



Humber and North Yorkshire
Health and Care Partnership



**Humber and
North Yorkshire**
Integrated Care Board (ICB)

Integrated Finance Report for the period ending

30 November 2023

For presentation at the

ICB Board

Date: 10/01/2024

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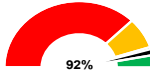
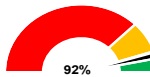
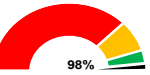
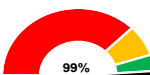
1. Executive Financial Summary

The Humber and North Yorkshire NHS system delivered a breakeven financial position in 2022/23. For 2023/24 the system-wide financial plan is a deficit of £30m, with an expectation that the system continues to seek ways to bring the system into financial balance.

In November, NHS England provided the NHS with £800m of resources to cover the cost of industrial action, relaxed the criteria for achievement of additional elective recovery funding and allowed ICB's to use unspent specific resources to mitigate some unplanned inflationary pressures. NHSE have asked for these additional resources to be reflected in the month 9 reported position, although some providers have taken the opportunity to reflect some of these resources at month 8. As a result, the overall reported risk has reduced and the year to date position has slightly improved but will improve further at month 9 when all the changes are reflected.

At the end of Month 8, whilst the system continues to forecast in line with planned £30m deficit, there continues to be some significant risks that will require management and mitigation to deliver this forecast for the year.

The table below describes the key financial indicators across both the ICB and NHS providers as at the end of November (Month 8):

Humber and North Yorkshire ICS						
Executive ICS Financial Summary - 2023/24 (M08)						
	Plan YTD (£m)	Actual YTD (£m)	Variance YTD (£m)	Plan 31/03/24 (£m)	Forecast 31/03/24 (£m)	Variance (£m)
Key Financial Indicators	ICS Financial Position - Surplus/(Deficit)					
	The ICS Providers are reporting a £16.36m adverse variance against a M08 planned deficit of £31.32m. The full year forecast remains in line with plan at £30m deficit.					
	(31.32)	(47.68)	(16.36)	(30.00)	(30.00)	0.00
	The ICB is reporting a £5.18m YTD overspend at M08 and a forecast position that is consistent with submitted plans					
	0.00	(5.18)	(5.18)	0.00	0.00	0.00
	ICB QEP/Efficiency					
	The ICB has delivered a M08 YTD actual position of £49.28m against £44.99m plan (£4.29m favourable variance). The forecast is to achieve £2.21m above £72.24m plan.					
	44.99	49.28	4.29	72.24	74.46	2.21
NHS Provider QEP/Efficiency						
The ICS providers reported a YTD actual of £74.70m against YTD plan of £88.62m plan (£13.92m adverse variance). The forecast position at M8 is to deliver an efficiency target of £146.91m at 31 Mar 2024 (an underachievement of £13.15m against plan).						
88.62	74.70	(13.92)	160.06	146.91	(13.15)	
System capital expenditure against the Capital Department Expenditure Limit (CDEL) is forecasting an overspend of £28.71m against £175.48m plan. This is mainly a timing issue associated with additional scheme allocation not currently reflected in the annual plan. Year to date actual is showing an underspend of £28.44m mainly due to profiling of additional schemes and some leases starting later than planned.						
90.56	62.13	28.44	175.48	204.18	(28.71)	
ICB Running Costs Position						
The ICB is reporting a breakeven position for year to date and forecast outturn.						
22.94	22.94	0.00	34.41	34.41	0.00	
Provider Agency Costs						
ICS Provider's agency spend is £12.65m above target at M08. This is mainly attributable to strike cover and general reliance on agency staff. The forecast position is an overspend of £21.10m against the full year target of £59.20m.						
42.71	55.37	(12.65)	59.20	80.31	(21.10)	
Other Financial Indicators	The ICB MHIS target was updated to 9.08% at M6 to reflect the 2022/23 final outturn position, impact of the 1.6% 2023/24 Agenda for Change and 0.6% medical staff pay awards.					
	9.08%	9.08%	0.0%	9.08%	9.08%	0.0%
	Cash Management					
	The M08 ICB cash balance is reported as 0.10% and within the NHSE cash drawdown target of <1.25%.					
Better Payment Practice Code (BPPC) YTD			Percentage of Invoices Paid Within 95% Target (Volume and Value)			
To comply with the BPPC, all valid invoices must be paid within 30 days of receipt. The national target is 95%.			VOLUME		VALUE	
Under the Better Payment Practice Code (BPPC), the ICS NHS providers have paid 92% of total bills (by volume) and 92% (by value) against the target of 95%.						
The ICB has achieved above the 95% NHSE national target across both metrics.						

2. System Financial Commentary

This report presents the financial position for the system, including NHS providers and the ICB for the period ended 30 November 2023.

2.1. System Financial Position

The month 8 position for the system is a deficit of £52.9m against a planned deficit of £31.3m, representing a year to date overspend of £21.5m, which represents an improvement of £12.4m on the £33.9m overspend at month 7.

The main reason for the adverse variance is slippage against efficiency targets, with delivery being £9.6m below plan as at month 8, impact of industrial action, provider underperformance against ERF targets and pay and inflationary pressures. These pressures have been offset in part through budgeted expenditure starting later in the

year than initially planned. At month 8, the system is forecasting to deliver the planned £30m deficit. There are, however, significant risks to delivery of this plan that require management in year.

2.2. System Risk

At Month 8, £84.1m of gross risk has been highlighted with £48.8m of risk with providers and £35.3m with the ICB. This has decreased by £21m from previous month mainly due to financial reset assumptions on additional funding into the system and further reduction to the ERF target. Following initial mitigating actions and management, the residual risk reported at M8 is £26.9m (£19m providers and £7.9m ICB). This is an improvement from previous month's assessment mainly because of financial reset assumptions. Provider gross risk is mainly on delivery against challenging efficiency targets, impact of any further industrial action, projected underperformance against current ERF target and pay pressures and the ICB gross risks relate to delivery against efficiency targets, independent sector non-ERF risks, prescribing, continuing healthcare and high-cost mental health patients.

The system continues with work to identify further mitigating actions to address the residual risk within the system.

2.3. System Efficiencies

The system is reporting a £9.6m shortfall against an efficiency target of £133.6m at Month 8. This comprises of the providers delivering £74.7m against a £88.6m plan (£13.9m adverse) and the ICB delivering £49.3m against a £45m plan (£4.3m favourable). The forecast for the year is to deliver £221.4m of savings with £147m by providers (shortfall of £13.2m against target) and £74.5m by the ICB (£2.2m above plan).

There is a requirement for the system to focus on recurrent efficiency schemes to be in place in this financial year and the system continues to work towards this in 2023/24 to support the in-year position and the recurrent underlying position of the ICS.

2.4. System Capital

Capital expenditure, including all funding streams and IFRS, is £28.4m underspent as at month 8. This reflects an underspend of £6.4m against system CDEL, £1.7m underspend against IFRS16 and £20.3m against provider specific schemes.

The 2023/24 forecast position for the ICS is an overspend of £8.8m after central NHSE adjustments to plans and forecast for timing differences. The overspend forecast is mainly due to overspend at Humber Teaching of £4.5m attributable to a 37-year lease at Whitby Hospital that is expected to qualify as an intra DOH/NHS lease and will

therefore be an allowable expenditure. The balance of FOT overspend of £4.3m is mainly due to IFRS16 capital funding allocated being lower than planned value.

2.5. ICB Establishment Costs

The ICB's overall establishment costs budget includes a savings/efficiency target of £8m that is required in 2023/24 to support the ICB financial position. This is expected to be delivered through vacancy control in line with other NHS organisations. At Month 8, the year-to-date position is showing a shortfall of £0.2m against plan. The forecast based on information at month 8 is a shortfall of £1m against the savings target. A further review will be done at month 9 as part of the wider forecast assessment work across all expenditure areas.

2.6. Mental Health Investment Standard

The ICB is meeting the MHIS target of 9.08% (includes 1.6% agenda for change pay award and 0.7% medical staff pay award) at month 8 and is forecasting delivery against the target for 2023/24.

