



Humber and North Yorkshire
Health and Care Partnership



**Humber and
North Yorkshire**
Integrated Care Board (ICB)

Integrated Finance Report for the period ending

29 February 2024

For presentation at the

ICB Board

Date: 10/04/2024

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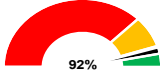
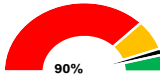
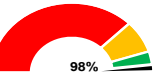
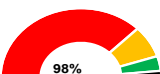
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1. Executive Financial Summary

The Humber and North Yorkshire NHS system delivered a breakeven financial position in 2022/23. For 2023/24 the system-wide financial plan was a deficit of £30m. At month 11 the system received a resource allocation of £30m from NHSE to offset the planned deficit against initial provider plans of £30m, allowing the system to work to a breakeven plan position. The system also received £8.4m to cover the cost impact of industrial action.

The forecast at month 11 is therefore a breakeven position following receipt of this additional funding and use of underspends and slippage. A small residual risk remains of £2.6m that the system aims to manage to deliver this forecast for 2023/24.

The table below describes the key financial indicators across both the ICB and NHS providers as at the end of February 2024 (Month 11):

Humber and North Yorkshire ICS							
Executive ICS Financial Summary - 2023/24 (M11)							
	Plan YTD (£m)	Actual YTD (£m)	Variance YTD (£m)	Plan 31/03/24 (£m)	Forecast 31/03/24 (£m)	Variance (£m)	
Key Financial Indicators	ICS Financial Position - Surplus/(Deficit) The ICS Providers are reporting a £8.56m adverse variance against a M11 planned deficit of £7.46m. The adjusted forecast position for the system is to deliver a balanced 23/24 financial position following receipt of £30m funding and associated plan adjustments.						0.00
	The ICB is reporting a £0.04m YTD underspend at M11 and a forecast position that is consistent with submitted plans						0.00
	ICB QEP/Efficiency The ICB has delivered a M11 YTD actual position of £70.44m against £65.82m plan (£4.62m favourable variance). The forecast is to achieve £4.34m above £72.24m plan.						4.34
	NHS Provider QEP/Efficiency The ICS providers reported a YTD actual of £114.36m against YTD plan of £137.12m plan (£22.76m adverse variance). The forecast position at M11 is to deliver an efficiency target of £151.18m at 31 Mar 2024 (an underachievement of £8.8m against plan).						(8.88)
	ICS Capital Funding System capital expenditure against the Capital Department Expenditure Limit (CDEL) is forecasting an overspend of £26.94m against £175.48m plan. This is mainly a timing issue associated with additional scheme allocation not currently reflected in the annual plan. Year to date actual is showing an underspend of £38.44m mainly due to profiling of additional schemes and some leases starting later than planned.						(26.94)
	ICB Running Costs Position The ICB is reporting a £7.39 underspend YTD position at M11 and forecasting an underspend of £9.17m.						9.17
	Provider Agency Costs ICS Provider's agency spend is £18.43m above target at M11. This is mainly attributable to strike cover and general reliance on agency staff. The forecast position is an overspend of £20.79m against the full year target of £59.39m.						(20.79)
Other Financial Indicators	The ICB MHIS target was updated to 9.08% at M6 to reflect the 2022/23 final outturn position, impact of the 1.6% 2023/24 Agenda for Change and 0.6% medical staff pay awards.						0.0%
	Cash Management The M010 ICB cash balance is reported as 0.63% and within the NHSE cash drawdown target of <1.25%.						<1.25%
	Better Payment Practice Code (BPPC) YTD To comply with the BPPC, all valid invoices must be paid within 30 days of receipt. The national target is 95%. Under the Better Payment Practice Code (BPPC), the ICS NHS providers have paid 90% of total bills (by volume) and 90% (by value) against the target of 95%. The ICB has achieved above the 95% NHSE national target across both metrics.						<p>Percentage of Invoices Paid Within 95% Target (Volume and Value)</p> <p>VOLUME</p>  <p>92%</p> <p>VALUE</p>  <p>90%</p>
							 <p>98%</p>  <p>98%</p>

2. System Financial Commentary

This report presents the financial position for the system, including NHS providers and the ICB for the period ended 29 February 2024.

2.1. System Financial Position

The month 11 position for the system is a deficit of £16m against a planned deficit of £7.5m, representing a year to date overspend of £8.5m.

The main reason for the adverse variance continues to be slippage against efficiency targets, with delivery being £18.1m below plan as at month 11; and pay and inflationary pressures. These pressures have been offset in part through budgeted expenditure starting later in the year than initially planned. At Month 11 the system received a resource allocation of £30m from NHSE to offset the forecast planned deficit against initial provider plans of £30m, allowing the system to work to a breakeven plan position. The system also received £8.4m to cover the cost impact of industrial action.

