 9.08%  | 0.0%              | 9.08%   | 9.08%                  | 0.0%          |
|  | <b>Cash Management</b>   |  |                   |   |                        |               |
|  | The M10 ICB cash balance is reported as 0.29% and within the NHSE cash drawdown target of <1.25%.  |  |                   |   |                        |               |
| <1.25%   | 0.29%  |  | <1.25%            | <1.25%  |                        |               |
| <b>Better Payment Practice Code (BPPC) YTD</b>   |  |  |                   |   |                        |               |
| To comply with the BPPC, all valid invoices must be paid within 30 days of receipt. The national target is 95%.  |  |  |                   |   |                        |               |
| Under the Better Payment Practice Code (BPPC), the ICS NHS providers have paid 93% of total bills (by volume) and 92% (by value) against the target of 95%.  |  |  |                   |   |                        |               |
| The ICB has achieved above the 95% NHSE national target across both metrics.   |  |  |                   |   |                        |               |
|  |  | Percentage of Invoices Paid Within 95% Target (Volume and Value)                     |                   |   |                        |               |
|  |  | VOLUME   |                   | VALUE   |                        |               |
|  |  |  |                   |  |                        |               |
|  |  | 92%  |                   | 91%   |                        |               |
|  |  |  |                   |  |                        |               |
|  |  | 98%  |                   | 99%   |                        |               |

## **2. System Financial Commentary**

This report presents the financial position for the system, including NHS providers and the ICB for the period ended 31 January 2024.

### **2.1. System Financial Position**

The month 10 position for the system is a deficit of £62.9m against a planned deficit of £34.8m, representing a year to date overspend of £28.1m.

The main reason for the adverse variance continues to be slippage against efficiency targets, with delivery being £15.9m below plan as at month 10, impact of industrial action, provider underperformance against ERF targets and pay and inflationary pressures. These pressures have been offset in part through budgeted expenditure starting later in the year than initially planned. At month 10, the system is forecasting to deliver the planned £30m deficit but have highlighted a further deficit of £5.8m (as per guidance) to reflect the cost impact of industrial action in December 2023 and January 2024. There is a £4.2m residual risk to delivery of this planned forecast that will require management/mitigation.

### **2.2. System Risk**

At Month 10, £25.9m of gross risk has been highlighted with £21.6m of risk with providers and £4.4m with the ICB. This has decreased further by £21.8m from previous month following a full review of risks. Following initial mitigating actions and management, the residual risk reported at M10 is £4.2m with providers. Provider gross risk is mainly on delivery against challenging efficiency targets, projected underperformance against current ERF target and pay pressures and the ICB gross risks relate to, continuing healthcare, high-cost mental health patients and prescribing.

Work is ongoing across the system to identify mitigating actions to address the residual risk of £4.2m.

### **2.3. System Efficiencies**

The system is reporting a £15.9m shortfall against an efficiency target of £179m at Month 10. This comprises of the providers delivering £99.8m against a £120m plan (£20.3m adverse) and the ICB delivering £63.3m against a £58.9m plan (£4.4m favourable). The forecast for the year is to deliver £225.2m of savings with £149.38m by providers (shortfall of £10.3m against target) and £75.5m by the ICB (£3.2m above plan).

The system is expecting to deliver 50% of the overall efficiency forecast on a recurrent basis.

## **2.4. System Capital**

Capital expenditure, including all funding streams and IFRS, is £38.5m underspent as at month 10. The main areas of slippage is in ICB CDEL (£9.2m), TIF schemes (£8.8m) and Frontline Digitisation (£2.2m) and CDC & Diagnostics (£10.2m).

The forecast is an overspend by £6.7m across all funding streams. There is an overspend of £9.8m on IFRS16 due to forecast overspend at Humber Teaching of £4.7m attributable to a 37-year lease at Whitby Hospital that is expected to qualify as an intra DOH/NHS lease and will therefore be an allowable expenditure. The balance of FOT overspend of £5m is due to IFRS16 capital funding allocated being lower than planned value. This overspend is offset by ICS CDEL underspend of £3.1m at Harrogate following receipt of £2.0m of RAAC funding not expected at planning stage.

Work is ongoing across the system to see what can be brought forward from 24/25 to ensure the CDEL envelope is fully utilised.

## **2.5. ICB Establishment Costs**

The ICB's overall establishment costs budget includes a savings/efficiency target of £8m that is required in 2023/24 to support the ICB financial position. This is expected to be delivered through vacancy control in line with other NHS organisations. At Month 10, the year-to-date position is showing a £0.02m underspend against plan. The forecast based on information at month 10 is that the savings target will be exceeded by £0.6m.

## **2.6. Mental Health Investment Standard**

The ICB is meeting the MHIS target of 9.08% (includes 1.6% agenda for change pay award and 0.7% medical staff pay award) at month 10 and is forecasting delivery against the target for 2023/24.

## **3. Summary System Surplus / (Deficit)**

The overall ICS system position is shown at Table 1, highlighting an actual £62.9m deficit year to date, a £28.1m adverse variance against plan. This variance is mainly reported at provider level due to shortfall against efficiencies (£20.3m below plan as at M10), impact of strikes, underperformance against ERF targets and pay and inflationary pressures offset by delayed scheme expenditure. The ICB is showing a small variance to date of £0.004m.

The key actions to address the year-to-date variances and in year risks continue to be:

- Reducing cost pressures - reliance on premium agency, minimising escalation beds and greater control of non-pay consumables.
- Maximising planned care activity, reducing reliance on Independent Sector (IS) and Waiting List Initiative (WLI) premium costs.
- Focus on delivering a challenging stretch CIP programme, further work on maximising recurrent delivery schemes and identifying new schemes.
- Compliance with plan sign off review requirements.
- Compliance with Humber and North Yorkshire (HNY) Financial Controls Checklist.
- Review of balance sheets

Allowing for place variances being offset by central ICB, the North Yorkshire and York place has the largest deficit of circa £39m after 10 months which is a £28m adverse variance to plan. The North and North East Lincolnshire place has the next highest YTD deficit of circa £18m but is better than plan by circa £1m mainly due to the 'back-end loading' of efficiency plans at NLAG.

| <b>Table 1: Summary ICS Surplus / (Deficit) - 2023/24 (M10)</b> |                       |                     |                 |                 |                     |                |
|---|-----------------------|---------------------|-----------------|-----------------|---------------------|----------------|
| Organisation  | 2023/24 Plan<br>£'000 | Surplus / (Deficit) |                 |                 | Surplus / (Deficit) |                |
|   |                       | YTD Budget          | YTD Actual      | YTD Variance    | FOT                 | FOT Variance   |
|   |                       | £'000               | £'000           | £'000           | £'000               | £'000          |
| East Riding Of Yorkshire Place                                  | (5,546)               | (4,622)             | (6,079)         | (1,457)         | (8,090)             | (2,544)        |
| Hull Place  | 3,154                 | 2,629               | (2,247)         | (4,876)         | (5,631)             | (8,785)        |
| Hull University Teaching Hospitals NHS Trust                    | (7,231)               | (5,210)             | (6,706)         | (1,496)         | (8,726)             | 1,495          |
| Humber Teaching NHS FT  | 0                     | 0                   | 0               | 0               | (0)                 | 0              |
| <b>Hull and East Riding</b>                                     | <b>(9,623)</b>        | <b>(7,203)</b>      | <b>(15,032)</b> | <b>(7,829)</b>  | <b>(22,447)</b>     | <b>(9,834)</b> |
| North East Lincolnshire Place                                   | (4,056)               | (3,380)             | (7,077)         | (3,697)         | (8,587)             | (4,531)        |
| North Lincolnshire Place  | (7,876)               | (6,563)             | (6,149)         | 414             | (7,534)             | 342            |
| Northern Lincolnshire and Goole NHS FT                          | (13,355)              | (18,782)            | (17,756)        | 1,026           | (14,150)            | 795            |
| <b>North and North East Lincolnshire</b>                        | <b>(25,287)</b>       | <b>(28,725)</b>     | <b>(30,982)</b> | <b>(2,258)</b>  | <b>(30,271)</b>     | <b>(3,395)</b> |
| North Yorkshire Place   | (682)                 | (568)               | (1,713)         | (1,145)         | (1,861)             | (1,179)        |
| York Place  | (3,080)               | (2,567)             | (5,203)         | (2,636)         | (9,216)             | (6,136)        |
| York and Scarborough Teaching Hospitals NHS FT                  | (15,414)              | (15,809)            | (32,140)        | (16,331)        | (17,333)            | 1,919          |
| Harrogate and District NHS FT                                   | 6,000                 | 5,012               | (6,369)         | (11,381)        | 4,369               | 1,631          |
| <b>North Yorkshire and York</b>                                 | <b>(13,176)</b>       | <b>(13,932)</b>     | <b>(45,425)</b> | <b>(31,493)</b> | <b>(24,041)</b>     | <b>(3,765)</b> |
| ICB Central   | 18,086                | 15,071              | 28,512          | 13,440          | 40,919              | 22,833         |
| <b>TOTAL ICS SURPLUS/(DEFICIT)</b>                              | <b>(30,000)</b>       | <b>(34,789)</b>     | <b>(62,927)</b> | <b>(28,139)</b> | <b>(35,840)</b>     | <b>5,840</b>   |
| ICB Total   | (0)                   | 0                   | 43              | 43              | 0                   | 0              |
| ICS Provider Total  | (30,000)              | (34,789)            | (62,970)        | (28,182)        | (35,840)            | 5,840          |
| <b>TOTAL ICS SURPLUS/(DEFICIT)</b>                              | <b>(30,000)</b>       | <b>(34,789)</b>     | <b>(62,927)</b> | <b>(28,139)</b> | <b>(35,840)</b>     | <b>5,840</b>   |

#### 4. ICB Summary Income & Expenditure

The summary ICB position is at Table 2 and at Month 10 shows a £0.004m year to date underspend and a breakeven position for full year forecast consistent with financial plans.

Within the overall forecast breakeven position, the main forecast expenditure variances are:

- **Acute Services** forecasting an underspend of £6.2m. This position is mainly because of increased non-ERF activity at independent sector providers. Independent sector contracts are being reviewed to scope possible management in year to mitigate some of this impact.
- **Mental Health Services** forecasting a £10.4m overspend mainly because of increased costs in out of area and S117 high-cost packages of care. This position is being reviewed and actions including cost challenges continues to be explored and pursued.
- **Community Services** forecasting a £3.1m overspend mainly relating to out of contract cost pressures at place level in community equipment, wheelchair, and non-obstetric ultrasound services. Discussions are ongoing with providers to understand nature of variance and possible mitigating actions.
- **Continuing care services** forecasting a £3.7m overspend mainly due to high-cost packages of care and price increase offset in part by technical efficiencies.
- **Primary Care Services (Excl Prescribing)** forecasting a small overspend of £0.1m mainly due to increased local enhanced service activity offset by some primary care estate void benefits.
- **Prescribing** forecasting a £7.6m overspend position based on the Regional Drug and Therapeutic Centre information and local trend assumptions. This reflects both volume and value increases in primary care prescribing. The ICB will continue to keep the year to date and forecast outturn position under review considering both national and local information.
- **Primary Medical Services (PC Co – Comm)** forecasting a £2.3m underspend ICB place level mainly due to slippage, supporting some of the overspending areas of expenditure.
- **Delegated Dental, Ophthalmic & Pharmacy Services** forecasting a £13.1m underspend ICB place level supporting some of the overspending areas of expenditure. This position is mainly due to slippage in primary care dental.
- **Other Programme, Commissioned Services & Reserves** forecasting overall £15.3m underspend mainly reflecting financial reset impact of additional funding and non-recurrent underspends released to support overall position.