3. Summary System Surplus / (Deficit)

The overall ICS system position is shown at Table 1, highlighting an actual £52.9m deficit year to date, a £21.5m adverse variance against plan. Majority of this variance, £16.4m, is reported at provider level mainly due to shortfall against efficiencies (£13.9m below plan as at M8), impact of strikes, underperformance against ERF targets and pay and inflationary pressures offset by delayed scheme expenditure. The ICB variance to date is £5.2m mainly relating to Mental Health out of contract/area costs, prescribing and continuing healthcare offset in part by reserves and Primary care delegated costs.

The key actions being taken to address the year-to-date variances and in year risks are continuing to be:

- Reducing cost pressures - reliance on premium agency, minimising escalation beds and greater control of non-pay consumables.
- Maximising planned care activity, reducing reliance on Independent Sector (IS) and Waiting List Initiative (WLI) premium costs.
- Focus on delivering a challenging stretch CIP programme, further work on maximising recurrent delivery schemes and identifying new schemes.
- Compliance with plan sign off review requirements.
- Compliance with Humber and North Yorkshire (HNY) Financial Controls Checklist.
- Review of balance sheets

Allowing for place variances being offset by central ICB, the North Yorkshire and York place has the largest deficit of circa £29m after 8 months which is £18m adverse variance to plan. The North and North East Lincolnshire place has the next highest YTD deficit of circa £16m but is better than plan by circa £2m mainly due to the 'back-end loading' of efficiency plans at NLAG.

Table 1: Summary ICS Surplus / (Deficit) - 2023/24 (M08)						
Organisation	2023/24 Plan £'000	Surplus / (Deficit)			Surplus / (Deficit)	
		YTD Budget £'000	YTD Actual £'000	YTD Variance £'000	FOT £'000	FOT Variance £'000
East Riding Of Yorkshire Place	(5,546)	(3,698)	(4,861)	(1,163)	(5,965)	(419)
Hull Place	3,154	2,103	(783)	(2,886)	(776)	(3,931)
Hull University Teaching Hospitals NHS Trust	(7,231)	(3,100)	(3,065)	35	(7,231)	(0)
Humber Teaching NHS FT	0	0	0	0	0	0
Hull and East Riding	(9,623)	(4,695)	(8,709)	(4,014)	(13,972)	(4,350)
North East Lincolnshire Place	(4,056)	(2,704)	(4,980)	(2,276)	(5,840)	(1,784)
North Lincolnshire Place	(7,876)	(5,250)	(3,873)	1,377	(5,480)	2,396
Northern Lincolnshire and Goole NHS FT	(13,355)	(17,529)	(15,777)	1,752	(13,355)	(0)
North and North East Lincolnshire	(25,286)	(25,483)	(24,630)	853	(24,675)	611
North Yorkshire Place	(682)	(455)	(466)	(11)	1,511	2,193
York Place	(3,080)	(2,053)	(2,396)	(343)	(6,246)	(3,166)
York and Scarborough Teaching Hospitals NHS FT	(15,414)	(14,701)	(24,949)	(10,248)	(15,415)	1
Harrogate and District NHS FT	6,000	4,013	(3,890)	(7,903)	6,000	(0)
North Yorkshire and York	(13,176)	(13,196)	(31,701)	(18,505)	(14,150)	(973)
ICB Central	18,086	12,057	12,181	124	22,797	4,711
TOTAL ICS SURPLUS/(DEFICIT)	(30,000)	(31,317)	(52,859)	(21,542)	(30,000)	(0)
ICB Total	(0)	0	(5,178)	(5,178)	0	0
ICS Provider Total	(30,000)	(31,317)	(47,681)	(16,364)	(30,000)	(0)
TOTAL ICS SURPLUS/(DEFICIT)	(30,000)	(31,317)	(52,859)	(21,542)	(30,000)	(0)

4. ICB Summary Income & Expenditure

The summary ICB position is at Table 2 and at Month 8 shows a £5.2m year to date overspend and a breakeven position for full year forecast consistent with financial plans.

Within the overall forecast breakeven position, the main forecast expenditure variances are:

- **Acute Services** forecasting an underspend of £0.7m. This position is as a result of release of contingencies and prior year benefits offsetting overspend in non-ERF activity at independent sector providers. Independent sector contracts are being reviewed to scope possible management in year to contain costs within contracted levels for non-ERF activity.
- **Mental Health Services** forecasting a £3.5m overspend mainly because of increased costs in out of area and S117 packages of care. This position is being reviewed and actions including cost challenges are being explored and pursued.

- **Community Services** forecasting a £1m overspend mainly relating to out of contract cost pressures at place level. Further review is being done to understand how these can be managed.
- **Continuing care services** forecasting a £4.1m overspend mainly due to high-cost packages of care and price increase offset in part by technical efficiencies.
- **Primary Care Services (Excl Prescribing)** forecasting a small £0.4m underspend.
- **Prescribing** forecasting a breakeven position based on the Regional Drug and Therapeutic Centre information. It should be noted that this forecast is materially different to the cost growth seen to date and at odds with internal forecasting which indicates a risk of circa £10m above planned levels. This risk is captured within the ICB risk assessment at Section 7 of this report.
The ICB is continuing to review the year to date and forecast outturn position to provide a more robust assessment considering both national and local information at Month 9.
- **Primary Medical Services (PC Co – Comm)** forecasting a £1.5m underspend ICB place level to support some of the overspending areas of expenditure.
- **Delegated Dental, Ophthalmic & Pharmacy Services** forecasting a £4.8m underspend ICB place level to support some of the overspending areas of expenditure.

Table 2: Summary ICB Income & Expenditure - 2023/24 (M08)

ICB I&E Analysis	2023/24 Plan £'000	Year to Date Position			Forecast Outturn	
		YTD Budget £'000	YTD Actual £'000	YTD Variance £'000	FOT £'000	FOT Variance £'000
System Revenue Resource Limit	(3,828,884)	(2,538,849)				
ICB Expenditure						
Acute Services	1,890,100	1,245,363	1,248,364	(3,001)	1,889,421	678
<i>of which is Intra-System</i>	1,504,234	994,449	1,129,227	(134,777)	1,504,279	(45)
<i>of which is Inter-System</i>	277,109	184,739	184,928	(188)	277,262	(152)
<i>Blocks LVA & Other Non NHS</i>	108,756	66,175	(65,790)	131,965	107,881	876
Mental Health Services	374,760	249,639	253,971	(4,333)	378,217	(3,457)
<i>of which is Intra-System</i>	98,473	65,812	65,901	(89)	98,482	(9)
<i>of which is Inter-System</i>	140,022	92,884	93,160	(276)	139,290	732
<i>MH IS & Other Non NHS</i>	136,265	90,943	94,910	(3,967)	140,445	(4,180)
Community Health Services	346,571	230,900	233,434	(2,534)	347,536	(965)
<i>of which is Intra-System</i>	111,929	74,698	75,194	(496)	111,737	192
<i>of which is Inter-System</i>	0	0	0	0	0	0
<i>IS & Other Non NHS</i>	234,642	156,201	158,240	(2,039)	235,799	(1,157)
Continuing Care Services	213,568	142,026	143,691	(1,665)	217,702	(4,134)
Primary Care Services	387,196	259,442	262,109	(2,667)	386,795	401
<i>Prescribing</i>	344,062	230,571	233,027	(2,456)	344,062	(0)
Primary Medical Services (PC Co-Comm)	327,251	224,758	221,768	2,990	325,803	1,448
Delegated Dental, Ophthalmic and Pharmacy Services	170,139	113,597	113,597	(0)	165,339	4,800
<i>Other Programme Services</i>	45,078	29,777	27,999	1,779	43,779	1,299
<i>Other Commissioned Services</i>	14,000	9,322	7,500	1,822	14,265	(265)
<i>Reserves / Contingencies</i>	25,814	11,086	8,655	2,431	25,619	195
ICB Running Costs	34,407	22,938	22,938	0	34,407	(0)
<i>of which is Pay</i>	22,308	14,872	11,836	3,037	19,820	2,488
<i>of which is Non-Pay</i>	12,099	8,066	11,103	(3,037)	14,587	(2,488)
Total ICB NET EXPENDITURE	3,828,884	2,538,849	2,544,026	(5,178)	3,828,884	0
MEMO: ICB Surplus/(Deficit) Breakdown						
East Riding Of Yorkshire Place	564,553	379,500	384,361	(4,861)	570,518	(5,965)
Hull Place	712,434	470,541	471,324	(783)	713,211	(776)
North East Lincolnshire Place	343,491	228,038	233,017	(4,980)	349,332	(5,840)
North Lincolnshire Place	340,995	225,269	229,143	(3,873)	346,475	(5,480)
North Yorkshire Place	904,468	600,849	601,315	(466)	902,958	1,511
York Place	653,445	433,607	436,003	(2,396)	659,692	(6,246)
ICB Central	309,496	201,044	188,863	12,181	286,700	22,797
TOTAL ICB SURPLUS/(DEFICIT)	3,828,884	2,538,849	2,544,027	(5,178)	3,828,884	0