The forecast at month 11 is therefore breakeven following receipt of this additional funding and the use of underspends and slippage. A small residual risk remains of £2.6m that the system aims to manage to deliver this forecast for 2023/24.

2.2. System Risk

At Month 11, £17.9m of gross risk has been highlighted with £16.7m of risk with providers and £1.3m with the ICB. This has decreased further by £8m from previous month following a full review of risks. After mitigating actions and management, the residual risk reported at M11 is £2.6m with providers. Provider gross risk is mainly on delivery against challenging efficiency targets and performance pressures and the ICB gross risks relate to high-cost mental health patients.

The system is aiming to identify further mitigating actions to address the residual risk of £2.6m.

2.3. System Efficiencies

The system is reporting a £18.1m shortfall against an efficiency target of £202.9m at Month 11. This comprises of the providers delivering £114.4m against a £137.1m plan (£22.8m adverse) and the ICB delivering £70.4m against a £65.8m plan (£4.6m favourable). The forecast for the year is to deliver £227.8m of savings with £151.2m by providers (shortfall of £8.8m against target) and £76.6m by the ICB (£4.3m above plan).

The system is expecting to deliver 48.6% of the overall efficiency forecast on a recurrent basis.

2.4. System Capital

Capital expenditure, including all funding streams and IFRS, is £38.4m underspent as at month 11. The main area of slippage is in ICB CDEL (£12.4m), TIF schemes (£9.6m) and Frontline Digitisation (£2.4m) and CDC & Diagnostics (£9.7m).

The forecast at month 11 is an overspend by £11.4m across all funding streams. This is agreed with NHS England and has enabled us to take advantage of slippage elsewhere in our region and nationally. There is an overspend of £8.8m on IFRS16 as reported in previous months. Slippage has been identified across other systems that has been agreed would offset this IFRS16 related overspend.

The balance of FOT overspend is on ICS CDEL of £2.6m is because of capital slippage in other systems being made available to H&NY to support additional schemes in 2023/24. This overspend has been agreed, is not cash backed and there is no requirement to reduce CDEL the following year.

2.5. ICB Establishment Costs

The ICB's overall establishment costs budget includes a savings/efficiency target of £8m that is required in 2023/24 to support the ICB financial position. This is expected to be delivered through vacancy control in line with other NHS organisations. At Month 11, the year-to-date position is showing a small underspend of £0.05m against plan. The forecast based on information at month 11 is £1.2m in excess of the savings target.

2.6. Mental Health Investment Standard

The ICB is meeting the MHIS target of 9.08% (includes 1.6% agenda for change pay award and 0.7% medical staff pay award) at month 11 and is forecasting delivery against the target for 2023/24.

3. Summary System Surplus / (Deficit)

The overall ICS system position is shown at Table 1, highlighting an actual £16m deficit year to date, a £8.5m adverse variance against plan. This variance is mainly reported at provider level due to shortfall against efficiencies (£22.8m below plan as at M11)

and pay and inflationary pressures offset by delayed scheme expenditure. The ICB is showing a small variance to date of £0.04m.

The key actions to address the year-to-date variances and in year risks continue to be:

- Reducing cost pressures - reliance on premium agency, minimising escalation beds and greater control of non-pay consumables.
- Maximising planned care activity, reducing reliance on Independent Sector (IS) and Waiting List Initiative (WLI) premium costs.
- Focus on delivering a challenging stretch CIP programme, further work on maximising recurrent delivery schemes and identifying new schemes.
- Compliance with plan sign off review requirements.
- Compliance with Humber and North Yorkshire (HNY) Financial Controls Checklist.
- Review of balance sheets

Allowing for place variances being offset by central ICB, the North Yorkshire and York place has the largest deficit of circa £11m after 11 months which is a £10m adverse variance to plan. The North and North East Lincolnshire place has the next highest YTD deficit of circa £5m but is better than plan by circa £2m mainly due to the 'back-end loading' of efficiency plans at NLAG.