| Table 2: Summary ICB Income & Expenditure - 2023/24 (M10) |                          |                        |                        |                          |                  |                          |
|---|--------------------------|------------------------|------------------------|--------------------------|------------------|--------------------------|
| ICB I&E Analysis  | 2023/24<br>Plan<br>£'000 | Year to Date Position  |                        |                          | Forecast Outturn |                          |
|   |                          | YTD<br>Budget<br>£'000 | YTD<br>Actual<br>£'000 | YTD<br>Variance<br>£'000 | FOT<br>£'000     | FOT<br>Variance<br>£'000 |
|   |                          |                        |                        |                          |                  |                          |
| <b>System Revenue Resource Limit</b>                      | <b>(3,858,602)</b>       | <b>(3,198,677)</b>     |                        |                          |                  |                          |
| <b>ICB Expenditure</b>                                    |                          |                        |                        |                          |                  |                          |
| <b>Acute Services</b>                                     | <b>1,919,603</b>         | <b>1,596,885</b>       | <b>1,598,140</b>       | <b>(1,255)</b>           | <b>1,925,760</b> | <b>(6,157)</b>           |
| <i>of which is Intra-System</i>                           | 1,530,434                | 1,279,656              | 1,277,894              | 1,762                    | 1,530,217        | 216                      |
| <i>of which is Inter-System</i>                           | 278,169                  | 231,858                | 228,767                | 3,091                    | 278,216          | (46)                     |
| <i>Blocks LVA &amp; Other Non NHS</i>                     | 111,000                  | 85,371                 | 91,479                 | (6,107)                  | 117,327          | (6,327)                  |
| <b>Mental Health Services</b>                             | <b>376,504</b>           | <b>312,650</b>         | <b>318,977</b>         | <b>(6,327)</b>           | <b>386,894</b>   | <b>(10,390)</b>          |
| <i>of which is Intra-System</i>                           | 100,206                  | 83,034                 | 83,115                 | (81)                     | 100,206          | (0)                      |
| <i>of which is Inter-System</i>                           | 140,241                  | 116,867                | 116,748                | 119                      | 139,498          | 743                      |
| <i>MH IS &amp; Other Non NHS</i>                          | 136,057                  | 112,749                | 119,114                | (6,365)                  | 147,190          | (11,133)                 |
| <b>Community Health Services</b>                          | <b>347,713</b>           | <b>288,454</b>         | <b>289,754</b>         | <b>(1,300)</b>           | <b>350,824</b>   | <b>(3,111)</b>           |
| <i>of which is Intra-System</i>                           | 112,174                  | 93,245                 | 93,964                 | (719)                    | 112,140          | 35                       |
| <i>of which is Inter-System</i>                           | 43                       | 36                     | 43                     | (7)                      | 0                | 43                       |
| <i>IS &amp; Other Non NHS</i>                             | 235,496                  | 195,173                | 195,747                | (574)                    | 238,684          | (3,188)                  |
| <b>Continuing Care Services</b>                           | <b>213,463</b>           | <b>177,712</b>         | <b>180,498</b>         | <b>(2,786)</b>           | <b>217,142</b>   | <b>(3,679)</b>           |
| <b>Primary Care Services</b>                              | <b>391,439</b>           | <b>326,509</b>         | <b>332,174</b>         | <b>(5,665)</b>           | <b>399,200</b>   | <b>(7,761)</b>           |
| <i>Prescribing</i>  | 344,797                  | 287,913                | 294,772                | (6,860)                  | 352,418          | (7,621)                  |
| <b>Primary Medical Services (PC Co-Comm)</b>              | <b>327,251</b>           | <b>282,832</b>         | <b>279,318</b>         | <b>3,515</b>             | <b>324,966</b>   | <b>2,284</b>             |
| <b>Delegated Dental, Ophthalmic and Pharmacy Services</b> | <b>170,139</b>           | <b>142,124</b>         | <b>130,973</b>         | <b>11,152</b>            | <b>157,075</b>   | <b>13,064</b>            |
| <i>Other Programme Services</i>                           | 38,705                   | 26,345                 | 33,091                 | (6,746)                  | 36,379           | 2,326                    |
| <i>Other Commissioned Services</i>                        | 14,017                   | 11,675                 | 9,531                  | 2,144                    | 11,689           | 2,328                    |
| <i>Reserves / Contingencies</i>                           | 23,408                   | 3,837                  | 3,394                  | 443                      | 22,100           | 1,308                    |
| <b>ICB Running Costs</b>                                  | <b>36,362</b>            | <b>29,652</b>          | <b>22,785</b>          | <b>6,867</b>             | <b>26,574</b>    | <b>9,788</b>             |
| <i>of which is Pay</i>                                    | 0                        | 0                      | 0                      | 0                        | 0                | 0                        |
| <i>of which is Non-Pay</i>                                | 0                        | 0                      | 0                      | 0                        | 0                | 0                        |
| <b>Total ICB NET EXPENDITURE</b>                          | <b>3,858,602</b>         | <b>3,198,676</b>       | <b>3,198,634</b>       | <b>42</b>                | <b>3,858,602</b> | <b>0</b>                 |
| <b>MEMO: ICB Surplus/(Deficit) Breakdown</b>              |                          |                        |                        |                          |                  |                          |
| East Riding Of Yorkshire Place                            | 568,854                  | 473,755                | 479,834                | (6,079)                  | 576,944          | (8,090)                  |
| Hull Place  | 718,412                  | 599,343                | 601,591                | (2,247)                  | 724,042          | (5,631)                  |
| North East Lincolnshire Place                             | 347,401                  | 288,577                | 295,654                | (7,077)                  | 355,988          | (8,587)                  |
| North Lincolnshire Place                                  | 342,987                  | 285,824                | 291,973                | (6,149)                  | 350,521          | (7,534)                  |
| North Yorkshire Place                                     | 907,680                  | 758,722                | 760,435                | (1,713)                  | 909,541          | (1,861)                  |
| York Place  | 661,997                  | 556,276                | 561,478                | (5,203)                  | 671,212          | (9,216)                  |
| ICB Central   | 311,272                  | 236,180                | 207,668                | 28,512                   | 270,353          | 40,919                   |
| <b>TOTAL ICB SURPLUS/(DEFICIT)</b>                        | <b>3,858,602</b>         | <b>3,198,676</b>       | <b>3,198,633</b>       | <b>43</b>                | <b>3,858,602</b> | <b>0</b>                 |

## 5. ICS Provider Income & Expenditure

The Month 10 position for the ICS NHS Providers (Table 3) is a deficit of £63m against a planned deficit of £34.8m, representing a year to date overspend of £28.2m.

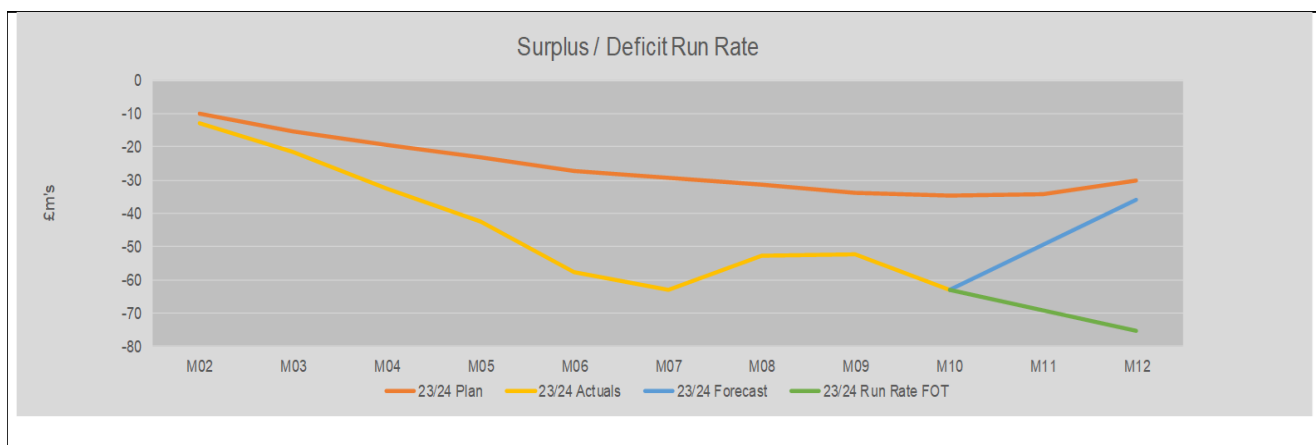
The adverse variance is mainly due to slippage against efficiency targets, with delivery being £20.3m below plan as at month 10 and inflationary pressures. These pressures have been offset in part through budgeted expenditure starting later in the year than initially planned.