5. ICS Provider Income & Expenditure

The Month 8 position for the ICS NHS Providers (Table 3) is a deficit of £47.7m against a planned deficit of £31.3m, representing a year to date overspend of £16.4m which is an improvement of £15.9m due to the recognition of additional resources as part of the financial reset.

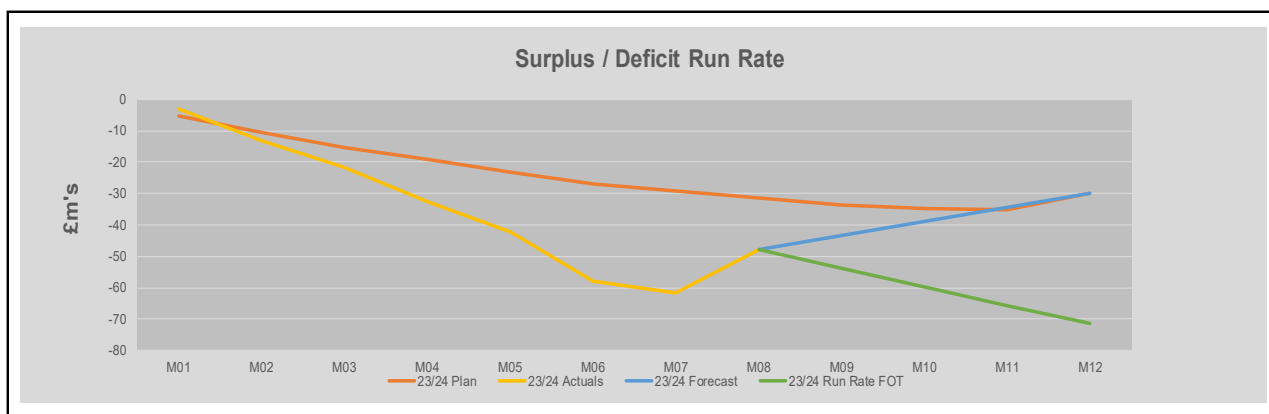
The adverse variance is mainly due to slippage against efficiency targets, with delivery being £13.9m below plan as at month 8 and inflationary pressures. These pressures have been offset in part through budgeted expenditure starting later in the year than initially planned.

Table 3: Summary ICS Provider I&E Position - 2023/24 (M08)

Organisation	2023/24 Plan £'000	Year to Date Position			Forecast Outturn	
		YTD Budget £'000	YTD Actual £'000	YTD Variance £'000	FOT £'000	FOT Variance £'000
York and Scarborough Teaching Hospitals NHS FT						
Income	701,300	466,624	495,989	29,365	746,843	45,543
Agency	(17,300)	(11,568)	(15,862)	(4,294)	(23,348)	(6,048)
Other pay	(459,328)	(307,654)	(321,341)	(13,687)	(471,711)	(12,383)
Pay	(476,628)	(319,222)	(337,203)	(17,981)	(495,059)	(18,431)
Non-Pay	(229,160)	(154,815)	(177,601)	(22,786)	(258,301)	(29,141)
Non Operating Items (exc gains on disposal)	(10,926)	(7,288)	(6,134)	1,154	(8,897)	2,029
Provider Surplus/(Deficit)	(15,414)	(14,701)	(24,949)	(10,248)	(15,415)	(1)
Harrogate and District NHS FT						
Income	336,065	224,053	225,919	1,866	343,353	7,288
Agency	(9,552)	(6,368)	(5,749)	619	(7,623)	1,929
Other pay	(228,646)	(152,432)	(159,792)	(7,360)	(235,188)	(6,542)
Pay	(238,198)	(158,800)	(165,541)	(6,741)	(242,811)	(4,613)
Non-Pay	(88,073)	(58,752)	(62,323)	(3,571)	(91,469)	(3,396)
Non Operating Items (exc gains on disposal)	(3,794)	(2,488)	(1,946)	542	(3,073)	721
Provider Surplus/(Deficit)	6,000	4,013	(3,890)	(7,903)	6,000	0
Northern Lincolnshire and Goole NHS FT						
Income	526,365	350,936	357,910	6,974	537,184	10,819
Agency	(16,525)	(13,902)	(19,878)	(5,976)	(29,364)	(12,839)
Other pay	(346,288)	(235,431)	(236,110)	(679)	(345,845)	443
Pay	(362,813)	(249,333)	(255,988)	(6,655)	(375,209)	(12,396)
Non-Pay	(169,918)	(114,478)	(114,875)	(397)	(171,094)	(1,176)
Non Operating Items (exc gains on disposal)	(6,990)	(4,654)	(2,824)	1,830	(4,236)	2,754
Provider Surplus/(Deficit)	(13,355)	(17,529)	(15,777)	1,752	(13,355)	0
Hull University Teaching Hospitals NHS Trust						
Income	808,830	539,477	557,680	18,203	839,092	30,262
Agency	(9,722)	(6,477)	(8,587)	(2,110)	(12,752)	(3,030)
Other pay	(460,200)	(306,927)	(316,947)	(10,020)	(464,341)	(4,141)
Pay	(469,922)	(313,404)	(325,534)	(12,130)	(477,093)	(7,171)
Non-Pay	(331,049)	(219,313)	(225,932)	(6,619)	(354,792)	(23,743)
Non Operating Items (exc gains on disposal)	(15,090)	(9,860)	(9,279)	581	(14,438)	652
Provider Surplus/(Deficit)	(7,231)	(3,100)	(3,065)	35	(7,231)	0
Humber Teaching NHS FT						
Income	242,501	161,507	164,430	2,923	246,645	4,144
Agency	(6,105)	(4,398)	(5,290)	(892)	(7,220)	(1,115)
Other pay	(148,604)	(99,123)	(99,057)	66	(149,414)	(810)
Pay	(154,709)	(103,521)	(104,347)	(826)	(156,634)	(1,925)
Non-Pay	(85,574)	(56,705)	(59,007)	(2,302)	(88,094)	(2,520)
Non Operating Items (exc gains on disposal)	(2,218)	(1,281)	(1,076)	205	(1,918)	300
Provider Surplus/(Deficit)	0	0	0	0	0	0
TOTAL ICS PROVIDER SURPLUS/(DEFICIT)	(30,000)	(31,317)	(47,681)	(16,363)	(30,000)	0

The most significant YTD deficit is being reported by York and Scarborough Trust at £10.3m, which has improved by £6m compared to month 7. The Trust was subject to a national review at plan submission stage with a series of recommended actions that the ICB is continuing to work with the Trust to monitor compliance.

All providers are showing an improvement in their year-to-date position reflecting the financial reset impact of additional funding and ERF target reduction. There remains a level of risk to plan delivery for 2023/24.



The above chart illustrates that if the YTD deficit of £47.7m was extrapolated for the full year (green line), this would represent a deficit of circa £72m. However, all providers at month 8 are forecasting delivery of plans which would result in a £30m deficit.

Review of the financial forecasts and the residual risks highlighted at section 7 is ongoing and the overall system ambition is to manage/mitigate these risks in 2023/24 to deliver planned position.