Table 1: Summary ICS Surplus / (Deficit) - 2023/24 (M11)						
Organisation	2023/24 Plan £'000	Surplus / (Deficit)			Surplus / (Deficit)	
		YTD Budget £'000	YTD Actual £'000	YTD Variance £'000	FOT £'000	FOT Variance £'000
East Riding Of Yorkshire Place	(5,546)	(5,084)	(7,369)	(2,285)	(7,911)	(2,365)
Hull Place	3,154	2,891	(3,304)	(6,195)	(6,163)	(9,317)
Hull University Teaching Hospitals NHS Trust	(0)	555	(0)	(555)	0	(0)
Humber Teaching NHS FT	0	0	0	0	0	(0)
Hull and East Riding	(2,392)	(1,637)	(10,673)	(9,036)	(14,074)	(11,683)
North East Lincolnshire Place	(4,056)	(3,718)	(8,208)	(4,490)	(8,597)	(4,541)
North Lincolnshire Place	(7,876)	(7,219)	(6,617)	602	(7,934)	(58)
Northern Lincolnshire and Goole NHS FT	(0)	(6,606)	(4,587)	2,019	0	(0)
North and North East Lincolnshire	(11,932)	(17,543)	(19,413)	(1,869)	(16,530)	(4,599)
North Yorkshire Place	(682)	(625)	493	1,118	144	826
York Place	(3,080)	(2,823)	(7,036)	(4,213)	(11,039)	(7,959)
York and Scarborough Teaching Hospitals NHS FT	0	(1,467)	(5,239)	(3,773)	0	0
Harrogate and District NHS FT	0	55	(6,192)	(6,247)	0	(0)
North Yorkshire and York	(3,762)	(4,860)	(17,974)	(13,114)	(10,895)	(7,134)
ICB Central	18,086	16,578	32,086	15,508	41,500	23,414
TOTAL ICS SURPLUS/(DEFICIT)	(0)	(7,462)	(15,974)	(8,511)	(0)	(0)
ICB Total	(0)	0	45	45	(0)	(0)
ICS Provider Total	(0)	(7,462)	(16,018)	(8,556)	(0)	(0)
TOTAL ICS SURPLUS/(DEFICIT)	(0)	(7,462)	(15,974)	(8,511)	(0)	(0)

4. ICB Summary Income & Expenditure

The summary ICB position is at Table 2 and at Month 11 shows a £0.04m year to date underspend and a breakeven position for full year forecast consistent with financial plans.

Within the overall forecast breakeven position, the main forecast expenditure variances are:

- **Acute Services** forecasting an overspend of £2.7m. This position is mainly because of increased non-ERF activity at independent sector providers. Independent sector contracts are being reviewed to scope possible management in year to mitigate some of this impact.
- **Mental Health Services** forecasting a £11.5m overspend mainly because of increased costs in out of area and S117 high-cost packages of care. This position is being reviewed and actions including cost challenges continues to be explored and pursued.
- **Community Services** forecasting a £5.5m overspend mainly relating to out of contract cost pressures at place level in community equipment, wheelchair, and non-obstetric ultrasound services. Discussions are ongoing with providers to understand nature of variance and possible mitigating actions.
- **Continuing care services** forecasting a £5m overspend mainly due to high-cost packages of care and price increase offset in part by technical efficiencies.
- **Primary Care Services (Excl Prescribing)** forecasting an overspend of £1m mainly due to increased local enhanced service activity offset by some primary care estate void benefits.
- **Prescribing** forecasting a £4.3m overspend position based on the Regional Drug and Therapeutic Centre information and local trend assumptions. This reflects both volume and value increases in primary care prescribing.
- **Primary Medical Services (PC Co – Comm)** forecasting a £2.2m underspend ICB place level mainly due to slippage, supporting some of the overspending areas of expenditure.
- **Delegated Dental, Ophthalmic & Pharmacy Services** forecasting a £13.6m underspend ICB place level supporting some of the overspending areas of expenditure. This position is mainly due to slippage in primary care dental.
- **Other Programme, Commissioned Services & Reserves** forecasting overall £5m underspend mainly reflecting financial reset impact of additional funding and non-recurrent underspends released to support overall position.

Table 2: Summary ICB Income & Expenditure - 2023/24 (M11)