| <b>Table 3: Summary ICS Provider I&amp;E Position - 2023/24 (M10)</b> |                          |                        |                        |                          |                  |                          |
|---|--------------------------|------------------------|------------------------|--------------------------|------------------|--------------------------|
| Organisation  | 2023/24<br>Plan<br>£'000 | Year to Date Position  |                        |                          | Forecast Outturn |                          |
|   |                          | YTD<br>Budget<br>£'000 | YTD<br>Actual<br>£'000 | YTD<br>Variance<br>£'000 | FOT<br>£'000     | FOT<br>Variance<br>£'000 |
|   |                          |                        |                        |                          |                  |                          |
| <b>York and Scarborough Teaching Hospitals NHS FT</b>                 |                          |                        |                        |                          |                  |                          |
| Income  | 701,300                  | 583,280                | 622,895                | 39,615                   | 746,843          | 45,543                   |
| Agency  | (17,300)                 | (14,437)               | (20,607)               | (6,170)                  | (23,348)         | (6,048)                  |
| Other pay   | (459,328)                | (383,140)              | (404,607)              | (21,467)                 | (473,630)        | (14,302)                 |
| Pay   | (476,628)                | (397,577)              | (425,214)              | (27,637)                 | (496,978)        | (20,350)                 |
| Non-Pay   | (229,160)                | (192,402)              | (222,173)              | (29,771)                 | (258,291)        | (29,131)                 |
| Non Operating Items (exc gains on disposal)                           | (10,926)                 | (9,110)                | (7,648)                | 1,462                    | (8,907)          | 2,019                    |
| <b>Provider Surplus/(Deficit)</b>                                     | <b>(15,414)</b>          | <b>(15,809)</b>        | <b>(32,140)</b>        | <b>(16,331)</b>          | <b>(17,333)</b>  | <b>(1,919)</b>           |
| <b>Harrogate and District NHS FT</b>                                  |                          |                        |                        |                          |                  |                          |
| Income  | 336,065                  | 280,064                | 280,354                | 290                      | 342,900          | 6,835                    |
| Agency  | (9,552)                  | (7,960)                | (6,762)                | 1,198                    | (7,968)          | 1,584                    |
| Other pay   | (228,646)                | (190,540)              | (201,089)              | (10,549)                 | (235,918)        | (7,272)                  |
| Pay   | (238,198)                | (198,500)              | (207,851)              | (9,351)                  | (243,886)        | (5,688)                  |
| Non-Pay   | (88,073)                 | (73,440)               | (76,159)               | (2,719)                  | (91,390)         | (3,317)                  |
| Non Operating Items (exc gains on disposal)                           | (3,794)                  | (3,112)                | (2,713)                | 399                      | (3,255)          | 540                      |
| <b>Provider Surplus/(Deficit)</b>                                     | <b>6,000</b>             | <b>5,012</b>           | <b>(6,369)</b>         | <b>(11,381)</b>          | <b>4,369</b>     | <b>(1,631)</b>           |
| <b>Northern Lincolnshire and Goole NHS FT</b>                         |                          |                        |                        |                          |                  |                          |
| Income  | 530,982                  | 442,956                | 450,912                | 7,956                    | 541,733          | 10,751                   |
| Agency  | (16,707)                 | (15,458)               | (24,078)               | (8,620)                  | (28,489)         | (11,782)                 |
| Other pay   | (350,671)                | (298,018)              | (296,041)              | 1,978                    | (348,598)        | 2,073                    |
| Pay   | (367,378)                | (313,477)              | (320,119)              | (6,642)                  | (377,087)        | (9,709)                  |
| Non-Pay   | (169,968)                | (142,439)              | (144,928)              | (2,489)                  | (174,356)        | (4,388)                  |
| Non Operating Items (exc gains on disposal)                           | (6,990)                  | (5,822)                | (3,621)                | 2,201                    | (4,440)          | 2,550                    |
| <b>Provider Surplus/(Deficit)</b>                                     | <b>(13,355)</b>          | <b>(18,782)</b>        | <b>(17,756)</b>        | <b>1,026</b>             | <b>(14,150)</b>  | <b>(795)</b>             |
| <b>Hull University Teaching Hospitals NHS Trust</b>                   |                          |                        |                        |                          |                  |                          |
| Income  | 808,830                  | 674,145                | 705,960                | 31,815                   | 848,371          | 39,541                   |
| Agency  | (9,722)                  | (8,097)                | (11,004)               | (2,907)                  | (12,848)         | (3,126)                  |
| Other pay   | (460,200)                | (383,582)              | (395,595)              | (12,013)                 | (472,861)        | (12,661)                 |
| Pay   | (469,922)                | (391,679)              | (406,599)              | (14,920)                 | (485,709)        | (15,787)                 |
| Non-Pay   | (331,049)                | (275,223)              | (294,586)              | (19,363)                 | (357,270)        | (26,221)                 |
| Non Operating Items (exc gains on disposal)                           | (15,090)                 | (12,453)               | (11,481)               | 971                      | (14,118)         | 972                      |
| <b>Provider Surplus/(Deficit)</b>                                     | <b>(7,231)</b>           | <b>(5,210)</b>         | <b>(6,706)</b>         | <b>(1,497)</b>           | <b>(8,726)</b>   | <b>(1,495)</b>           |
| <b>Humber Teaching NHS FT</b>   |                          |                        |                        |                          |                  |                          |
| Income  | 244,202                  | 203,413                | 206,655                | 3,242                    | 247,986          | 3,784                    |
| Agency  | (6,106)                  | (5,255)                | (6,570)                | (1,315)                  | (7,884)          | (1,778)                  |
| Other pay   | (149,343)                | (124,480)              | (124,356)              | 124                      | (149,027)        | 316                      |
| Pay   | (155,449)                | (129,735)              | (130,926)              | (1,191)                  | (156,911)        | (1,462)                  |
| Non-Pay   | (86,535)                 | (72,079)               | (74,878)               | (2,799)                  | (90,029)         | (3,494)                  |
| Non Operating Items (exc gains on disposal)                           | (2,218)                  | (1,599)                | (851)                  | 748                      | (1,046)          | 1,172                    |
| <b>Provider Surplus/(Deficit)</b>                                     | <b>0</b>                 | <b>0</b>               | <b>0</b>               | <b>0</b>                 | <b>(0)</b>       | <b>(0)</b>               |
| <b>TOTAL ICS PROVIDER SURPLUS/(DEFICIT)</b>                           | <b>(30,000)</b>          | <b>(34,789)</b>        | <b>(62,970)</b>        | <b>(28,182)</b>          | <b>(35,840)</b>  | <b>(5,840)</b>           |

The most significant YTD deficit is being reported by York and Scarborough Trust at £32.1m, which is an adverse variance £16.3m to planned year to date deficit. The Trust was subject to a national review at plan submission stage with a series of recommended actions that the ICB is continuing to work with the Trust to monitor compliance.

All providers are showing an improvement in their year-to-date position reflecting the financial reset impact fully of additional funding and ERF target reduction. There remains a level of risk to plan delivery for 2023/24.



The above chart illustrates that if the YTD deficit of £63m was extrapolated for the full year (green line), this would represent a deficit of circa £76m. However, provider forecasts are still to achieve the planned deficit of £30m as several non-recurrent mitigations are not included in the year-to-date run rate. At M10, overall provider forecast position is showing a deficit of £35.8m, with the adverse variance to forecast deficit due entirely to cost impact of £5.8m for industrial action.

There are still risks to delivery of the planned £30m deficit for the system and all possible mitigating actions will continue to be explored in the last two months of the financial year.

## 6. System Efficiencies

The system is reporting a £15.9m shortfall against an efficiency target of £179m at Month 10. This comprises of the providers delivering £99.8m against a £120m plan (£20.3m adverse) and the ICB delivering £63.3m against a £58.9m plan (£4.4m favourable).

The forecast for the year is to deliver £225.2m of savings with £149.38m by providers (shortfall of £10.3m against target) and £75.5m by the ICB (£3.2m above plan). The over delivery reported is mainly at York place and is relating to CHC schemes which is currently mitigating some of the impact of in year cost pressures in CHC.

Table 4 shows the position at place and provider level and highlights the recurrent and non-recurrent assessment of schemes. There remains a continued focus to maximise on recurrent efficiency schemes.

| Table 4: ICS Efficiencies – Performance against Plan - 2023/24 (M10) |                |                        |                            |                |                |                 |                |                 |
|--|----------------|------------------------|----------------------------|----------------|----------------|-----------------|----------------|-----------------|
| ICS Efficiencies   | 2023/24 Plan   | 2023/24 Plan Recurrent | 2023/24 Plan Non-Recurrent | YTD Budget     | YTD Actual     | YTD Variance    | FOT            | FOT Variance    |
|  | £'000          | £'000                  | £'000                      | £'000          | £'000          | £'000           | £'000          | £'000           |
| <b>ICB Efficiency</b>  |                |                        |                            |                |                |                 |                |                 |
| East Riding Of Yorkshire Place                                       | 8,524          | 0                      | 8,524                      | 6,356          | 7,355          | 999             | 8,524          | 0               |
| Hull Place   | 4,840          | 2,182                  | 2,658                      | 4,040          | 4,684          | 644             | 5,543          | 703             |
| North East Lincolnshire Place  | 4,869          | 2,559                  | 2,310                      | 3,461          | 3,271          | (190)           | 4,946          | 77              |
| North Lincolnshire Place   | 9,911          | 7,546                  | 2,365                      | 8,246          | 9,398          | 1,152           | 10,478         | 567             |
| North Yorkshire Place  | 18,039         | 7,325                  | 10,714                     | 15,039         | 15,379         | 340             | 18,285         | 246             |
| York Place   | 7,585          | 3,222                  | 4,363                      | 6,340          | 7,779          | 1,439           | 9,221          | 1,636           |
| ICB Central  | 18,476         | 8,992                  | 9,484                      | 15,394         | 15,395         | 1               | 18,477         | 1               |
| <b>Total ICB Efficiency</b>  | <b>72,244</b>  | <b>31,826</b>          | <b>40,418</b>              | <b>58,876</b>  | <b>63,261</b>  | <b>4,385</b>    | <b>75,474</b>  | <b>3,230</b>    |
| <b>Recurrent / Non-Recurrent Split</b>                               |                |                        |                            |                |                |                 |                |                 |
| Recurrent  | 31,826         |                        |                            | 26,292         | 35,213         | 8,921           | 40,825         | 8,999           |
| Non-recurrent  | 40,418         |                        |                            | 32,585         | 28,048         | (4,537)         | 34,649         | (5,769)         |
| <b>Total ICB Efficiency</b>  | <b>72,244</b>  | <b>31,826</b>          | <b>40,418</b>              | <b>58,877</b>  | <b>63,261</b>  | <b>4,384</b>    | <b>75,474</b>  | <b>3,230</b>    |
| <b>Provider Efficiency</b>   |                |                        |                            |                |                |                 |                |                 |
| Harrogate & District NHS FT  | 20,832         | 6,396                  | 14,436                     | 17,405         | 10,507         | (6,898)         | 20,832         | 0               |
| Hull University Teaching Hospitals NHS Trust                         | 53,963         | 41,915                 | 12,048                     | 43,383         | 39,457         | (3,926)         | 49,453         | (4,510)         |
| Humber Teaching NHS FT   | 11,616         | 3,820                  | 7,796                      | 9,340          | 9,055          | (285)           | 11,616         | 0               |
| Northern Lincolnshire & Goole NHS FT                                 | 35,736         | 11,633                 | 24,103                     | 22,361         | 16,950         | (5,411)         | 29,948         | (5,788)         |
| York & Scarborough Teaching Hospitals NHS FT                         | 37,914         | 27,959                 | 9,955                      | 27,584         | 23,788         | (3,796)         | 37,914         | 0               |
| <b>Total Provider Efficiency</b>                                     | <b>160,061</b> | <b>91,723</b>          | <b>68,338</b>              | <b>120,073</b> | <b>99,757</b>  | <b>(20,316)</b> | <b>149,763</b> | <b>(10,298)</b> |
| <b>Recurrent / Non-Recurrent Split</b>                               |                |                        |                            |                |                |                 |                |                 |
| Recurrent  | 91,723         |                        |                            | 70,558         | 52,855         | (17,703)        | 71,123         | (20,600)        |
| Non-recurrent  | 68,338         |                        |                            | 49,515         | 46,903         | (2,612)         | 78,640         | 10,302          |
| <b>Total Provider Efficiencies</b>                                   | <b>160,061</b> | <b>91,723</b>          | <b>68,338</b>              | <b>120,073</b> | <b>99,757</b>  | <b>(20,316)</b> | <b>149,763</b> | <b>(10,298)</b> |
| <b>Total ICS Efficiencies</b>  | <b>232,305</b> | <b>123,549</b>         | <b>108,756</b>             | <b>178,950</b> | <b>163,018</b> | <b>(15,932)</b> | <b>225,237</b> | <b>(7,068)</b>  |

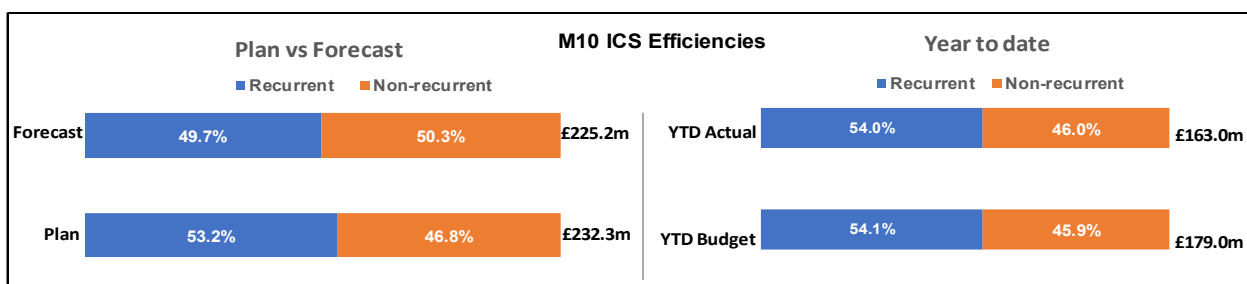


Table 5 shows the status of scheme development for the ICB and across ICS providers. There continues to be improvement overall in schemes that are fully developed in delivery and where plans are in progress.

| Table 5: ICS Efficiency Status - 2023/24 (M10) |                               |  |                   |                          |             |               |
|--|-------------------------------|--|-------------------|--------------------------|-------------|---------------|
| ICS Efficiencies                               | Fully Developed - in delivery | Fully Developed - delivery not yet started | Plans in Progress | Opportunity Unidentified | Total       |               |
|  | £'000                         | £'000                                      | £'000             | £'000                    | £'000       |               |
| <b>Provider Efficiency as a %</b>              |                               |  |                   |                          |             |               |
| Harrogate And District NHS FT                  | 61.4%                         | 0.2%                                       | 3.0%              | 0.0%                     | 35.5%       | 100.0%        |
| Hull University Teaching Hospitals NHS Trust   | 45.2%                         | 0.0%                                       | 38.8%             | 16.1%                    | 0.0%        | 100.0%        |
| Humber Teaching NHS FT                         | 37.1%                         | 0.0%                                       | 45.6%             | 17.4%                    | 0.0%        | 100.0%        |
| Northern Lincolnshire And Goole NHS FT         | 79.8%                         | 20.2%                                      | 0.0%              | 0.0%                     | 0.0%        | 100.0%        |
| York And Scarborough Teaching Hospitals NHS FT | 73.3%                         | 0.2%                                       | 16.4%             | 10.1%                    | 0.0%        | 100.0%        |
| <b>Provider Efficiency as a %</b>              | <b>60.8%</b>                  | <b>4.1%</b>                                | <b>20.9%</b>      | <b>9.2%</b>              | <b>4.9%</b> | <b>100.0%</b> |
| <b>ICB Efficiency as a %</b>                   | <b>60.9%</b>                  | <b>0.3%</b>                                | <b>32.3%</b>      | <b>0.7%</b>              | <b>6.0%</b> | <b>100.0%</b> |
| <b>ICB Efficiency as a %</b>                   | <b>60.8%</b>                  | <b>2.8%</b>                                | <b>24.7%</b>      | <b>6.3%</b>              | <b>5.3%</b> | <b>100.0%</b> |

Further detail of efficiency schemes at ICB and provider level is contained within tables at Appendix 1.