6. System Efficiencies

The system is reporting a £9.6m shortfall against an efficiency target of £133.6m at Month 8. This comprises of the providers delivering £74.7m against a £88.6m plan (£13.9m adverse variance) and the ICB delivering £49.3m against a £45m plan (£4.3m favourable variance).

The forecast for the year is to deliver £221.4m of savings with £146.9m by providers (shortfall of £13.2m against target) and £74.5m by the ICB (surplus of £2.2). The over delivery reported is mainly at York place and is relating to CHC schemes which is currently mitigating some of the impact of in year cost pressures in CHC.

Table 4 shows the position at place and provider level and highlights the recurrent and non-recurrent assessment of schemes. There remains a continued focus to review in year schemes to maximise on recurrent efficiency schemes.

Table 4: ICS Efficiencies – Performance against Plan - 2023/24 (M08)								
ICS Efficiencies	2023/24 Plan	2023/24 Plan Recurrent	2023/24 Plan Non-Recurrent	YTD Budget	YTD Actual	YTD Variance	FOT	FOT Variance
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
ICB Efficiency								
East Riding Of Yorkshire Place	8,524	0	8,524	4,189	5,798	1,609	8,524	0
Hull Place	4,840	2,182	2,658	3,232	3,426	194	5,084	244
North East Lincolnshire Place	4,869	2,559	2,310	2,053	2,228	175	4,869	0
North Lincolnshire Place	9,911	7,546	2,365	6,596	8,212	1,616	10,423	512
North Yorkshire Place	18,039	7,325	10,714	12,029	12,137	108	18,062	23
York Place	7,585	3,222	4,363	5,072	5,663	591	9,020	1,435
ICB Central	18,476	8,992	9,484	11,818	11,819	1	18,476	0
Total ICB Efficiency	72,244	31,826	40,418	44,989	49,283	4,294	74,458	2,214
Recurrent / Non-Recurrent Split								
Recurrent	31,826			20,754	27,530	6,776	40,115	8,289
Non-recurrent	40,418			24,235	21,753	(2,482)	34,343	(6,075)
Total ICB Efficiency	72,244	31,826	40,418	44,989	49,283	4,294	74,458	2,214
Provider Efficiency								
Harrogate & District NHS FT	20,832	6,396	14,436	13,916	8,383	(5,533)	20,832	0
Hull University Teaching Hospitals NHS Trust	53,963	41,915	12,048	32,803	29,549	(3,254)	48,267	(5,696)
Humber Teaching NHS FT	11,616	3,820	7,796	7,314	7,314	0	11,616	0
Northern Lincolnshire & Goole NHS FT	35,736	11,633	24,103	15,150	11,155	(3,995)	28,285	(7,451)
York & Scarborough Teaching Hospitals NHS FT	37,914	27,959	9,955	19,440	18,298	(1,142)	37,914	0
Total Provider Efficiency	160,061	91,723	68,338	88,623	74,699	(13,924)	146,914	(13,147)
Recurrent / Non-Recurrent Split								
Recurrent	91,723			51,369	38,821	(12,548)	70,570	(21,153)
Non-recurrent	68,338			37,254	35,879	(1,375)	76,345	8,007
Total Provider Efficiencies	160,061	91,723	68,338	88,623	74,699	(13,924)	146,914	(13,147)
Total ICS Efficiencies	232,305	123,549	108,756	133,612	123,982	(9,630)	221,372	(10,933)

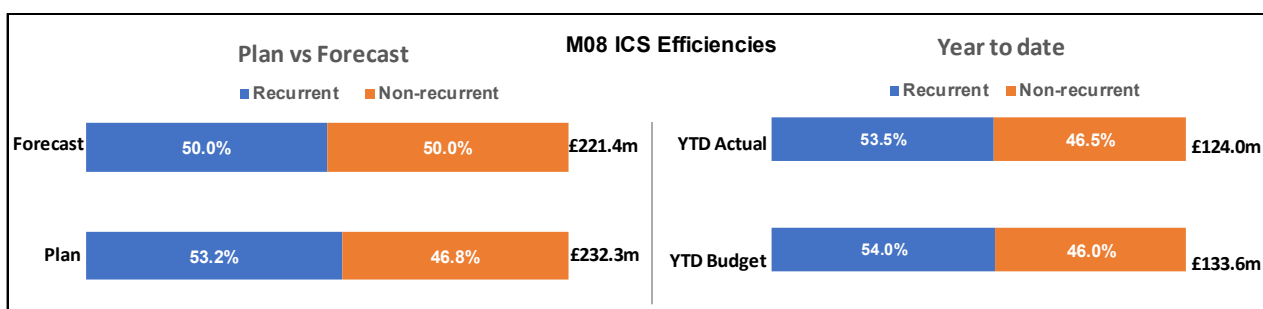


Table 5 shows the status of scheme development for the ICB and across ICS providers. There continues to be improvement overall in schemes that are fully developed in delivery and where plans are in progress. At month 8 this represents 85.2% of overall scheme value compared to month 7 (84%) and month 6 (82%). There remains a small unidentified element (£7.3m).

Table 5: ICS Efficiency Status - 2023/24 (M08)						
ICS Efficiencies	Fully Developed - in delivery	Fully Developed - delivery not yet started	Plans in Progress	Opportunity	Unidentified	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Provider Efficiency as a %						
Harrogate And District NHS FT	61.6%	0.2%	3.1%	0.0%	35.0%	100.0%
Hull University Teaching Hospitals NHS Trust	43.7%	0.8%	30.6%	24.9%	0.0%	100.0%
Humber Teaching NHS FT	83.1%	10.4%	0.0%	6.5%	0.0%	100.0%
Northern Lincolnshire And Goole NHS FT	60.5%	17.8%	21.5%	0.2%	0.0%	100.0%
York And Scarborough Teaching Hospitals NHS FT	68.5%	0.7%	11.3%	19.5%	0.0%	100.0%
Provider Efficiency as a %	59.0%	4.7%	17.6%	13.8%	5.0%	100.0%
ICB Efficiency as a %	50.5%	5.3%	37.3%	4.8%	2.0%	100.0%
ICB Efficiency as a %	56.1%	4.9%	24.2%	10.8%	4.0%	100.0%

Further detail of efficiency schemes at ICB and provider level is contained within tables are at Appendix 1.

7. System Risks and Mitigations

Table 6 below shows the value of gross risks identified at Month 8 at £84.1m, £48.8m of risk with providers and £35.3m with the ICB. This has decreased by £21m from previous month mainly due to financial reset assumptions on additional funding into the system and further reduction to the ERF target. Provider gross risk is mainly on delivery against challenging efficiency targets, impact of industrial action, projected underperformance against current ERF target and pay pressures and the ICB gross risks relate to delivery against efficiency targets, independent sector non-ERF risks, prescribing, continuing healthcare and high-cost mental health patients.

Following initial mitigating actions and management, the residual risk reported at M8 is £26.9m (£19m providers and £7.9m ICB). This is an improvement from previous month's assessment mainly as a result of financial reset assumptions.

The system continues with work to identify further mitigating actions to support delivery of the £30m deficit plan for the system.