ICB I&E Analysis	2023/24 Plan £'000	Year to Date Position			Forecast Outturn	
		YTD Budget £'000	YTD Actual £'000	YTD Variance £'000	FOT £'000	FOT Variance £'000
System Revenue Resource Limit	(3,911,873)	(3,521,903)				
ICB Expenditure						
Acute Services	1,961,126	1,762,848	1,765,680	(2,832)	1,963,808	(2,682)
<i>of which is Intra-System</i>	1,569,481	1,411,117	1,410,849	268	1,569,564	(83)
<i>of which is Inter-System</i>	278,753	255,478	251,729	3,749	274,993	3,761
<i>Blocks LVA & Other Non NHS</i>	112,892	96,254	103,103	(6,850)	119,252	(6,360)
Mental Health Services	376,494	343,526	351,789	(8,263)	387,974	(11,480)
<i>of which is Intra-System</i>	100,842	91,239	91,349	(110)	100,842	(1)
<i>of which is Inter-System</i>	140,115	128,656	128,515	141	139,373	743
<i>MH IS & Other Non NHS</i>	135,537	123,630	131,924	(8,294)	147,759	(12,222)
Community Health Services	349,961	318,806	319,907	(1,101)	355,506	(5,545)
<i>of which is Intra-System</i>	112,311	102,741	103,264	(523)	112,080	232
<i>of which is Inter-System</i>	43	39	43	(4)	0	43
<i>IS & Other Non NHS</i>	237,607	216,026	216,600	(574)	243,427	(5,820)
Continuing Care Services	213,463	195,589	199,173	(3,585)	218,457	(4,994)
Primary Care Services	393,267	360,725	364,150	(3,424)	398,536	(5,270)
<i>Prescribing</i>	345,477	317,821	321,201	(3,380)	349,762	(4,285)
Primary Medical Services (PC Co-Comm)	340,412	311,085	308,117	2,967	338,181	2,231
Delegated Dental, Ophthalmic and Pharmacy Services	170,378	156,388	143,919	12,468	156,767	13,611
<i>Other Programme Services</i>	25,232	16,848	36,496	(19,648)	24,446	786
<i>Other Commissioned Services</i>	14,081	12,850	10,267	2,583	14,121	(40)
<i>Reserves / Contingencies</i>	31,098	10,556	(2,937)	13,493	26,888	4,210
ICB Running Costs	36,362	32,683	25,297	7,386	27,188	9,174
<i>of which is Pay</i>	24,263	22,242	18,966	3,275	21,628	2,634
<i>of which is Non-Pay</i>	12,099	10,441	6,331	4,110	5,560	6,539
Total ICB NET EXPENDITURE	3,911,873	3,521,904	3,521,858	45	3,911,873	(0)
MEMO: ICB Surplus/(Deficit) Breakdown						
East Riding Of Yorkshire Place	572,297	521,779	529,148	(7,369)	580,209	(7,911)
Hull Place	732,150	662,186	665,490	(3,304)	738,312	(6,163)
North East Lincolnshire Place	349,067	317,824	326,032	(8,208)	357,663	(8,597)
North Lincolnshire Place	357,912	315,545	322,162	(6,617)	365,846	(7,934)
North Yorkshire Place	915,434	835,726	835,233	493	915,291	144
York Place	689,289	613,638	620,674	(7,036)	700,328	(11,039)
ICB Central	295,724	255,206	223,119	32,086	254,225	41,500
TOTAL ICB SURPLUS/(DEFICIT)	3,911,873	3,521,903	3,521,858	45	3,911,873	(0)

5. ICS Provider Income & Expenditure

The Month 11 position for the ICS NHS Providers (Table 3) is a deficit of £16m against a planned deficit of £7.5m, representing a year to date overspend of £8.6m.

The adverse variance is mainly due to slippage against efficiency targets, with delivery being £22.8m below plan as at month 11 and inflationary pressures. These pressures have been offset in part through budgeted expenditure starting later in the year than initially planned.