## 7. System Risks and Mitigations

Table 6 below shows the value of gross risks identified at Month 10 at £25.9m, £21.6m of risk with providers and £4.4m with the ICB. This has decreased further by £21.8m from previous month following a full review of risks. Provider gross risk is mainly on delivery against challenging efficiency targets, projected underperformance against current ERF target and pay pressures and the ICB gross risks relate to, continuing healthcare, high-cost mental health patients and prescribing.

Following initial mitigating actions and management, the residual risk reported at M10 is £4.2m with providers.

Work is ongoing across the system to identify mitigating actions to address the residual risk of £4.2m.

| Table 6 ICS Risks and Mitigations - 2023/24 (M10) |   |                              |   |  |
|---|---|------------------------------|---|--|
| Description of risk                               | Potential Financial Impact before mitigations | Likelihood High/ Medium/ Low | Mitigating actions being taken by system  | Potential Financial Impact after mitigations |
|   | £'000   |                              |   | £'000  |
| <b>ICB Risks</b>                                  |   |                              |   |  |
| Continuing Healthcare                             | (345)   | Medium                       | In year management and utilisation of slippage and underspends in other expenditure areas       | 0  |
| Mental Health                                     | (2,029)                                       | Medium                       | In year management and utilisation of slippage and underspends in other expenditure areas       | 0  |
| Prescribing                                       | (2,000)                                       | Medium                       | In year management and utilisation of slippage and underspends in other expenditure areas       | 0  |
| <b>ICB Total</b>                                  | <b>(4,374)</b>                                |                              |   | <b>0</b>                                     |
| <b>Provider Risks</b>                             |   |                              |   |  |
| Delivery of Efficiency target                     | (16,567)                                      | High                         | In year management and utilisation of slippage and underspends in other expenditure areas       | (4,200)                                      |
| Premium Pay                                       | (2,902)                                       | Medium                       | In year management and utilisation of slippage and underspends in other expenditure areas       | 0  |
| Elective Recovery Funding                         | (2,100)                                       | Medium                       | Review of elective activity position and quantifying impact of specialised services ERF funding | 0  |
| <b>Provider Total</b>                             | <b>(21,569)</b>                               |                              |   | <b>(4,200)</b>                               |
| <b>Total ICS Risks and Mitigations</b>            | <b>(25,943)</b>                               |                              |   | <b>(4,200)</b>                               |

## 8. System Capital Summary

Table 7 sets out the overall Capital summary for the system. At Month 10, there is an underspend of £38.5m across all funding streams including IFRS16. The main areas of slippage is in ICB CDEL (£9.2m), TIF schemes (£8.8m) and Frontline Digitisation (£2.2m) and CDC & Diagnostics (£10.2m).

Following submission of the ICB Integrated Finance Return (IFR) there has been some central adjustments to provider plans and forecasts to reflect all additional income and profiling adjustments required. After these adjustments, the overall ICS inclusive of additional funding streams is forecasting to overspend by £6.7m.

There is an overspend of £9.8m on IFRS16 due to forecast overspend at Humber Teaching of £4.7m attributable to a 37-year lease at Whitby Hospital that is expected to qualify as an intra DOH/NHS lease and will therefore be an allowable expenditure. The balance of FOT overspend of £5m is due to IFRS16 capital funding allocated

being lower than planned value. This overspend is offset by ICS CDEL underspend of £3.1m at Harrogate following receipt of £2.0m of RAAC funding not expected at planning stage.

Work is ongoing across the system to see what can be brought forward from 24/25 to ensure the CDEL envelope is fully utilised.

Further scheme level detail is available at Appendix 2 at provider level.

| Table 7: ICS Capital Summary - 2023/24 (M10)         |                       |                         |               |               |                  |                 |                           |                |                 |
|--|-----------------------|-------------------------|---------------|---------------|------------------|-----------------|---------------------------|----------------|-----------------|
| System Capital                                       | 2023/24 Plan<br>£'000 | ICS ENVELOPE & NET CDEL |               |               | FORECAST OUTFURN |                 | ADJUSTED 2023/24 POSITION |                |                 |
|  |                       | Plan YTD                | Actual YTD    | YTD Var       | FOT              | FOT Var         | Revised Plan              | Revised FOT    | Revised FOT Var |
|  |                       | £'000                   | £'000         | £'000         | £'000            | £'000           | £'000                     | £'000          | £'000           |
| <b>ICS Envelope (Excluding IFRS 16)</b>              |                       |                         |               |               |                  |                 |                           |                |                 |
| Harrogate And District NHS Foundation Trust          | 9,999                 | 8,190                   | 7,278         | 912           | 10,407           | (408)           | 13,527                    | 10,407         | 3,120           |
| Hull University Teaching Hospitals NHS Trust         | 20,605                | 14,677                  | 14,682        | (5)           | 25,493           | (4,888)         | 25,404                    | 25,493         | (89)            |
| Humber Teaching NHS Foundation Trust                 | 7,628                 | 5,428                   | 2,656         | 2,772         | 7,628            | 0               | 7,628                     | 7,628          | 0               |
| Northern Lincolnshire And Goole NHS Foundation Trust | 19,106                | 14,813                  | 11,198        | 3,615         | 16,406           | 2,700           | 16,406                    | 16,406         | 0               |
| York And Scarborough Teaching Hospitals NHS FT       | 19,154                | 12,450                  | 10,560        | 1,890         | 19,154           | 0               | 19,154                    | 19,154         | 0               |
| <b>ICS Envelope (Excl IFRS16)</b>                    | <b>76,492</b>         | <b>55,558</b>           | <b>46,374</b> | <b>9,184</b>  | <b>79,088</b>    | <b>(2,596)</b>  | <b>82,119</b>             | <b>79,088</b>  | <b>3,031</b>    |
| <b>ICS Envelope (Impact of IFRS 16)</b>              |                       |                         |               |               |                  |                 |                           |                |                 |
| Harrogate And District NHS Foundation Trust          | 0                     | 0                       | 1,118         | (1,118)       | 1,118            | (1,118)         | 0                         | 1,118          | (1,118)         |
| Hull University Teaching Hospitals NHS Trust         | 2,104                 | 2,104                   | 888           | 1,216         | 1,797            | 307             | 1,473                     | 1,797          | (324)           |
| Humber Teaching NHS Foundation Trust                 | 0                     | 0                       | 308           | (308)         | 6,162            | (6,162)         | 0                         | 6,162          | (6,162)         |
| Northern Lincolnshire And Goole NHS Foundation Trust | 1,167                 | 771                     | 559           | 212           | 782              | 385             | 817                       | 782            | 35              |
| York And Scarborough Teaching Hospitals NHS FT       | 7,255                 | 5,000                   | 5,054         | (54)          | 7,255            | 0               | 5,078                     | 7,255          | (2,177)         |
| <b>ICS Envelope (IFRS 16)</b>                        | <b>10,526</b>         | <b>7,875</b>            | <b>7,927</b>  | <b>(52)</b>   | <b>17,114</b>    | <b>(6,588)</b>  | <b>7,368</b>              | <b>17,114</b>  | <b>(9,746)</b>  |
| <b>Additional Schemes/Funding</b>                    |                       |                         |               |               |                  |                 |                           |                |                 |
| Harrogate And District NHS Foundation Trust          | 16,026                | 13,354                  | 4,054         | 9,300         | 8,389            | 7,637           | 8,389                     | 8,389          | 0               |
| Hull University Teaching Hospitals NHS Trust         | 24,364                | 17,358                  | 12,768        | 4,590         | 28,402           | (4,038)         | 28,402                    | 28,402         | 0               |
| Humber Teaching NHS Foundation Trust                 | 1,958                 | 1,558                   | 1,578         | (20)          | 3,811            | (1,853)         | 3,811                     | 3,811          | 0               |
| Northern Lincolnshire And Goole NHS Foundation Trust | 27,468                | 17,481                  | 1,286         | 16,195        | 29,212           | (1,744)         | 29,212                    | 29,212         | 0               |
| York And Scarborough Teaching Hospitals NHS FT       | 18,643                | 10,924                  | 11,628        | (704)         | 30,006           | (11,363)        | 30,006                    | 30,006         | 0               |
| <b>Expenditure against Additional Schemes</b>        | <b>88,459</b>         | <b>60,675</b>           | <b>31,314</b> | <b>29,361</b> | <b>99,820</b>    | <b>(11,361)</b> | <b>99,820</b>             | <b>99,820</b>  | <b>0</b>        |
| <b>Total Provider - Charge Against Allocation</b>    | <b>175,477</b>        | <b>124,108</b>          | <b>85,615</b> | <b>38,493</b> | <b>196,022</b>   | <b>(20,545)</b> | <b>189,307</b>            | <b>196,022</b> | <b>(6,715)</b>  |
| <b>NET Provider CDEL</b>                             |                       |                         |               |               |                  |                 |                           |                |                 |
| Harrogate And District NHS Foundation Trust          | 26,025                | 21,544                  | 12,450        | 9,094         | 19,914           | 6,111           | 21,916                    | 19,914         | 2,002           |
| Hull University Teaching Hospitals NHS Trust         | 47,073                | 34,139                  | 28,338        | 5,801         | 55,692           | (8,619)         | 55,279                    | 55,692         | (413)           |
| Humber Teaching NHS Foundation Trust                 | 9,586                 | 6,986                   | 4,542         | 2,444         | 17,601           | (8,015)         | 11,439                    | 17,601         | (6,162)         |
| Northern Lincolnshire And Goole NHS Foundation Trust | 47,741                | 33,065                  | 13,043        | 20,022        | 46,400           | 1,341           | 46,435                    | 46,400         | 35              |
| York And Scarborough Teaching Hospitals NHS FT       | 45,052                | 28,374                  | 27,242        | 1,132         | 56,415           | (11,363)        | 54,238                    | 56,415         | (2,177)         |
| <b>Total Provider - CDEL</b>                         | <b>175,477</b>        | <b>124,108</b>          | <b>85,615</b> | <b>38,493</b> | <b>196,022</b>   | <b>(20,545)</b> | <b>189,307</b>            | <b>196,022</b> | <b>(6,715)</b>  |

## 9. ICB Establishment Expenditure

The ICB's establishment budgets are set out at Table 8 and summarises the position at directorate and place level as at Month 10.