Table 6 ICS Risks and Mitigations - 2023/24 (M08)				
Description of risk	Potential Financial Impact before mitigations £'000	Likelihood High/ Medium/ Low	Mitigating actions being taken by system	Potential Financial Impact after mitigations £'000
ICB Risks				
Elective Recovery Funding - IS	(12,243)	Medium	Additional income via risk share or additional ERF income into the system	0
IS - Non ERF	(3,655)	High	In year contract management & use of in year cost savings across all expenditure categories	0
Prescribing	(10,325)	High	Based on local analysis of actual information to date indicating circa 11% growth	(7,853)
Continuing Healthcare	(1,976)	Medium	In year management including focus on efficiencies and further development of savings plans	0
Primary Care Co-Commissioning	(1,607)	High	Use of in year cost savings and contingencies/reserves	0
Mental Health	(3,635)	High	In year management including focus on efficiencies and further development of savings plans	0
Community Health Services	(824)	Medium	In year management including focus on efficiencies and further development of savings plans	0
Delivery of Efficiency target	(792)	High	In year management including focus on efficiencies and further development of savings plans	0
Other	(250)	High	In year management including focus on efficiencies and further development of savings plans	0
ICB Total	(35,307)			(7,853)
Provider Risks				
Delivery of Efficiency target	(27,112)	High	Maximising technical efficiencies and working with care groups to realise savings opportunities	(9,963)
Strike impact	(4,902)	High	No mitigation in place at present, potential for additional income/ERF target reduction	(4,902)
Premium Pay	(2,911)	High	In year management including focus on efficiencies and further development of savings plans	(2,411)
Pay Pressure	(500)	High	In year management including focus on efficiencies and further development of savings plans	0
Drugs	(1,029)	High	In year management including focus on efficiencies and further development of savings plans	0
Elective Recovery Funding	(8,836)	Medium	Review of elective activity position and quantifying impact of specialised services ERF funding	0
Bed Pressures	(700)	High	In year management including focus on efficiencies and further development of savings plans	0
ED Additional Nursing & Medical costs	(1,778)	Medium	In year management including focus on efficiencies and further development of savings plans	(1,778)
Group Restructure	(1,000)	High	In year management including focus on efficiencies and further development of savings plans	0
Winter Pressures	0	High	In year management including focus on efficiencies and further development of savings plans	0
CNST Clawback	0	High	In year management including focus on efficiencies and further development of savings plans	0
Provider Total	(48,768)			(19,054)
Total ICS Risks and Mitigations	(84,075)			(26,907)

8. System Capital Summary

Table 7 sets out the overall Capital summary for the system. At Month 8, there is an underspend of £28.4m across all funding streams including IFRS16. The IFRS16 underspend (£1.7m) against plan is due to several leases starting later than planned, most notably in York & Scarborough and HUTH. The other main variances are slippage against ICB CDEL (£6.4m), TIF schemes (£12.2m) and Frontline Digitisation (£1.9m) and CDC (£5m).

Following submission of the ICB Integrated Finance Return (IFR) there has been a few central adjustments to provider plans and forecasts to reflect all additional income and profiling adjustments required. After these adjustments, the overall ICS inclusive of additional funding streams is forecasting to overspend by £8.8m. This is mainly due to forecast overspend at Humber Teaching of £4.5m attributable to a 37-year lease at Whitby Hospital that is expected to qualify as an intra DOH/NHS lease and will therefore be an allowable expenditure. The balance of FOT overspend of £4.3m is mainly due to IFRS16 capital funding allocated being lower than planned value.

Further scheme level detail is available at Appendix 2 at provider level.

System Capital	2023/24 Plan	ICS ENVELOPE & NET CDEL			FORECAST OUTTURN		ADJUSTED 2023/24 POSITION		
		Plan YTD	Actual YTD	YTD Var	FOT	FOT Var	Revised Plan	Revised FOT	Revised FOT Var
		£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
ICS Envelope (Excluding IFRS 16)									
Harrogate And District NHS Foundation Trust	9,999	6,552	5,819	733	11,563	(1,564)	12,563	11,563	1,000
Hull University Teaching Hospitals NHS Trust	20,605	10,477	12,878	(2,401)	19,004	1,601	19,005	19,004	1
Humber Teaching NHS Foundation Trust	7,628	3,856	2,375	1,481	7,628	0	7,628	7,628	0
Northern Lincolnshire And Goole NHS Foundation Trust	19,106	12,963	7,344	5,619	19,705	(599)	19,705	19,705	0
York And Scarborough Teaching Hospitals NHS FT	19,154	7,250	6,268	982	19,154	0	19,154	19,154	0
ICS Envelope (Excl IFRS16)	76,492	41,098	34,684	6,414	77,054	(562)	78,055	77,054	1,001
ICS Envelope (Impact of IFRS 16)									
Harrogate And District NHS Foundation Trust	0	0	0	0	1,000	(1,000)	0	1,000	(1,000)
Hull University Teaching Hospitals NHS Trust	2,104	1,783	961	822	1,984	120	1,473	1,984	(511)
Humber Teaching NHS Foundation Trust	0	0	79	(79)	6,124	(6,124)	0	6,124	(6,124)
Northern Lincolnshire And Goole NHS Foundation Trust	1,167	377	43	334	838	329	817	838	(21)
York And Scarborough Teaching Hospitals NHS FT	7,255	4,000	3,390	610	7,255	0	5,078	7,255	(2,177)
ICS Envelope (IFRS 16)	10,526	6,160	4,473	1,687	17,201	(6,675)	7,368	17,201	(9,833)
Additional Schemes/Funding									
Harrogate And District NHS Foundation Trust	16,026	10,684	2,302	8,382	8,186	7,840	8,186	8,186	0
Hull University Teaching Hospitals NHS Trust	24,364	12,618	9,279	3,339	26,978	(2,614)	26,977	26,978	(1)
Humber Teaching NHS Foundation Trust	1,958	1,165	673	492	3,254	(1,296)	3,254	3,254	0
Northern Lincolnshire And Goole NHS Foundation Trust	27,468	8,413	839	7,574	37,267	(9,799)	37,266	37,267	(1)
York And Scarborough Teaching Hospitals NHS FT	18,643	10,424	9,877	547	34,244	(15,601)	34,244	34,244	0
Expenditure against Additional Schemes	88,459	43,304	22,970	20,334	109,929	(21,470)	109,927	109,929	(2)
Total Provider - Charge Against Allocation	175,477	90,562	62,127	28,435	204,184	(28,707)	195,350	204,184	(8,834)
NET Provider CDEL									
Harrogate And District NHS Foundation Trust	26,025	17,236	8,121	9,115	20,749	5,276	20,749	20,749	0
Hull University Teaching Hospitals NHS Trust	47,073	24,878	23,118	1,760	47,966	(893)	47,455	47,966	(511)
Humber Teaching NHS Foundation Trust	9,586	5,021	3,127	1,894	17,006	(7,420)	10,882	17,006	(6,124)
Northern Lincolnshire And Goole NHS Foundation Trust	47,741	21,753	8,226	13,527	57,810	(10,069)	57,788	57,810	(22)
York And Scarborough Teaching Hospitals NHS FT	45,052	21,674	19,535	2,139	60,653	(15,601)	58,476	60,653	(2,177)
Total Provider - CDEL	175,477	90,562	62,127	28,435	204,184	(28,707)	195,350	204,184	(8,834)

There is an emerging risk around capital underspends due to slippage on EPR schemes and CDC schemes which providers are working to mitigate. The position is being constantly being reviewed, more information will be provided at future meetings.

9. ICB Establishment Expenditure

The ICB's establishment budgets are set out at Table 8 and summarises the position at directorate and place level as at Month 8.

This overall budget includes a savings/efficiency target of £8m that is required in 2023/24 to support the overall ICB financial position. This is expected to be delivered through vacancy control in line with other NHS organisations. At Month 8, the year-to-date position is showing a shortfall of £0.2m against plan. The expenditure in the second part of the year is expected to be higher than the first to reflect the impact of recruitment and non-pay costs. The forecast based on information at month 8 is a shortfall of £1m against the savings target. A further review will be done at month 9 as part of the wider forecast assessment work across all expenditure areas.