Table 3: Summary ICS Provider I&E Position - 2023/24 (M10)						
Organisation	2023/24 Plan £'000	Year to Date Position			Forecast Outturn	
		YTD Budget £'000	YTD Actual £'000	YTD Variance £'000	FOT £'000	FOT Variance £'000
York and Scarborough Teaching Hospitals NHS FT						
Income	701,300	583,280	622,895	39,615	746,843	45,543
Agency	(17,300)	(14,437)	(20,607)	(6,170)	(23,348)	(6,048)
Other pay	(459,328)	(383,140)	(404,607)	(21,467)	(473,630)	(14,302)
Pay	(476,628)	(397,577)	(425,214)	(27,637)	(496,978)	(20,350)
Non-Pay	(229,160)	(192,402)	(222,173)	(29,771)	(258,291)	(29,131)
Non Operating Items (exc gains on disposal)	(10,926)	(9,110)	(7,648)	1,462	(8,907)	2,019
Provider Surplus/(Deficit)	(15,414)	(15,809)	(32,140)	(16,331)	(17,333)	(1,919)
Harrogate and District NHS FT						
Income	336,065	280,064	280,354	290	342,900	6,835
Agency	(9,552)	(7,960)	(6,762)	1,198	(7,968)	1,584
Other pay	(228,646)	(190,540)	(201,089)	(10,549)	(235,918)	(7,272)
Pay	(238,198)	(198,500)	(207,851)	(9,351)	(243,886)	(5,688)
Non-Pay	(88,073)	(73,440)	(76,159)	(2,719)	(91,390)	(3,317)
Non Operating Items (exc gains on disposal)	(3,794)	(3,112)	(2,713)	399	(3,255)	540
Provider Surplus/(Deficit)	6,000	5,012	(6,369)	(11,381)	4,369	(1,631)
Northern Lincolnshire and Goole NHS FT						
Income	530,982	442,956	450,912	7,956	541,733	10,751
Agency	(16,707)	(15,458)	(24,078)	(8,620)	(28,489)	(11,782)
Other pay	(350,671)	(298,018)	(296,041)	1,978	(348,598)	2,073
Pay	(367,378)	(313,477)	(320,119)	(6,642)	(377,087)	(9,709)
Non-Pay	(169,968)	(142,439)	(144,928)	(2,489)	(174,356)	(4,388)
Non Operating Items (exc gains on disposal)	(6,990)	(5,822)	(3,621)	2,201	(4,440)	2,550
Provider Surplus/(Deficit)	(13,355)	(18,782)	(17,756)	1,026	(14,150)	(795)
Hull University Teaching Hospitals NHS Trust						
Income	808,830	674,145	705,960	31,815	848,371	39,541
Agency	(9,722)	(8,097)	(11,004)	(2,907)	(12,848)	(3,126)
Other pay	(460,200)	(383,582)	(395,595)	(12,013)	(472,861)	(12,661)
Pay	(469,922)	(391,679)	(406,599)	(14,920)	(485,709)	(15,787)
Non-Pay	(331,049)	(275,223)	(294,586)	(19,363)	(357,270)	(26,221)
Non Operating Items (exc gains on disposal)	(15,090)	(12,453)	(11,481)	971	(14,118)	972
Provider Surplus/(Deficit)	(7,231)	(5,210)	(6,706)	(1,497)	(8,726)	(1,495)
Humber Teaching NHS FT						
Income	244,202	203,413	206,655	3,242	247,986	3,784
Agency	(6,106)	(5,255)	(6,570)	(1,315)	(7,884)	(1,778)
Other pay	(149,343)	(124,480)	(124,356)	124	(149,027)	316
Pay	(155,449)	(129,735)	(130,926)	(1,191)	(156,911)	(1,462)
Non-Pay	(86,535)	(72,079)	(74,878)	(2,799)	(90,029)	(3,494)
Non Operating Items (exc gains on disposal)	(2,218)	(1,599)	(851)	748	(1,046)	1,172
Provider Surplus/(Deficit)	0	0	0	0	(0)	(0)
TOTAL ICS PROVIDER SURPLUS/(DEFICIT)	(30,000)	(34,789)	(62,970)	(28,182)	(35,840)	(5,840)

All providers are showing a significant improvement in their year-to-date and forecast position reflecting the additional resource allocation of £30m from NHSE to offset the forecast planned deficit against provider plans and the £8.4m additional funding to cover the cost impact of industrial action. System resources and mitigations including slippage have also been distributed to support provider organisations financial positions.

There remains a residual risk to plan delivery for 2023/24 and the aim is to manage this to deliver the forecast breakeven position by the year end.

6. System Efficiencies

The system is reporting a £18.1m shortfall against an efficiency target of £202.9m at Month 11. This comprises of the providers delivering £114.4m against a £137.1m plan (£22.8m adverse) and the ICB delivering £70.4m against a £65.8m plan (£4.6m favourable).

The forecast for the year is to deliver £227.8m of savings with £151.2m by providers (shortfall of £8.9m against target) and £76.6m by the ICB (£4.3m above plan).

Table 4 shows the position at place and provider level and highlights the recurrent and non-recurrent assessment of schemes. There remains a continued focus to maximise on recurrent efficiency schemes.