This overall budget includes a savings/efficiency target of £8m that is required in 2023/24 to support the overall ICB financial position. This is expected to be delivered through vacancy control in line with other NHS organisations. At Month 10, the year-to-date position is showing a small underspend of £0.02m against plan. The expenditure in the second part of the year is expected to be higher than the first to

reflect the impact of recruitment and non-pay costs. The forecast based on information at month 10 is £0.6m in excess of the savings target.

| <b>Table 8: Summary ICB Establishment Expenditure - 2023/24 (M10)</b> |               |            |            |               |               |              |               |              |
|---|---------------|------------|------------|---------------|---------------|--------------|---------------|--------------|
| Establishment Expenditure   | 2023/24 Plan  | WTE        |            | YTD Budget    | YTD Actual    | YTD Variance | FOT           | FOT Variance |
|   | £'000         | Plan       | Actual     | £'000         | £'000         | £'000        | £'000         | £'000        |
| Clinical Directorate  | 17,513        | 63         | 50         | 14,611        | 13,929        | 682          | 17,156        | 356          |
| Comms Directorate   | 1,941         | 29         | 25         | 1,617         | 1,416         | 202          | 1,741         | 200          |
| COO Directorate   | 3,028         | 19         | 16         | 2,523         | 2,192         | 331          | 2,077         | 951          |
| Executive Management Team   | 2,043         | 11         | 9          | 1,702         | 1,795         | (93)         | 2,193         | (150)        |
| Corporate Affairs Directorate   | 8,514         | 73         | 57         | 7,095         | 6,456         | 639          | 7,764         | 750          |
| Finance Directorate   | 9,881         | 97         | 76         | 8,234         | 7,441         | 793          | 9,030         | 851          |
| People Directorate  | 1,815         | 44         | 25         | 1,513         | 1,219         | 294          | 1,685         | 130          |
| Nursing Directorate   | 18,194        | 296        | 245        | 15,161        | 13,341        | 1,821        | 15,863        | 2,331        |
| <b>Directorate Expenditure</b>  | <b>62,927</b> | <b>632</b> | <b>504</b> | <b>52,457</b> | <b>47,789</b> | <b>4,668</b> | <b>57,508</b> | <b>5,419</b> |
| East Riding Of Yorkshire Place  | 1,637         | 25         | 16         | 1,364         | 995           | 369          | 1,114         | 523          |
| Hull Place  | 1,486         | 25         | 18         | 1,239         | 972           | 266          | 1,166         | 320          |
| North Lincolnshire Place  | 1,196         | 20         | 16         | 997           | 805           | 192          | 997           | 199          |
| North Yorkshire Place   | 2,017         | 32         | 18         | 1,681         | 1,304         | 377          | 1,560         | 457          |
| York Place  | 1,167         | 18         | 12         | 972           | 735           | 237          | 924           | 243          |
| North East Lincolnshire Place   | 754           | 34         | 37         | 629           | 492           | 137          | 584           | 171          |
| <b>Place Teams Expenditure</b>  | <b>8,257</b>  | <b>154</b> | <b>116</b> | <b>6,881</b>  | <b>5,303</b>  | <b>1,578</b> | <b>6,345</b>  | <b>1,912</b> |
| <b>Collaboratives &amp; Other Expenditure</b>                         | <b>1,643</b>  | <b>0</b>   | <b>30</b>  | <b>1,369</b>  | <b>866</b>    | <b>503</b>   | <b>2,246</b>  | <b>(603)</b> |
| <b>Total Expenditure</b>  | <b>75,540</b> | <b>786</b> | <b>650</b> | <b>59,970</b> | <b>53,958</b> | <b>6,013</b> | <b>66,905</b> | <b>8,635</b> |
| <b>QEP/Efficiencies</b>   | (7,992)       |            |            | (5,994)       | 0             | (5,994)      |               | (7,992)      |
| <b>Total Expenditure after Efficiencies</b>                           | <b>67,548</b> | <b>786</b> | <b>650</b> | <b>53,976</b> | <b>53,958</b> | <b>19</b>    | <b>66,905</b> | <b>643</b>   |

## 10. Summary Provider Agency Expenditure

The ICS agency costs target for 2023/24 is £59.4m. This is a reduction from the 2022/23 target of £64.7m (£5.3m reduction).

Table 9 shows the detailed agency expenditure at staff group and provider level. At month 10, ICS providers are £17.8m overspent against year-to-date target and forecasting that full year target will be exceeded by £21.2m by the year end.

Agency costs have continued to increase in 2023/24 mainly as consequence of industrial action. In comparison to 2022/23, year to date costs are £3.1m higher in 2023/24 at M10 and forecasting to be below 2022/23 outturn by £0.3m.

Table 9:

## Summary Provider Agency Expenditure - 2023/24 (M10)

|   | 2023/24 Plan  | Year to Date Position |               |                 | Forecast      | Forecast Variance | Prior Yr Comparator         |                             |
|---|---------------|-----------------------|---------------|-----------------|---------------|-------------------|-----------------------------|-----------------------------|
|   |               | YTD Budget            | YTD Actual    | YTD Variance    |               |                   | Var PY M10 YTD VS 23/24 YTD | Var PY Outturn VS 23/24 FOT |
|   |               | £'000                 | £'000         | £'000           |               |                   | £'000                       | £'000                       |
| <b>Registered nursing, midwifery and health visiting staff</b>                    | <b>22,956</b> | <b>20,112</b>         | <b>24,939</b> | <b>(4,827)</b>  | <b>28,519</b> | <b>(5,563)</b>    | <b>1,779</b>                | <b>4,166</b>                |
| Allied health professionals   | 2,046         | 1,705                 | 2,272         | (567)           | 2,686         | (640)             | (367)                       | (538)                       |
| Other scientific, therapeutic and technical staff                                 | 158           | 136                   | 106           | 30              | 129           | 29                | 52                          | 66                          |
| Healthcare scientists   | 0             | 0                     | 0             | 0               | 0             | 0                 | 29                          | 29                          |
| <b>Healthcare scientists and scientific, therapeutic and technical staff</b>      | <b>2,204</b>  | <b>1,841</b>          | <b>2,378</b>  | <b>(537)</b>    | <b>2,815</b>  | <b>(611)</b>      | <b>(286)</b>                | <b>(443)</b>                |
| Qualified ambulance service staff   | 0             | 0                     | 0             | 0               | 0             | 0                 | 0                           | 0                           |
| Support to nursing staff  | 1,303         | 1,120                 | 673           | 447             | 826           | 477               | 1,047                       | 1,314                       |
| Support to allied health professionals  | 0             | 0                     | 0             | 0               | 0             | 0                 | 0                           | 0                           |
| Support to other clinical staff   | 48            | 40                    | 41            | (1)             | 70            | (22)              | (25)                        | (49)                        |
| <b>Support to clinical staff</b>  | <b>1,351</b>  | <b>1,160</b>          | <b>714</b>    | <b>446</b>      | <b>896</b>    | <b>455</b>        | <b>1,022</b>                | <b>1,265</b>                |
| <b>Total non-medical - Clinical staff agency</b>                                  | <b>26,511</b> | <b>23,113</b>         | <b>28,031</b> | <b>(4,918)</b>  | <b>32,230</b> | <b>(5,719)</b>    | <b>2,515</b>                | <b>4,987</b>                |
| <b>Medical and dental agency</b>  |               |                       |               |                 |               |                   |                             |                             |
| Consultants   | 20,006        | 17,242                | 23,739        | (6,497)         | 27,314        | (7,307)           | (3,818)                     | (3,213)                     |
| Career/staff grades   | 2,879         | 2,414                 | 4,151         | (1,737)         | 5,139         | (2,260)           | (626)                       | (41)                        |
| Trainee grades  | 7,358         | 6,230                 | 10,309        | (4,079)         | 12,361        | (5,003)           | (1,713)                     | (2,094)                     |
| <b>Total medical and dental staff agency</b>                                      | <b>30,243</b> | <b>25,886</b>         | <b>38,200</b> | <b>(12,313)</b> | <b>44,813</b> | <b>(14,570)</b>   | <b>(6,158)</b>              | <b>(5,347)</b>              |
| <b>Non medical - non-clinical staff agency</b>                                    |               |                       |               |                 |               |                   |                             |                             |
| NHS infrastructure support  | 2,621         | 2,198                 | 2,771         | (573)           | 3,473         | (852)             | 555                         | 685                         |
| Any others  | 12            | 10                    | 20            | (10)            | 21            | (9)               | (16)                        | 16                          |
| <b>Total non medical - non-clinical staff agency</b>                              | <b>2,633</b>  | <b>2,208</b>          | <b>2,791</b>  | <b>(583)</b>    | <b>3,494</b>  | <b>(861)</b>      | <b>539</b>                  | <b>702</b>                  |
| <b>Total pay bill - agency &amp; contract staff excl. capitalised staff costs</b> | <b>59,387</b> | <b>51,207</b>         | <b>69,021</b> | <b>(17,814)</b> | <b>80,537</b> | <b>(21,150)</b>   | <b>(3,104)</b>              | <b>342</b>                  |
| <b>MEMO: Agency Expenditure by Provider</b>                                       |               |                       |               |                 |               |                   |                             |                             |
| Harrogate and District NHS FT   | 9,552         | 7,960                 | 6,762         | 1,198           | 7,968         | 1,584             | 2,115                       | 2,943                       |
| Hull University Teaching Hospitals NHS Trust                                      | 9,722         | 8,097                 | 11,004        | (2,907)         | 12,848        | (3,126)           | (2,331)                     | (1,440)                     |
| Humber Teaching NHS FT  | 6,106         | 5,255                 | 6,570         | (1,315)         | 7,884         | (1,778)           | 643                         | 889                         |
| Northern Lincolnshire and Goole NHS FT  | 16,707        | 15,458                | 24,078        | (8,620)         | 28,489        | (11,782)          | (202)                       | 342                         |
| York and Scarborough Teaching Hospitals NHS FT                                    | 17,300        | 14,437                | 20,607        | (6,170)         | 23,348        | (6,048)           | (3,329)                     | (2,392)                     |
| <b>Total Provider Agency Expenditure</b>  | <b>59,387</b> | <b>51,207</b>         | <b>69,021</b> | <b>(17,814)</b> | <b>80,537</b> | <b>(21,150)</b>   | <b>(3,104)</b>              | <b>342</b>                  |

## 11. ICB Cash Position

For the 10 months to the end of January the ICB has used £3,166.6m (82.5%). Working on a straight-line basis for cash use, the ICB would be expected to have used no more than £3,199.6m (83.3%), so usage is below this by £33.0m (or just 0.9% of the full cash resource allocation).