Establishment Expenditure	2023/24 Plan	WTE		YTD Budget	YTD Actual	YTD Variance	FOT	FOT Variance
	£'000	Plan	Actual	£'000	£'000	£'000	£'000	£'000
Clinical Directorate	15,645	63	50	10,396	10,374	22	15,395	250
Comms Directorate	1,911	29	25	1,274	1,101	173	1,658	253
COO Directorate	3,028	19	16	2,019	1,642	377	2,235	793
Executive Management Team	2,043	11	9	1,362	1,404	(42)	1,873	170
Corporate Affairs Directorate	8,514	73	57	5,676	4,805	871	7,554	960
Finance Directorate	7,926	97	76	5,284	4,805	479	6,956	970
People Directorate	1,530	44	25	1,020	851	169	1,530	0
Nursing Directorate	18,164	298	229	12,206	10,440	1,765	16,357	1,807
Directorate Expenditure	58,759	633	488	39,236	35,422	3,815	53,557	5,203
East Riding Of Yorkshire Place	1,637	25	16	1,091	778	313	1,184	453
Hull Place	1,486	25	18	991	797	193	1,213	273
North Lincolnshire Place	1,196	20	16	797	661	136	1,023	173
North Yorkshire Place	2,017	32	18	1,345	1,057	287	1,621	396
York Place	1,167	18	12	778	567	211	864	303
North East Lincolnshire Place	754	34	37	503	365	138	604	150
Place Teams Expenditure	8,257	154	116	5,505	4,226	1,278	6,509	1,748
Collaboratives & Other Expenditure	1,600	0	32	1,067	1,066	0	1,600	0
Total Expenditure	68,621	788	636	45,811	40,718	5,092	61,670	6,951
QEP/Efficiencies	(7,992)			(5,328)	0	(5,328)		(7,992)
Total Expenditure after Efficiencies	60,629	788	636	40,483	40,718	(236)	61,670	(1,041)

10. Summary Provider Agency Expenditure

The ICS agency costs target for 2023/24 is £59.2m. This is a reduction from the 2022/23 target of £64.7m (£5.5m reduction).

Table 9 shows the detailed agency expenditure at staff group and provider level. At month 8, ICS providers are £12.7m overspent against year-to-date target and forecasting that full year target will be exceeded by £21.1m by the year end.

Agency costs have continued to increase in 2023/24 mainly as consequence of industrial action. In comparison to 2022/23, year to date costs are £2.5m higher in 2023/24 at M8 and forecasting to be below 2022/23 outturn by £0.6m.

Table 9: Summary Provider Agency Expenditure - 2023/24 (M08)								
	2023/24 Plan	Year to Date Position			Forecast		Prior Yr Comparator	
		YTD Budget	YTD Actual	YTD Variance	Forecast	Forecast Variance	Var PY M08 YTD VS 23/24 YTD	Var PY Outturn VS 23/24 FOT
		£'000	£'000	£'000	£'000	£'000	£'000	£'000
Registered nursing, midwifery and health visiting staff	23,015	17,277	20,937	(3,659)	29,807	(6,793)	442	2,878
Allied health professionals	2,046	1,366	1,914	(548)	2,796	(750)	(462)	(648)
Other scientific, therapeutic and technical staff	155	107	85	22	128	28	73	68
Healthcare scientists	0	0	0	0	0	0	29	29
Healthcare scientists and scientific, therapeutic and technical staff	2,201	1,473	1,999	(526)	2,923	(722)	(360)	(552)
Qualified ambulance service staff	0	0	0	0	0	0	0	0
Support to nursing staff	1,303	936	602	334	739	564	844	1,401
Support to allied health professionals	0	0	0	0	0	0	0	0
Support to other clinical staff	48	32	31	1	60	(12)	(16)	(39)
Support to clinical staff	1,351	968	633	335	799	552	828	1,362
Total non-medical - Clinical staff agency	26,567	19,718	23,570	(3,851)	33,529	(6,963)	910	3,688
Medical and dental agency								
Consultants	19,995	14,346	18,533	(4,187)	26,609	(6,614)	(2,640)	(2,508)
Career/staff grades	2,879	1,949	2,986	(1,037)	4,509	(1,630)	(185)	590
Trainee grades	7,278	4,984	8,080	(3,096)	12,197	(4,919)	(1,074)	(1,930)
Total medical and dental staff agency	30,153	21,279	29,599	(8,320)	43,315	(13,162)	(3,898)	(3,848)
Non medical - non-clinical staff agency								
NHS infrastructure support	2,473	1,707	2,177	(470)	3,434	(961)	510	725
Any others	12	8	20	(12)	30	(18)	(16)	7
Total non medical - non-clinical staff agency	2,485	1,715	2,197	(482)	3,464	(979)	494	732
Total pay bill - agency & contract staff excl. capitalised staff costs	59,204	42,713	55,366	(12,653)	80,307	(21,103)	(2,495)	572
MEMO: Agency Expenditure by Provider								
Harrogate and District NHS FT	9,552	6,368	5,749	619	7,623	1,929	1,122	3,288
Hull University Teaching Hospitals NHS Trust	9,722	6,477	8,587	(2,110)	12,752	(3,030)	(1,531)	(1,344)
Humber Teaching NHS FT	6,105	4,398	5,290	(892)	7,220	(1,115)	615	1,553
Northern Lincolnshire and Goole NHS FT	16,525	13,902	19,878	(5,976)	29,364	(12,839)	(644)	(533)
York and Scarborough Teaching Hospitals NHS FT	17,300	11,568	15,862	(4,294)	23,348	(6,048)	(2,057)	(2,392)
Total Provider Agency Expenditure	59,204	42,713	55,366	(12,653)	80,307	(21,103)	(2,495)	572

11. ICB Cash Position

The ICB currently has a cash resource allocation of £3,811.3m for the 12 months to 31st March 2024. For the 8 months to the end of November the ICB has used £2,510.2m (65.9%). Working on a straight-line basis for cash use, the ICB would be expected to have used no more than £2,540.8m (66.7%), so usage is below this by £30.6m (or just 0.8% of the full cash resource allocation).

Table 10: ICB Cash Position - 2023/24 (M08)									
	Opening Balance	Cash Drawdown	Prescription & Dental Drawdown	Other Income	Cash Payment	Closing Balance	Closing Balance as % of drawdown	Achieved Target?	
	£m	£m	£m	£m	£m	£m			
Total Cash Drawdown Allocation £3,811.3m									
Monthly straight-line drawdown £317.61m									
April	0.37	268.00	27.00	5.57	(299.76)	1.17	0.44%	YES	
May	1.17	263.00	31.60	4.21	(298.93)	1.06	0.40%	YES	
June	1.06	295.00	35.14	4.48	(334.87)	0.81	0.27%	YES	
July	0.81	276.00	36.32	4.00	(316.07)	1.05	0.38%	YES	
August	1.05	272.00	36.51	2.25	(311.22)	0.59	0.22%	YES	
September	0.59	288.00	36.29	2.88	(326.72)	1.04	0.36%	YES	
October	1.04	289.00	33.39	3.98	(326.76)	0.64	0.22%	YES	
November	0.64	285.00	37.98	2.56	(325.91)	0.28	0.10%	YES	
Cash drawn down to Date (actual)		2,510.22							Note: Target is 1.25%
Cash Drawdown Allocation (straight line)		2,540.84							
Difference		(30.63)							

12. System BPPC Performance

Table 11 shows the Better Payment Practice Code (BPPC) performance at provider and ICB level. Under the BPPC, NHS providers paid 92% of total bills by value and by volume against the target on 95%. It is expected that this will increase in future months to meet the target. The ICB achieved above the 95% NHSE national target across both metrics.

Table 11: ICS BPPC Performance - 2023/24 (M08)						
Organisation	NHS		Non NHS		Total	
	Volume	Value	Volume	Value	Volume	Value
Harrogate and District NHS FT	81%	87%	94%	94%	93%	92%
Hull University Teaching Hospital NHS Trust	90%	93%	96%	87%	96%	88%
Humber Teaching NHS FT	88%	94%	94%	92%	93%	94%
Northern Lincolnshire and Goole NHS FT	93%	96%	95%	96%	93%	96%
York and Scarborough Teaching Hospitals NHS FT	79%	89%	87%	91%	87%	90%
Total NHS Provider Performance	86%	92%	93%	92%	92%	92%
Total ICB Performance	98%	100%	98%	98%	98%	99%

13. Recommendations

The Board is asked to:

- Note the system financial position for both year to date and forecast outturn.
- Note the residual level of risk identified at M8 that will need be managed to deliver planned/forecast position.