Table 4: ICS Efficiencies – Performance against Plan - 2023/24 (M11)								
ICS Efficiencies	2023/24 Plan	2023/24 Plan	2023/24 Plan	YTD Budget	YTD Actual	YTD Variance	FOT	FOT
	£'000	Recurrent £'000	Non-Recurrent £'000	£'000	£'000	£'000	£'000	£'000
ICB Efficiency								
East Riding Of Yorkshire Place	8,524	0	8,524	7,440	8,744	1,304	9,466	942
Hull Place	4,840	2,182	2,658	4,444	5,165	721	5,521	681
North East Lincolnshire Place	4,869	2,559	2,310	4,165	4,087	(78)	4,968	99
North Lincolnshire Place	9,911	7,546	2,365	9,071	10,116	1,045	10,630	719
North Yorkshire Place	18,039	7,325	10,714	16,544	16,697	153	18,140	101
York Place	7,585	3,222	4,363	6,974	8,450	1,476	9,380	1,795
ICB Central	18,476	8,992	9,484	17,182	17,183	1	18,476	0
Total ICB Efficiency	72,244	31,826	40,418	65,820	70,442	4,622	76,581	4,337
Recurrent / Non-Recurrent Split								
Recurrent	31,826			29,061	38,186	9,125	41,504	9,678
Non-recurrent	40,418			36,760	32,256	(4,504)	35,077	(5,341)
Total ICB Efficiency	72,244	31,826	40,418	65,821	70,442	4,621	76,581	4,337
Provider Efficiency								
Harrogate & District NHS FT	20,832	6,396	14,436	19,151	11,596	(7,555)	20,832	0
Hull University Teaching Hospitals NHS Trust	53,963	41,915	12,048	48,673	44,987	(3,686)	50,268	(3,695)
Humber Teaching NHS FT	11,616	3,820	7,796	10,473	10,383	(90)	11,616	0
Northern Lincolnshire & Goole NHS FT	35,736	11,633	24,103	26,040	20,118	(5,922)	30,551	(5,185)
York & Scarborough Teaching Hospitals NHS FT	37,914	27,959	9,955	32,785	27,278	(5,507)	37,914	0
Total Provider Efficiency	160,061	91,723	68,338	137,122	114,362	(22,760)	151,181	(8,880)
Recurrent / Non-Recurrent Split								
Recurrent	91,723			81,175	58,667	(22,508)	69,224	(22,499)
Non-recurrent	68,338			55,947	55,695	(252)	81,957	13,619
Total Provider Efficiencies	160,061	91,723	68,338	137,122	114,362	(22,760)	151,181	(8,880)
Total ICS Efficiencies	232,305	123,549	108,756	202,943	184,804	(18,139)	227,762	(4,543)

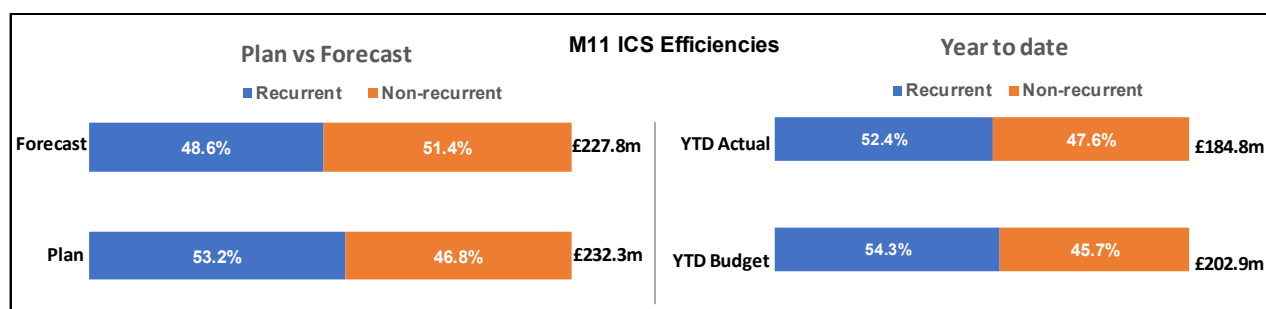


Table 5 shows the status of scheme development for the ICB and across ICS providers.

Table 5: ICS Efficiency Status - 2023/24 (M11)						
ICS Efficiencies	Fully Developed - in delivery	Fully Developed - delivery not yet started	Plans in Progress	Opportunity	Unidentified	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Provider Efficiency as a %						
Harrogate And District NHS FT	61.4%	0.2%	3.0%	0.0%	35.5%	100.0%
Hull University Teaching Hospitals NHS Trust	44.4%	0.0%	39.8%	15.8%	0.0%	100.0%
Humber Teaching NHS FT	37.1%	0.0%	45.6%	17.4%	0.0%	100.0%
Northern Lincolnshire And Goole NHS FT	80.2%	19.8%	0.0%	0.0%	0.0%	100.0%
York And Scarborough Teaching Hospitals NHS FT	0.2%	77.3%	8.7%	13.8%	0.0%	100.0%
Provider Efficiency as a %	42.3%	23.4%	19.3%	10.0%	4.9%	100.0%
ICB Efficiency as a %	66.4%	0.3%	27.5%	0.0%	5.9%	100.0%
ICB Efficiency as a %	50.4%	15.6%	22.1%	6.7%	5.2%	100.0%

Further detail of efficiency schemes at ICB and provider level is contained within tables at Appendix 1.