Table 10:

## ICB Cash Position - 2023/24 (M10)

|   | Opening Balance | Cash Drawdown | Prescription & Dental Drawdown | Other Income | Cash Payment | Closing Balance | Closing Balance as % of drawdown | Achieved Target?      |
|---|-----------------|---------------|--------------------------------|--------------|--------------|-----------------|----------------------------------|-----------------------|
|   | £m              | £m            | £m                             | £m           | £m           | £m              |                                  |                       |
| <b>Total Cash Drawdown Allocation £3,839.5m</b> |                 |               |                                |              |              |                 |                                  |                       |
| <b>Monthly straight-line drawdown £319.96m</b>  |                 |               |                                |              |              |                 |                                  |                       |
| April   | 0.37            | 268.00        | 27.00                          | 5.57         | (299.76)     | 1.17            | 0.44%                            | YES                   |
| May   | 1.17            | 263.00        | 31.60                          | 4.21         | (298.93)     | 1.06            | 0.40%                            | YES                   |
| June  | 1.06            | 295.00        | 35.14                          | 4.48         | (334.87)     | 0.81            | 0.27%                            | YES                   |
| July  | 0.81            | 276.00        | 36.32                          | 4.00         | (316.07)     | 1.05            | 0.38%                            | YES                   |
| August  | 1.05            | 272.00        | 36.51                          | 2.25         | (311.22)     | 0.59            | 0.22%                            | YES                   |
| September                                       | 0.59            | 288.00        | 36.29                          | 2.88         | (326.72)     | 1.04            | 0.36%                            | YES                   |
| October   | 1.04            | 289.00        | 33.39                          | 3.98         | (326.76)     | 0.64            | 0.22%                            | YES                   |
| November  | 0.64            | 285.00        | 37.98                          | 2.56         | (325.91)     | 0.28            | 0.10%                            | YES                   |
| December  | 0.28            | 302.60        | 37.10                          | 4.25         | (343.46)     | 0.78            | 0.26%                            | YES                   |
| January   | 0.78            | 280.00        | 36.65                          | 7.35         | (323.98)     | 0.80            | 0.29%                            | YES                   |
| Cash drawn down to Date (actual)                |                 | 3,166.57      |                                |              |              |                 |                                  |                       |
| Cash Drawdown Allocation (straight line)        |                 | 3,199.60      |                                |              |              |                 |                                  |                       |
| Difference                                      |                 | (33.03)       |                                |              |              |                 |                                  | Note: Target is 1.25% |

## 12. System BPPC Performance

Table 11 shows the Better Payment Practice Code (BPPC) performance at provider and ICB level. Under the BPPC, NHS providers paid 91% of total bills by value and 92% by volume against the target on 95%. It is expected that this will increase in future months to meet the target. The ICB achieved above the 95% NHSE national target across both metrics.

| Table 11: ICS BPPC Performance - 2023/24 (M10) |        |       |         |       |        |       |
|--|--------|-------|---------|-------|--------|-------|
| Organisation                                   | NHS    |       | Non NHS |       | Total  |       |
|  | Volume | Value | Volume  | Value | Volume | Value |
| York and Scarborough Teaching Hospitals NHS FT | 74%    | 79%   | 86%     | 90%   | 86%    | 87%   |
| Harrogate and District NHS FT                  | 83%    | 88%   | 94%     | 94%   | 93%    | 92%   |
| Northern Lincolnshire and Goole NHS FT         | 93%    | 96%   | 94%     | 96%   | 93%    | 96%   |
| Humber Teaching NHS FT                         | 89%    | 94%   | 93%     | 92%   | 93%    | 93%   |
| Hull University Teaching Hospital NHS Trust    | 90%    | 93%   | 96%     | 87%   | 96%    | 88%   |
| <b>Total NHS Provider Performance</b>          | 86%    | 90%   | 93%     | 92%   | 92%    | 91%   |
| <b>Total ICB Performance</b>                   | 98%    | 100%  | 98%     | 97%   | 98%    | 99%   |

## 13. Recommendations

The Board is asked to:

- Note the system financial position for both year to date and forecast outturn.
- Note the residual level of risk identified at M10 that will need be managed to deliver planned/forecast position.



## Appendix 1

| Forecast ICB Efficiencies - by Category and Scheme - 2023/24 (M10) |               |                   |              |                         |                          |                       |              |               |
|--|---------------|-------------------|--------------|-------------------------|--------------------------|-----------------------|--------------|---------------|
| ICB Efficiencies   | ICB Central   | East Riding Place | Hull Place   | North East Lincolnshire | North Lincolnshire Place | North Yorkshire Place | York Place   | Total         |
|  | £'000         | £'000             | £'000        | £'000                   | £'000                    | £'000                 | £'000        | £'000         |
| <b>ICB Efficiencies - By Category</b>                              |               |                   |              |                         |                          |                       |              |               |
| Acute  | 0             | 250               | 0            | 0                       | 0                        | 0                     | 501          | 751           |
| Community Healthcare   | 6,680         | 3,038             | 1,050        | 2,562                   | 3,325                    | 5,248                 | 760          | 22,663        |
| Mental Health  | 996           | 543               | 908          | 0                       | 2,259                    | 1,977                 | 404          | 7,087         |
| Primary Care (inc. Primary Co-Commissioning)                       | 0             | 1,930             | 1,298        | 1,552                   | 2,051                    | 2,709                 | 3,198        | 12,738        |
| All-age Continuing Care  | 0             | 2,663             | 2,287        | 263                     | 1,636                    | 6,765                 | 4,358        | 17,972        |
| Running Costs  | 7,992         | 0                 | 0            | 0                       | 0                        | 0                     | 0            | 7,992         |
| Other Programme Services   | 1,308         | 100               | 0            | 280                     | 0                        | 92                    | 0            | 1,780         |
| Unidentified   | 1,500         | 0                 | 0            | 289                     | 1,207                    | 1,495                 | 0            | 4,491         |
| <b>Total ICB Efficiencies</b>                                      | <b>18,476</b> | <b>8,524</b>      | <b>5,543</b> | <b>4,946</b>            | <b>10,478</b>            | <b>18,286</b>         | <b>9,221</b> | <b>75,474</b> |
| <b>ICB Efficiencies - By scheme</b>                                |               |                   |              |                         |                          |                       |              |               |
| Demand Management (referrals)                                      | 0             | 0                 | 0            | 0                       | 0                        | 0                     | 0            | 0             |
| Pathway transformation   | 6,500         | 3,288             | 1,050        | 2,562                   | 4,004                    | 7,233                 | 2,397        | 27,034        |
| All-age Continuing Care - Commissioning/Procurement                | 0             | 2,663             | 2,287        | 263                     | 1,636                    | 6,765                 | 4,358        | 17,972        |
| Mental Health - reducing out of area placements                    | 1,000         | 543               | 908          | 0                       | 2,259                    | 0                     | 0            | 4,710         |
| Primary Care Prescribing   | 0             | 1,730             | 1,298        | 963                     | 1,372                    | 2,480                 | 1,604        | 9,447         |
| Transforming community-based primary care                          | 0             | 200               | 0            | 589                     | 0                        | 150                   | 0            | 939           |
| GP IT transformation   | 0             | 0                 | 0            | 0                       | 0                        | 46                    | 121          | 167           |
| Non-NHS Procurement  | 0             | 0                 | 0            | 0                       | 0                        | 0                     | 741          | 741           |
| Estates / NHS property rationalisation                             | 0             | 0                 | 0            | 0                       | 0                        | 116                   | 0            | 116           |
| Establishment reviews  | 7,992         | 0                 | 0            | 0                       | 0                        | 0                     | 0            | 7,992         |
| Other  | 1,485         | 100               | 0            | 280                     | 0                        | 0                     | 0            | 1,865         |
| Unidentified   | 1,500         | 0                 | 0            | 289                     | 1,207                    | 1,495                 | 0            | 4,491         |
| <b>Total ICB Efficiencies - by scheme</b>                          | <b>18,477</b> | <b>8,524</b>      | <b>5,543</b> | <b>4,946</b>            | <b>10,478</b>            | <b>18,285</b>         | <b>9,221</b> | <b>75,474</b> |

| Forecast ICS Provider Efficiencies - by Category - 2023/24 (M10) |                             |                                   |                        |                                      |                           |                |
|--|-----------------------------|-----------------------------------|------------------------|--------------------------------------|---------------------------|----------------|
| Provider Efficiencies  | Harrogate & District NHS FT | Hull University Teaching Hosp NHS | Humber Teaching NHS FT | Northern Lincolnshire & Goole NHS FT | York & Scarborough NHS FT | Total          |
|  | £'000                       | £'000                             | £'000                  | £'000                                | £'000                     | £'000          |
| <b>Pay Efficiencies</b>  |                             |                                   |                        |                                      |                           |                |
| Agency - price cap compliance                                    | 0                           | 0                                 | 0                      | 0                                    | 0                         | 0              |
| Agency - eliminate off framework supply                          | 150                         | 1,000                             | 0                      | 945                                  | 708                       | 2,803          |
| Bank - collaborative working                                     | 0                           | 0                                 | 0                      | 0                                    | 0                         | 0              |
| Bank - rate review   | 0                           | 0                                 | 0                      | 0                                    | 102                       | 102            |
| Establishment reviews  | 5,128                       | 7,025                             | 4,648                  | 15,183                               | 21,444                    | 53,428         |
| E-Rostering  | 0                           | 303                               | 0                      | 158                                  | 41                        | 502            |
| Corporate services transformation - pay                          | 102                         | 0                                 | 146                    | 2,506                                | 289                       | 3,043          |
| Digital transformation   | 2                           | 0                                 | 0                      | 0                                    | 0                         | 2              |
| Service re-design - pay  | 1,135                       | 29,657                            | 651                    | 1,131                                | 0                         | 32,574         |
| Other - pay (balance - please provide description)               | 0                           | 0                                 | 0                      | 1,414                                | 88                        | 1,502          |
| Unidentified - pay (please provide commentary)                   | 0                           | 0                                 | 0                      | 0                                    | 0                         | 0              |
| <b>Total Pay Efficiencies</b>                                    | <b>6,517</b>                | <b>37,985</b>                     | <b>5,445</b>           | <b>21,337</b>                        | <b>22,672</b>             | <b>93,956</b>  |
| <b>Non-pay Efficiencies</b>                                      |                             |                                   |                        |                                      |                           |                |
| Medicines optimisation   | 234                         | 35                                | 0                      | 180                                  | 1,866                     | 2,315          |
| Procurement (excl drugs) -non-clinical                           | 299                         | 4,383                             | 199                    | 2,904                                | 1,635                     | 9,420          |
| Procurement (excl drugs) - medical dev & clinical cons           | 288                         | 3,424                             | 0                      | 1,248                                | 8,578                     | 13,538         |
| Estates and Premises transformation                              | 449                         | 137                               | 1,424                  | 1,138                                | 1,224                     | 4,372          |
| Fleet optimisation   | 0                           | 0                                 | 0                      | 0                                    | 0                         | 0              |
| Pathology & imaging networks                                     | 100                         | 344                               | 0                      | 0                                    | 402                       | 846            |
| Corporate services transformation - non-pay                      | 739                         | 395                               | 555                    | 374                                  | 119                       | 2,182          |
| Digital transformation   | 25                          | 102                               | 0                      | 22                                   | 272                       | 421            |
| Service re-design - Non-pay                                      | 1,678                       | 0                                 | 1,321                  | 995                                  | 0                         | 3,994          |
| Other - Non-pay (balance - please provide description)           | 71                          | 0                                 | 900                    | (0)                                  | 355                       | 1,326          |
| Unidentified - non-pay (please provide commentary)               | 7,389                       | 0                                 | 0                      | 0                                    | 0                         | 7,389          |
| <b>Total Non-pay Efficiencies</b>                                | <b>11,271</b>               | <b>8,820</b>                      | <b>4,399</b>           | <b>6,861</b>                         | <b>14,451</b>             | <b>45,802</b>  |
| <b>Income Efficiencies</b>                                       |                             |                                   |                        |                                      |                           |                |
| Income Private Patient   | 0                           | 0                                 | 0                      | 117                                  | 451                       | 568            |
| Income Overseas Visitors   | 0                           | 0                                 | 0                      | 468                                  | 20                        | 488            |
| Income Non-Patient Care  | 2,957                       | 2,648                             | 1,500                  | 1,107                                | 274                       | 8,486          |
| Income Other (balance - please provide description)              | 87                          | 0                                 | 272                    | 58                                   | 46                        | 463            |
| <b>Total Income Efficiencies</b>                                 | <b>3,044</b>                | <b>2,648</b>                      | <b>1,772</b>           | <b>1,750</b>                         | <b>791</b>                | <b>10,004</b>  |
| <b>Total Provider Efficiencies</b>                               | <b>20,832</b>               | <b>49,453</b>                     | <b>11,616</b>          | <b>29,948</b>                        | <b>37,914</b>             | <b>149,763</b> |