Appendix 1

Forecast ICB Efficiencies - by Category and Scheme - 2023/24 (M08)								
ICB Efficiencies	ICB Central	East Riding Place	Hull Place	North East Lincolnshire	North Lincolnshire Place	North Yorkshire Place	York Place	Total
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
ICB Efficiencies - By Category								
Acute	0	250	0	50	0	0	501	801
Community Healthcare	6,680	3,038	1,050	2,316	3,310	5,308	779	22,481
Mental Health	996	543	908	0	2,259	1,977	404	7,087
Primary Care (inc. Primary Co-Commissioning)	0	1,930	1,226	1,426	1,996	2,790	2,730	12,098
All-age Continuing Care	0	2,663	1,900	263	1,651	6,400	3,814	16,691
Running Costs	7,992	0	0	0	0	0	0	7,992
Other Programme Services	1,308	100	0	814	1,207	1,587	792	5,808
Unidentified	1,500	0	0	0	0	0	0	1,500
Total ICB Efficiencies	18,476	8,524	5,084	4,869	10,423	18,062	9,020	74,458
ICB Efficiencies - By scheme								
Demand Management (referrals)	0	0	0	50	0	0	0	50
Pathway transformation	6,500	3,288	1,050	2,316	3,989	7,233	2,004	26,380
All-age Continuing Care - Commissioning/Procurement	0	2,663	1,900	263	1,651	6,400	3,814	16,691
Mental Health - reducing out of area placements	1,000	543	908	0	2,259	0	0	4,710
Primary Care Prescribing	0	0	1,226	826	1,317	2,562	1,582	7,513
Transforming community-based primary care	0	1,874	0	600	0	150	0	2,624
GP IT transformation	0	56	0	0	0	46	121	223
Non-NHS Procurement	0	0	0	0	0	60	707	767
Estates / NHS property rationalisation	0	0	0	0	0	116	0	116
Establishment reviews	7,992	0	0	0	0	0	0	7,992
Other	1,484	100	0	814	1,207	1,495	792	5,892
Unidentified	1,500	0	0	0	0	0	0	1,500
Total ICB Efficiencies - by scheme	18,476	8,524	5,084	4,869	10,423	18,062	9,020	74,458

Forecast ICS Provider Efficiencies - by Category - 2023/24 (M08)						
Provider Efficiencies	Harrogate & District NHS FT	Hull University Teaching Hosp NHS	Humber Teaching NHS FT	Northern Lincolnshire & Goole NHS FT	York & Scarborough NHS FT	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Pay Efficiencies						
Agency - price cap compliance	0	0	0	0	493	493
Agency - eliminate off framework supply	150	1,000	0	929	689	2,768
Bank - collaborative working	0	0	0	0	0	0
Bank - rate review	0	0	0	0	102	102
Establishment reviews	5,169	7,039	5,916	14,483	21,191	53,798
E-Rostering	0	594	0	127	0	721
Corporate services transformation - pay	102	0	146	2,419	404	3,071
Digital transformation	2	125	0	0	0	127
Service re-design - pay	1,135	24,564	603	1,068	0	27,370
Other - pay (balance - please provide description)	0	0	166	1,175	198	1,539
Unidentified - pay (please provide commentary)	0	0	0	0	0	0
Total Pay Efficiencies	6,559	33,322	6,831	20,200	23,077	89,989
Non-pay Efficiencies						
Medicines optimisation	234	35	0	174	2,231	2,674
Procurement (excl drugs) -non-clinical	288	8,201	0	2,978	1,534	13,001
Procurement (excl drugs) - medical dev & clinical cons	299	3,123	0	1,294	7,734	12,450
Estates and Premises transformation	449	137	237	726	1,552	3,101
Fleet optimisation	0	0	0	0	0	0
Pathology & imaging networks	100	354	0	0	404	858
Corporate services transformation - non-pay	739	881	1,232	316	117	3,285
Digital transformation	25	103	0	49	267	444
Service re-design - Non-pay	1,711	0	1,767	984	0	4,462
Other - Non-pay (balance - please provide description)	71	0	696	0	480	1,247
Unidentified - non-pay (please provide commentary)	7,299	0	0	0	0	7,299
Total Non-pay Efficiencies	11,215	12,834	3,932	6,521	14,319	48,821
Income Efficiencies						
Income Private Patient	0	0	0	94	451	545
Income Overseas Visitors	0	0	0	330	20	350
Income Non-Patient Care	2,972	2,111	674	1,059	0	6,817
Income Other (balance - please provide description)	87	0	179	80	47	393
Total Income Efficiencies	3,059	2,111	853	1,564	518	8,104
Total Provider Efficiencies	20,832	48,267	11,616	28,285	37,914	146,914

Appendix 2

ICS Provider Expenditure Against CDEL - 2023/24 (M08)						
Scheme Category	Harrogate & District NHS FT	Hull University Teaching Hosp NHS	Humber Teaching NHS FT	Northern Lincolnshire & Goole NHS FT	York & Scarborough NHS FT	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Backlog maintenance - Moderate and low risk	5,098	0	2,213	0	0	7,311
Backlog maintenance - Significant and high risk (CIR)	2,000	7,634	0	14,997	5,014	29,645
Equipment - clinical diagnostics	1,158	0	0	762	0	1,920
Equipment - clinical Other	0	6,652	0	1,711	520	8,883
Equipment - non clinical	0	369	200	0	0	569
Equipment - clinical theatres & critical care	0	0	0	272	0	272
IT - Clinical Systems	0	0	1,930	0	0	1,930
IT - Cybersecurity, Infrastructure/Networking	0	1,130	0	1,676	0	2,806
IT - Hardware	0	0	700	0	1,600	2,300
IT - Other	0	0	0	263	0	263
New Build - A&E/AAU	0	0	0	0	5,000	5,000
New Build - Diagnostics	0	0	0	0	3,000	3,000
New Build - Multiple areas/ Other	0	1,550	0	0	4,020	5,570
New Build - Theatres & critical care	0	68	0	0	0	68
Plant and machinery	1,110	1,601	0	0	0	2,711
Routine maintenance (non-backlog) - Land, Buildings and dwellings	2,197	0	310	24	0	2,531
Other - including investment property	0	0	2,275	0	0	2,275
Total Charge against CDEL	11,563	19,004	7,628	19,705	19,154	77,054
Impact of IFRS 16	1,000	1,984	6,123	838	7,255	17,200
Screening Diagnostics Programme	54	796	0	46	0	896
Community Diagnostic Centres	818	0	0	27,446	15,832	44,096
Diagnostic Digital Capability Programme	456	359	0	5,509	0	6,324
Diagnostic Imaging Capacity	0	1,552	0	0	474	2,026
Endoscopy Increasing Capacity	0	602	0	0	0	602
Elective Recovery/Targeted Investment Fund	2,000	17,711	0	0	2,467	22,178
Front Line Digitisation	4,858	1,743	2,777	2,251	6,621	18,250
Mental Health	0	0	477	0	0	477
STP Wave 4	0	0	0	2,015	8,850	10,865
UEC Capacity	0	2,770	0	0	0	2,770
PFI capital charges (e.g. residual interest)	0	1,444	0	0	0	1,444
Expenditure against Additional Schemes	8,186	26,977	3,254	37,267	34,244	109,928
Total Revised Forecast Outturn	20,749	47,965	17,005	57,810	60,653	204,182
Total Revised Capital Plan	20,749	47,455	10,882	57,788	58,476	195,350
Variance (Due to IFRS16)	0	510	6,123	22	2,177	8,832

ICS Provider Expenditure Against CDEL - 2023/24 (M08)