7. System Risks and Mitigations

Table 6 below shows the value of gross risks identified at Month 11 at £17.9m, £16.6m of risk with providers and £1.3m with the ICB. This has decreased further by £8m from previous month following a full review of risks and mitigations. Provider gross risk is mainly on delivery against challenging efficiency targets and performance pressures and the ICB gross risks relate to high-cost mental health patients.

After initial mitigating actions and management, the residual risk reported at M11 is £2.6m with providers.

The system is aiming to identify further mitigating actions to address the residual risk of £2.6m.

Table 6: ICS Risks and Mitigations - 2023/24 (M11)				
Description of risk	Potential Financial Impact before mitigations	Likelihood High/Medium/Low	Mitigating actions being taken by system	Potential Financial Impact after mitigations
	£'000			£'000
ICB Risks				
Mental Health	(1,250)	Medium	In year management and utilisation of slippage and underspends in other expenditure areas	0
ICB Total	(1,250)			0
Provider Risks				
Delivery of Efficiency target	(13,649)	Medium	In year management and utilisation of slippage and underspends in other expenditure areas	(2,576)
Performance pressures	(3,000)	Medium	In year management and utilisation of slippage and underspends in other expenditure areas	0
Provider Total	(16,649)			(2,576)
Total ICS Risks and Mitigations	(17,899)			(2,576)

8. System Capital Summary

Table 7 sets out the overall Capital summary for the system. Due to managing capital across the England there have been some late amendments and adjustments agreed with NHS England to commit additional capital, which will move our position on from that reported here for M11. The Month 11 + position adjustments are detailed at the end of this capital section for completeness.

Month 11. There is a year-to-date underspend of £38.4m across all funding streams including IFRS16. The main area of slippage is in ICB CDEL (£12.4m), TIF schemes (£9.6m), Frontline Digitisation (£2.4m) and CDC & Diagnostics (£9.7m). In terms of spend at M11 £104.3m has been spent with a further £98.2 to be committed in the final month. This reflects in part the phasing of the plan towards the year end but also the scale of capital acquisitions/leases being signed that will be taking place in the closing month of the financial year. Our providers have given assurance this is achievable.

At Month 11 there was some agreed adjustments to provider plans and forecasts and these are reflected in Table 7. After these adjustments, the overall ICS position is forecasting an overshoot of £11.4m against ICS CDEL and IFRS16. This is agreed with NHS England and has enabled us to take advantage of slippage elsewhere in our region and nationally. This comprises:-

- ICS CDEL at Month 11 £2.6m overshoot. HNY have been able to commit additional capital. This overspend has been agreed, is not cash backed, and there is no requirement to reduce CDEL the following year.
- IFRS16 at Month 11 £8.8m overshoot. As reported in previous months the major item is the lease for Whitby Hospital which is deemed to be a lease within the DH Group and as such is an allowable adjustment. Significant slippage has been identified across other ICS systems that has enabled NHS England to allow our system to offset these meaning we have not had to defer signing some leases in order to meet our capital envelope and target. Previously our system had been asked to manage our IFRS16 overshoot by delaying lease signing or through undershooting on ICS CDEL.

Further scheme level detail is available at Appendix 2 at provider level.