## Appendix 2

| ICS Provider Expenditure Against CDEL - 2023/24 (M10) |                             |                                   |                        |                                      |                           |                |
|---|-----------------------------|-----------------------------------|------------------------|--------------------------------------|---------------------------|----------------|
| Scheme Category                                       | Harrogate & District NHS FT | Hull University Teaching Hosp NHS | Humber Teaching NHS FT | Northern Lincolnshire & Goole NHS FT | York & Scarborough NHS FT | Total          |
|   | £'000                       | £'000                             | £'000                  | £'000                                | £'000                     | £'000          |
| Backlog maintenance - Moderate and low risk           | 3,178                       | 0                                 | 1,649                  | 0                                    | 0                         | 4,827          |
| Backlog maintenance - Significant and high risk (CIR) | 2,000                       | 8,617                             | 0                      | 11,275                               | 5,014                     | 26,906         |
| Equipment - clinical diagnostics                      | 658                         | 0                                 | 0                      | 1,146                                | 0                         | 1,804          |
| Equipment - clinical Other                            | 0                           | 7,378                             | 0                      | 1,704                                | 579                       | 9,661          |
| Equipment - non clinical                              | 0                           | 437                               | 650                    | 0                                    | 0                         | 1,087          |
| Equipment - clinical theatres & critical care         | 0                           | 0                                 | 0                      | 318                                  | 0                         | 318            |
| IT - Clinical Systems                                 | 0                           | 0                                 | 1,930                  | 0                                    | 0                         | 1,930          |
| IT - Cybersecurity, Infrastructure/Networking         | 0                           | 1,610                             | 0                      | 1,676                                | 0                         | 3,286          |
| IT - Hardware   | 0                           | 0                                 | 700                    | 0                                    | 1,600                     | 2,300          |
| IT - Other  | 0                           | 0                                 | 0                      | 263                                  | 0                         | 263            |
| New Build - A&E/AAU                                   | 0                           | 0                                 | 0                      | 0                                    | 5,000                     | 5,000          |
| New Build - Diagnostics                               | 0                           | 0                                 | 0                      | 0                                    | 3,000                     | 3,000          |
| New Build - Multiple areas/ Other                     | 0                           | 5,806                             | 0                      | 0                                    | 3,961                     | 9,767          |
| New Build - Theatres & critical care                  | 0                           | 68                                | 0                      | 0                                    | 0                         | 68             |
| Plant and machinery                                   | 1,485                       | 1,577                             | 0                      | 0                                    | 0                         | 3,062          |
| Routine maintenance (non-backlog) - Land, Buildings   | 3,086                       | 0                                 | 310                    | 24                                   | 0                         | 3,420          |
| Other - including investment property                 | 0                           | 0                                 | 2,389                  | 0                                    | 0                         | 2,389          |
| <b>Total Charge against CDEL</b>                      | <b>10,407</b>               | <b>25,493</b>                     | <b>7,628</b>           | <b>16,406</b>                        | <b>19,154</b>             | <b>79,088</b>  |
| <b>Impact of IFRS 16</b>                              | <b>1,118</b>                | <b>1,797</b>                      | <b>6,162</b>           | <b>782</b>                           | <b>7,255</b>              | <b>17,114</b>  |
| Screening - Diagnostics Programme                     | 54                          | 510                               | 0                      | 115                                  | 218                       | 897            |
| Community Diagnostic Centres                          | 1,049                       | 1,282                             | 0                      | 22,722                               | 15,832                    | 40,885         |
| Critical Cybersecurity Infrastructure Risks           | 30                          | 124                               | 0                      | 0                                    | 55                        | 209            |
| Diagnostic Digital Capability Programme               | 456                         | 359                               | 0                      | 2,109                                | 0                         | 2,924          |
| Diagnostic Imaging Capacity                           | 0                           | 1,552                             | 0                      | 0                                    | 474                       | 2,026          |
| Endoscopy - Increasing Capacity                       | 0                           | 602                               | 0                      | 0                                    | 31                        | 633            |
| Elective Recovery/Targeted Investment Fund            | 2,000                       | 17,712                            | 0                      | 0                                    | 2,467                     | 22,179         |
| Front Line Digitisation                               | 4,800                       | 1,823                             | 3,334                  | 2,251                                | 1,621                     | 13,829         |
| Mental Health   | 0                           | 0                                 | 477                    | 0                                    | 0                         | 477            |
| STP Wave 4  | 0                           | 0                                 | 0                      | 2,015                                | 8,850                     | 10,865         |
| UEC Capacity  | 0                           | 2,770                             | 0                      | 0                                    | 0                         | 2,770          |
| Digital Technology - Other                            | 0                           | 50                                | 0                      | 0                                    | 7                         | 57             |
| LED Lighting  | 0                           | 174                               | 0                      | 0                                    | 451                       | 625            |
| PFI capital charges (e.g. residual interest)          | 0                           | 1,444                             | 0                      | 0                                    | 0                         | 1,444          |
| <b>Expenditure against Additional Schemes</b>         | <b>8,389</b>                | <b>28,402</b>                     | <b>3,811</b>           | <b>29,212</b>                        | <b>30,006</b>             | <b>99,820</b>  |
| <b>Total Revised Forecast Outturn</b>                 | <b>19,914</b>               | <b>55,692</b>                     | <b>17,601</b>          | <b>46,400</b>                        | <b>56,415</b>             | <b>196,022</b> |
| <b>Total Revised Capital Plan</b>                     | <b>21,916</b>               | <b>55,279</b>                     | <b>11,439</b>          | <b>46,435</b>                        | <b>54,238</b>             | <b>189,307</b> |
| <b>Variance (Due to IFRS16)</b>                       | <b>2,002</b>                | <b>(413)</b>                      | <b>(6,162)</b>         | <b>35</b>                            | <b>(2,177)</b>            | <b>(6,715)</b> |

**ICS Provider Expenditure Against Additional Schemes - 2023/24 (M10)**

| Capital Scheme                   | Scheme Category                                       | Harrogate            | Hull                   | Humber             | Northern                | York &                | Total         |
|----------------------------------|---|----------------------|------------------------|--------------------|-------------------------|-----------------------|---------------|
|                                  |   | & District<br>NHS FT | University<br>Teaching | Teaching<br>NHS FT | Lincolnshire<br>& Goole | Scarborough<br>NHS FT |               |
|                                  |   | £'000                | £'000                  | £'000              | £'000                   | £'000                 | £'000         |
| Estates Maintenance (Backlog)    | Backlog Maintenance - Moderate and low risk           | 1,081                | 0                      | 0                  | 0                       | 0                     | 1,081         |
| RAAC                             | Backlog Maintenance - Significant and high risk (CIR) | 2,000                | 0                      | 0                  | 0                       | 0                     | 2,000         |
| Salix                            | Backlog Maintenance - Moderate and low risk           | 848                  | 0                      | 0                  | 0                       | 0                     | 848           |
| Ward                             | Routine maintenance (non-backlog) - Land, Buildings   | 2,441                | 0                      | 0                  | 0                       | 0                     | 2,441         |
| Reconfiguration                  | Equipment - clinical diagnostics                      | 658                  | 0                      | 0                  | 0                       | 0                     | 658           |
| Asceptics Refurbishment          | Plant and machinery                                   | 1,710                | 0                      | 0                  | 0                       | 0                     | 1,710         |
| Contingency                      | Routine maintenance (non-backlog) - Land, Buildings   | 208                  | 0                      | 0                  | 0                       | 0                     | 208           |
| HIF                              | Backlog Maintenance - Moderate and low risk           | 415                  | 0                      | 0                  | 0                       | 0                     | 415           |
| ED2 reconfiguration              | Routine maintenance (non-backlog) - Land, Buildings   | 319                  | 0                      | 0                  | 0                       | 0                     | 319           |
| Carry fwd from 22/23             | Backlog Maintenance - Moderate and low risk           | 1,033                | 0                      | 0                  | 0                       | 0                     | 1,033         |
| Equipment replacement            | Equipment - clinical Other                            | (306)                | 0                      | 0                  | 1,704                   | 0                     | 1,398         |
| IM&T                             | IT - Cybersecurity, Infrastructure/Networking         | 0                    | 1,610                  | 0                  | 0                       | 0                     | 1,610         |
| Compliance                       | Backlog Maintenance - Significant and high risk (CIR) | 0                    | 3,737                  | 0                  | 0                       | 0                     | 3,737         |
| Replacements                     | Equipment - clinical Other                            | 0                    | 7,212                  | 0                  | 0                       | 0                     | 7,212         |
| Non Medical Equipment            | Equipment - non clinical                              | 0                    | 437                    | 0                  | 0                       | 0                     | 437           |
| Spend to Save                    | Plant and machinery                                   | 0                    | 151                    | 0                  | 0                       | 0                     | 151           |
| Strategic Other (Rev/Cap)        | Plant and machinery                                   | 0                    | 1,426                  | 0                  | 0                       | 0                     | 1,426         |
| Feasibility                      | New Build - Multiple areas/ Other                     | 0                    | 21                     | 0                  | 0                       | 0                     | 21            |
| (contingency/reserves) (net      | Equipment - clinical Other                            | 0                    | 175                    | 0                  | 0                       | 0                     | 175           |
| Digestive Suite                  | New Build - Multiple areas/ Other                     | 0                    | 5,785                  | 0                  | 0                       | 0                     | 5,785         |
| Theatres - c/f 22/23             | Backlog Maintenance - Significant and high risk (CIR) | 0                    | 4,871                  | 0                  | 0                       | 0                     | 4,871         |
| Other Commitments c/f 22/23      | Equipment - clinical Other                            | 0                    | 0                      | 0                  | 0                       | 0                     | 0             |
| Day Surgery Phase 2 internal     | New Build - Theatres & critical care                  | 0                    | 68                     | 0                  | 0                       | 0                     | 68            |
| Clinical Environmental Risk      | Backlog Maintenance - Moderate and low risk           | 0                    | 0                      | 150                | 0                       | 0                     | 150           |
| Maintenance                      | Backlog Maintenance - Moderate and low risk           | 0                    | 0                      | 300                | 0                       | 0                     | 300           |
| Statutory Compliance             | Backlog Maintenance - Moderate and low risk           | 0                    | 0                      | 250                | 0                       | 0                     | 250           |
| Granville Court - Refurbishment  | Other - including investment property                 | 0                    | 0                      | 100                | 0                       | 0                     | 100           |
| PLACE                            | Routine maintenance (non-backlog) - Land, Buildings   | 0                    | 0                      | 200                | 0                       | 0                     | 200           |
| CQC Seclusion Compliance         | Other - including investment property                 | 0                    | 0                      | 1,000              | 0                       | 0                     | 1,000         |
| Humber Centre                    | Other - including investment property                 | 0                    | 0                      | 500                | 0                       | 0                     | 500           |
| IT                               | IT - Hardware   | 0                    | 0                      | 700                | 0                       | 0                     | 700           |
| Pine View                        | Other - including investment property                 | 0                    | 0                      | 614                | 0                       | 0                     | 614           |
| Other                            | Backlog Maintenance - Moderate and low risk           | 0                    | 0                      | 346                | 0                       | 0                     | 346           |
| Humber                           | IT - Clinical Systems                                 | 0                    | 0                      | 1,980              | 0                       | 0                     | 1,980         |
| staff attack alarms              | Equipment - non clinical                              | 0                    | 0                      | 650                | 0                       | 0                     | 650           |
| Backlog fees                     | Backlog Maintenance - Moderate and low risk           | 0                    | 0                      | 150                | 0                       | 0                     | 150           |
| Inpatient bathrooms              | Backlog Maintenance - Moderate and low risk           | 0                    | 0                      | 230                | 0                       | 0                     | 230           |
| Inpatient accommodation          | Backlog Maintenance - Moderate and low risk           | 0                    | 0                      | 173                | 0                       | 0                     | 173           |
| Decarbonisation                  | Routine maintenance (non-backlog) - Land, Buildings   | 0                    | 0                      | 110                | 0                       | 0                     | 110           |
| PICU Extra Care                  | Other - including investment property                 | 0                    | 0                      | 80                 | 0                       | 0                     | 80            |
| Townend Air Conditioning         | Backlog Maintenance - Moderate and low risk           | 0                    | 0                      | 50                 | 0                       | 0                     | 50            |
| Inpatient Redesign               | Other - including investment property                 | 0                    | 0                      | 45                 | 0                       | 0                     | 45            |
| DPOW & SGH AAU                   | Backlog Maintenance - Significant and high risk (CIR) | 0                    | 0                      | 0                  | 6,859                   | 0                     | 6,859         |
| Theatres                         | Backlog Maintenance - Significant and high risk (CIR) | 0                    | 0                      | 0                  | 173                     | 0                     | 173           |
| Feasibility Fees                 | Backlog Maintenance - Significant and high risk (CIR) | 0                    | 0                      | 0                  | 100                     | 0                     | 100           |
| Ward/Clinical Refurbishment      | Backlog Maintenance - Significant and high risk (CIR) | 0                    | 0                      | 0                  | 163                     | 0                     | 163           |
| BLM                              | Backlog Maintenance - Significant and high risk (CIR) | 0                    | 0                      | 0                  | 692                     | 0                     | 692           |
| SGH Fire Alarm                   | Backlog Maintenance - Significant and high risk (CIR) | 0                    | 0                      | 0                  | 2,200                   | 0                     | 2,200         |
| IM&T Infrastructure              | IT - Cybersecurity, Infrastructure/Networking         | 0                    | 0                      | 0                  | 1,676                   | 0                     | 1,676         |
| Blood fridge relocation          | Backlog Maintenance - Significant and high risk (CIR) | 0                    | 0                      | 0                  | 1,088                   | 0                     | 1,088         |
| Maternity IT system              | IT - Other  | 0                    | 0                      | 0                  | 263                     | 0                     | 263           |
| Maternity Triage DPOW            | Routine maintenance (non-backlog) - Land, Buildings   | 0                    | 0                      | 0                  | 24                      | 0                     | 24            |
| Audiology Booths                 | Equipment - clinical diagnostics                      | 0                    | 0                      | 0                  | 0                       | 0                     | 0             |
| Surgical Hub Goole               | Equipment - clinical theatres & critical care         | 0                    | 0                      | 0                  | 318                     | 0                     | 318           |
| SGH MRI                          | Equipment - clinical diagnostics                      | 0                    | 0                      | 0                  | 1,146                   | 0                     | 1,146         |
| SGH UECC - Internal funding      | New Build - A&E/AAU                                   | 0                    | 0                      | 0                  | 0                       | 5,000                 | 5,000         |
| York - VIU/ PACU                 | New Build - Diagnostics                               | 0                    | 0                      | 0                  | 0                       | 3,000                 | 3,000         |
| DIS Plan                         | IT - Hardware   | 0                    | 0                      | 0                  | 0                       | 1,600                 | 1,600         |
| Backlog Maintenance & Ward       | Backlog Maintenance - Significant and high risk (CIR) | 0                    | 0                      | 0                  | 0                       | 5,014                 | 5,014         |
| Medical equipment                | Equipment - clinical Other                            | 0                    | 0                      | 0                  | 0                       | 579                   | 579           |
| Decarbonisation York             | New Build - Multiple areas/ Other                     | 0                    | 0                      | 0                  | 0                       | 394                   | 394           |
| Other schemes < £500k            | New Build - Multiple areas/ Other                     | 0                    | 0                      | 0                  | 0                       | 3,526                 | 3,526         |
| Prioritisation process           | New Build - Multiple areas/ Other                     | 0                    | 0                      | 0                  | 0                       | 41                    | 41            |
| <b>Total Charge against CDEL</b> |   | <b>10,407</b>        | <b>25,493</b>          | <b>7,628</b>       | <b>16,406</b>           | <b>19,154</b>         | <b>79,088</b> |