Capital Scheme	Scheme Category	Harrogate & District NHS FT	Hull University Teaching	Humber Teaching NHS FT	Northern Lincolnshire & Goole	York & Scarborough NHS FT	Total
		£'000	£'000	£'000	£'000	£'000	£'000
Ward	Routine maintenance (non-backlog) - Land, Buildings	1,574	0	0	0	0	1,574
Reconfiguration	Equipment - clinical diagnostics	1,158	0	0	0	0	1,158
Estates Maintainance (Backlog)	Backlog Maintenance - Moderate and low risk	1,575	0	0	0	0	1,575
Asceptics Refurbishment	Plant and machinery	1,110	0	0	0	0	1,110
Contingency	Routine maintenance (non-backlog) - Land, Buildings	89	0	0	0	0	89
HIF	Backlog Maintenance - Moderate and low risk	635	0	0	0	0	635
ED2 reconfiguration	Routine maintenance (non-backlog) - Land, Buildings	534	0	0	0	0	534
Carry fwd from 22/23	Backlog Maintenance - Moderate and low risk	1,812	0	0	0	0	1,812
Equipment replacement	Equipment - clinical Other	0	0	0	1,711	0	1,711
RAAC	Backlog Maintenance - Significant and high risk (CIR)	2,000	0	0	0	0	2,000
Salix	Backlog Maintenance - Moderate and low risk	1,076	0	0	0	0	1,076
IM&T	IT - Cybersecurity, Infrastructure/Networking	0	1,130	0	0	0	1,130
Compliance	Backlog Maintenance - Significant and high risk (CIR)	0	2,763	0	0	0	2,763
Replacements	Equipment - clinical Other	0	4,029	0	0	0	4,029
Non Medical Equipment	Equipment - non clinical	0	369	0	0	0	369
Spend to Save	Plant and machinery	0	151	0	0	0	151
Strategic Other (Rev/Cap)	Plant and machinery	0	1,450	0	0	0	1,450
Feasability	New Build - Multiple areas/ Other	0	50	0	0	0	50
Strategic Other (contingency/reserves) (net	Equipment - clinical Other	0	383	0	0	0	383
Digestive Suite	New Build - Multiple areas/ Other	0	1,500	0	0	0	1,500
Theatres - c/f 22/23	Backlog Maintenance - Significant and high risk (CIR)	0	4,871	0	0	0	4,871
Other Commitments c/f 22/23	Equipment - clinical Other	0	2,240	0	0	0	2,240
Day Surgery Phase 2 internal	New Build - Theatres & critical care	0	68	0	0	0	68
Clinical Environmental Risk	Backlog Maintenance - Moderate and low risk	0	0	150	0	0	150
Maintenance	Backlog Maintenance - Moderate and low risk	0	0	300	0	0	300
Statutory Compliance	Backlog Maintenance - Moderate and low risk	0	0	250	0	0	250
Granville Court - Refurbishment	Other - including investment property	0	0	100	0	0	100
PLACE	Routine maintenance (non-backlog) - Land, Buildings	0	0	200	0	0	200
CQC Seclusion Compliance	Other - including investment property	0	0	1,000	0	0	1,000
Humber Centre	Other - including investment property	0	0	500	0	0	500
IT	IT - Hardware	0	0	700	0	0	700
Pine View	Other - including investment property	0	0	550	0	0	550
Other	Backlog Maintenance - Moderate and low risk	0	0	510	0	0	510
Care record - yokshire and staff attack alarms	IT - Clinical Systems	0	0	1,930	0	0	1,930
Backlog fees	Equipment - non clinical	0	0	200	0	0	200
Inpatient bathrooms	Backlog Maintenance - Moderate and low risk	0	0	430	0	0	430
ventilation upgrades	Backlog Maintenance - Moderate and low risk	0	0	223	0	0	223
Decarbonisation	Routine maintenance (non-backlog) - Land, Buildings	0	0	110	0	0	110
PICU Extra Care	Other - including investment property	0	0	80	0	0	80
Townend Air Conditioning	Backlog Maintenance - Moderate and low risk	0	0	50	0	0	50
Inpatient Redesign	Other - including investment property	0	0	45	0	0	45
DPOW & SGH AAU	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	11,183	0	11,183
Theatres	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	200	0	200
Feasibility Fees	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	100	0	100
Ward/Clinical Refurbishment	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	123	0	123
BLM	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	1,188	0	1,188
SGH Fire Alarm	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	2,200	0	2,200
IM&T Infrastructure	IT - Cybersecurity, Infrastructure/Networking	0	0	0	1,676	0	1,676
Blood fridge relocation	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	3	0	3
Maternity IT system	IT - Other	0	0	0	263	0	263
Maternity Triage DPOW	Routine maintenance (non-backlog) - Land, Buildings	0	0	0	24	0	24
Audiology Booths	Equipment - clinical diagnostics	0	0	0	160	0	160
Surgical Hub Goole	Equipment - clinical theatres & critical care	0	0	0	272	0	272
SGH MRI	Equipment - clinical diagnostics	0	0	0	602	0	602
SGH UECC - Internal funding	New Build - A&E/AAU	0	0	0	0	5,000	5,000
York - VIU/ PACU	New Build - Diagnostics	0	0	0	0	3,000	3,000
DIS Plan	IT - Hardware	0	0	0	0	1,600	1,600
Backlog Maintenance & Ward	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	0	5,014	5,014
Medical equipment	Equipment - clinical Other	0	0	0	0	520	520
Decarbonisation York	New Build - Multiple areas/ Other	0	0	0	0	355	355
Other schemes < £500k	New Build - Multiple areas/ Other	0	0	0	0	3,634	3,634
Prioritisation process	New Build - Multiple areas/ Other	0	0	0	0	31	31
Total Charge against CDEL		11,563	19,004	7,628	19,705	19,154	77,054

ICS Provider Expenditure Against Additional Schemes - 2023/24 (M08)

Capital Scheme	Scheme Category	Harrogate & District NHS FT	Hull University Teaching	Humber Teaching NHS FT	Northern Lincolnshire & Goole	York & Scarborough NHS FT	Total
		£'000	£'000	£'000	£'000	£'000	£'000
TIF2	New Build - Theatres & critical care	2,000	0	0	0	0	2,000
EPR	IT - Other	4,828	0	0	0	0	4,828
Community Diagnostic Centre	Equipment - clinical diagnostics	818	0	0	0	0	818
Bowel Screening	Equipment - clinical diagnostics	54	0	0	0	0	54
Cybersecurity	IT - Cybersecurity, Infrastructure/Networking	30	0	0	0	0	30
Ediagnostics	Equipment - clinical diagnostics	456	0	0	0	0	456
Day Surgery PDC Phase 2	New Build - Theatres & critical care	0	17,712	0	0	0	17,712
Digital Diagnostics	IT - Other	0	359	0	0	0	359
Frontline Dig	IT - Other	0	1,743	0	0	0	1,743
UTC	New Build - Multiple areas/ Other	0	2,770	0	0	0	2,770
IRT 4	Plant and machinery	0	1,552	0	0	0	1,552
Public Health Equipment	Equipment - clinical Other	0	796	0	0	0	796
Endoscopy Equipment	Equipment - clinical Other	0	602	0	0	0	602
YHCR - Any to Any	IT - Other	0	0	360	0	0	360
EPR	IT - Clinical Systems	0	0	1,752	2,191	0	3,943
Inpatient accommodation	Backlog Maintenance - Moderate and low risk	0	0	477	0	0	477
IT Network Upgrades	IT - Hardware	0	0	665	0	0	665
DPOW & SGH AAU	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	2,015	0	2,015
Path LIMS	IT - Clinical Systems	0	0	0	5,000	0	5,000
iRefer/Home reporting/Image	IT - Clinical Systems	0	0	0	509	0	509
North Lincs CDC	New Build - Diagnostics	0	0	0	14,078	0	14,078
North Lincs CDC	Equipment - clinical diagnostics	0	0	0	3,410	0	3,410
North East Lincs CDC	Routine maintenance (non-backlog) - Land, Buildings	0	0	0	9,012	0	9,012
North East Lincs CDC	Equipment - clinical diagnostics	0	0	0	946	0	946
Colposcopy	Equipment - clinical diagnostics	0	0	0	46	0	46
Cyber security	IT - Cybersecurity, Infrastructure/Networking	0	0	0	60	0	60
SGH UECC - PDC funded	New Build - A&E/AAU	0	0	0	0	8,850	8,850
Diagnostic -NEY-1 / NEY27-7 /	Equipment - clinical diagnostics	0	0	0	0	474	474
York - VIU/ PACU	New Build - Diagnostics	0	0	0	0	2,467	2,467
Electronic Patient Record	IT - Clinical Systems	0	0	0	0	6,621	6,621
CDC - Scarborough Hub	New Build - Diagnostics	0	0	0	0	12,392	12,392
CDC - Selby & Askham Bar	New Build - Diagnostics	0	0	0	0	3,440	3,440
PFI capital charges (e.g. residual)		0	1,444	0	0	0	1,444
Expenditure against Additional Schemes		8,186	26,978	3,254	37,267	34,244	109,929