**ICS Provider Expenditure Against Additional Schemes - 2023/24 (M10)**

| Capital Scheme                                | Scheme Category                                       | Harrogate & District NHS FT | Hull University Teaching | Humber Teaching NHS FT | Northern Lincolnshire & Goole | York & Scarborough NHS FT | Total         |
|---|---|-----------------------------|--------------------------|------------------------|-------------------------------|---------------------------|---------------|
|   |   | £'000                       | £'000                    | £'000                  | £'000                         | £'000                     | £'000         |
| TIF2  | New Build - Theatres & critical care                  | 2,000                       | 0                        | 0                      | 0                             | 0                         | 2,000         |
| EPR   | IT - Other  | 4,800                       | 80                       | 0                      | 0                             | 0                         | 4,880         |
| Community Diagnostic Centre                   | Equipment - clinical diagnostics                      | 1,049                       | 0                        | 0                      | 0                             | 0                         | 1,049         |
| Bowel Screening                               | Equipment - clinical diagnostics                      | 54                          | 0                        | 0                      | 0                             | 0                         | 54            |
| Cybersecurity                                 | IT - Cybersecurity, Infrastructure/Networking         | 30                          | 0                        | 0                      | 0                             | 0                         | 30            |
| Ediagnostics                                  | Equipment - clinical diagnostics                      | 456                         | 0                        | 0                      | 0                             | 0                         | 456           |
| Day Surgery PDC Phase 2                       | New Build - Theatres & critical care                  | 0                           | 17,712                   | 0                      | 0                             | 0                         | 17,712        |
| Digital Diagnostics                           | IT - Other  | 0                           | 359                      | 0                      | 0                             | 0                         | 359           |
| Frontline Dig                                 | IT - Other  | 0                           | 1,743                    | 0                      | 0                             | 0                         | 1,743         |
| UTC   | New Build - Multiple areas/ Other                     | 0                           | 2,770                    | 0                      | 0                             | 0                         | 2,770         |
| LED   | Plant and machinery                                   | 0                           | 174                      | 0                      | 0                             | 0                         | 174           |
| IRT 4   | Equipment - clinical diagnostics                      | 0                           | 1,552                    | 0                      | 0                             | 0                         | 1,552         |
| Public Health Equipment                       | Equipment - clinical diagnostics                      | 0                           | 510                      | 0                      | 0                             | 0                         | 510           |
| Endoscopy Equipment                           | Equipment - clinical Other                            | 0                           | 602                      | 0                      | 0                             | 0                         | 602           |
| CDC Ophthalmology                             | Equipment - clinical Other                            | 0                           | 782                      | 0                      | 0                             | 0                         | 782           |
| CDC   | Equipment - clinical Other                            | 0                           | 500                      | 0                      | 0                             | 0                         | 500           |
| Cyber Security                                | IT - Cybersecurity, Infrastructure/Networking         | 0                           | 124                      | 0                      | 60                            | 0                         | 184           |
| Hep C equipment                               | Equipment - clinical Other                            | 0                           | 50                       | 0                      | 0                             | 0                         | 50            |
| YHCR - Any to Any                             | IT - Other  | 0                           | 0                        | 0                      | 0                             | 0                         | 0             |
| EPR   | IT - Clinical Systems                                 | 0                           | 0                        | 1,268                  | 2,191                         | 0                         | 3,459         |
| Inpatient accommodation                       | Backlog Maintenance - Moderate and low risk           | 0                           | 0                        | 477                    | 0                             | 0                         | 477           |
| IT Network Upgrades                           | IT - Hardware   | 0                           | 0                        | 554                    | 0                             | 0                         | 554           |
| EPR - Messaging Add on                        | IT - Clinical Systems                                 | 0                           | 0                        | 212                    | 0                             | 0                         | 212           |
| ConCR   | IT - Clinical Systems                                 | 0                           | 0                        | 1,300                  | 0                             | 0                         | 1,300         |
| DPOW & SGH AAU                                | Backlog Maintenance - Significant and high risk (CIR) | 0                           | 0                        | 0                      | 2,015                         | 0                         | 2,015         |
| Path LIMS                                     | IT - Clinical Systems                                 | 0                           | 0                        | 0                      | 1,600                         | 0                         | 1,600         |
| iRefer/Home reporting/Image                   | IT - Clinical Systems                                 | 0                           | 0                        | 0                      | 509                           | 0                         | 509           |
| North Lincs CDC                               | New Build - Diagnostics                               | 0                           | 0                        | 0                      | 17,488                        | 0                         | 17,488        |
| North Lincs CDC                               | Equipment - clinical diagnostics                      | 0                           | 0                        | 0                      | 0                             | 0                         | 0             |
| North East Lincs CDC                          | Routine maintenance (non-backlog) - Land, Buildings   | 0                           | 0                        | 0                      | 4,288                         | 0                         | 4,288         |
| North East Lincs CDC                          | Equipment - clinical diagnostics                      | 0                           | 0                        | 0                      | 946                           | 0                         | 946           |
| Colposcopy                                    | Equipment - clinical diagnostics                      | 0                           | 0                        | 0                      | 46                            | 0                         | 46            |
| Cepheid machines x2                           | Equipment - clinical diagnostics                      | 0                           | 0                        | 0                      | 69                            | 0                         | 69            |
| SGH UECC - PDC funded                         | New Build - A&E/AAU                                   | 0                           | 0                        | 0                      | 0                             | 8,850                     | 8,850         |
| Diagnostic -NEY-1 / NEY27-7 /                 | Equipment - clinical diagnostics                      | 0                           | 0                        | 0                      | 0                             | 474                       | 474           |
| York - VIU/ PACU                              | New Build - Diagnostics                               | 0                           | 0                        | 0                      | 0                             | 2,467                     | 2,467         |
| Electronic Patient Record                     | IT - Clinical Systems                                 | 0                           | 0                        | 0                      | 0                             | 1,621                     | 1,621         |
| CDC - Scarborough Hub                         | New Build - Diagnostics                               | 0                           | 0                        | 0                      | 0                             | 12,392                    | 12,392        |
| CDC - Selby & Askham Bar                      | New Build - Diagnostics                               | 0                           | 0                        | 0                      | 0                             | 3,440                     | 3,440         |
| Area - JAG Accredited Space                   | New Build - Land, buildings and dwellings             | 0                           | 0                        | 0                      | 0                             | 31                        | 31            |
| Camera  | Equipment - clinical diagnostics                      | 0                           | 0                        | 0                      | 0                             | 32                        | 32            |
| Equipment                                     | Equipment - clinical diagnostics                      | 0                           | 0                        | 0                      | 0                             | 33                        | 33            |
| (CIP)   | IT - Cybersecurity, Infrastructure/Networking         | 0                           | 0                        | 0                      | 0                             | 55                        | 55            |
| sites   | New Build - Land, buildings and dwellings             | 0                           | 0                        | 0                      | 0                             | 451                       | 451           |
| Clinics                                       | Equipment - clinical diagnostics                      | 0                           | 0                        | 0                      | 0                             | 153                       | 153           |
| Pathway Plan Funding                          | IT - Cybersecurity, Infrastructure/Networking         | 0                           | 0                        | 0                      | 0                             | 7                         | 7             |
| interest)                                     |   | 0                           | 1,444                    | 0                      | 0                             | 0                         | 1,444         |
| <b>Expenditure against Additional Schemes</b> |   | <b>8,389</b>                | <b>28,402</b>            | <b>3,811</b>           | <b>29,212</b>                 | <b>30,006</b>             | <b>99,820</b> |