Table 7: ICS Capital Summary - 2023/24 (M11)									
System Capital	2023/24 Plan £'000	ICS ENVELOPE & NET CDEL			FORECAST OUTTURN		ADJUSTED 2023/24 POSITION		
		Plan YTD	Actual YTD	YTD Var	FOT	FOT Var	Revised Plan	Revised FOT	Revised FOT Var
		£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
ICS Envelope (Excluding IFRS 16)									
Harrogate And District NHS Foundation Trust	9,999	9,009	7,979	1,030	11,320	(1,321)	13,527	11,320	2,207
Hull University Teaching Hospitals NHS Trust	20,605	16,377	15,711	666	28,052	(7,447)	25,404	28,052	(2,648)
Humber Teaching NHS Foundation Trust	7,628	6,364	3,396	2,968	9,005	(1,377)	7,628	9,005	(1,377)
Northern Lincolnshire And Goole NHS Foundation Trust	19,106	17,092	12,464	4,628	17,175	1,931	16,406	17,175	(769)
York And Scarborough Teaching Hospitals NHS FT	19,154	15,600	12,527	3,073	19,154	0	19,154	19,154	0
ICS Envelope (Excl IFRS16)	76,492	64,442	52,077	12,365	84,706	(8,214)	82,119	84,706	(2,587)
ICS Envelope (Impact of IFRS 16)									
Harrogate And District NHS Foundation Trust	0	0	1,118	(1,118)	1,118	(1,118)	0	1,118	(1,118)
Hull University Teaching Hospitals NHS Trust	2,104	2,104	1,377	727	1,797	307	1,473	1,797	(324)
Humber Teaching NHS Foundation Trust	0	0	275	(275)	5,177	(5,177)	0	5,177	(5,177)
Northern Lincolnshire And Goole NHS Foundation Trust	1,167	968	653	315	782	385	817	782	35
York And Scarborough Teaching Hospitals NHS FT	7,255	5,500	5,129	371	7,255	0	5,078	7,255	(2,177)
ICS Envelope (IFRS 16)	10,526	8,572	8,552	20	16,129	(5,603)	7,368	16,129	(8,761)
Additional Schemes/Funding									
Harrogate And District NHS Foundation Trust	16,026	14,689	4,992	9,697	8,673	7,353	8,673	8,673	0
Hull University Teaching Hospitals NHS Trust	24,364	19,478	18,891	587	28,487	(4,123)	28,487	28,487	0
Humber Teaching NHS Foundation Trust	1,958	1,758	1,949	(191)	3,811	(1,853)	3,811	3,811	0
Northern Lincolnshire And Goole NHS Foundation Trust	27,468	22,465	4,342	18,123	30,548	(3,080)	30,548	30,548	0
York And Scarborough Teaching Hospitals NHS FT	18,643	11,291	13,452	(2,161)	30,064	(11,421)	30,064	30,064	0
Expenditure against Additional Schemes	88,459	69,681	43,626	26,055	101,583	(13,124)	101,583	101,583	0
Total Provider - Charge Against Allocation	175,477	142,695	104,255	38,440	202,418	(26,941)	191,070	202,418	(11,348)
NET Provider CDEL									
Harrogate And District NHS Foundation Trust	26,025	23,698	14,089	9,609	21,111	4,914	22,200	21,111	1,089
Hull University Teaching Hospitals NHS Trust	47,073	37,959	35,979	1,980	58,336	(11,263)	55,364	58,336	(2,972)
Humber Teaching NHS Foundation Trust	9,586	8,122	5,620	2,502	17,993	(8,407)	11,439	17,993	(6,554)
Northern Lincolnshire And Goole NHS Foundation Trust	47,741	40,525	17,459	23,066	48,505	(764)	47,771	48,505	(734)
York And Scarborough Teaching Hospitals NHS FT	45,052	32,391	31,108	1,283	56,473	(11,421)	54,296	56,473	(2,177)
Total Provider - CDEL	175,477	142,695	104,255	38,440	202,418	(26,941)	191,070	202,418	(11,348)

Month 11+ Final Capital Envelopes

After our M11 submissions NHS England have signalled further regional and national slippage and our system has responded to maximise our allocation. These will be reflected in our year end position.

Movement M11 to M11+		
ICS CDEL	84,706	
Harrogate and District NHS Foundation Trust	(913)	Removal of salix loan from reporting against ICS CDEL
Humber Teaching NHS Foundation Trust	630	Additional capital commitment
Total	84,423	
IFRS16	16,129	
Humber Teaching NHS Foundation Trust	2,100	IFRS16 lease being brought forward from 24/25
Northern Lincolnshire and Goole NHS Foundation Trust	267	Leases being agreed
Total	18,496	
Additional Schemes	101,583	National capital schemes - no adjustments at this stage
M11+ Capital Envelope	204,502	

9. ICB Establishment Expenditure

The ICB's establishment budgets are set out at Table 8 and summarises the position at directorate and place level as at Month 11.

This overall budget includes a savings/efficiency target of £8m that is required in 2023/24 to support the overall ICB financial position. This is expected to be delivered through vacancy control in line with other NHS organisations. At Month 11, the year-to-date position is showing a small underspend of £0.05m against plan. The forecast based on information at month 11 is £1.2m in excess of the savings target.

Table 8: Summary ICB Establishment Expenditure - 2023/24 (M11)								
Establishment Expenditure	2023/24 Plan	WTE		YTD Budget	YTD Actual	YTD Variance	FOT	FOT Variance
	£'000	Plan	Actual	£'000	£'000	£'000	£'000	£'000
Clinical Directorate	17,573	63	50	16,062	15,755	307	17,308	265
Comms Directorate	1,941	29	25	1,779	1,615	164	1,790	151
COO Directorate	3,028	19	16	2,776	2,517	259	2,177	851
Executive Management Team	2,043	11	9	1,872	2,089	(216)	2,293	(250)
Corporate Affairs Directorate	8,514	73	57	7,805	6,854	950	7,504	1,010
Finance Directorate	9,881	97	76	9,057	8,698	359	9,230	651
People Directorate	1,815	44	25	1,664	1,343	320	1,685	130
Nursing Directorate	18,840	296	245	17,270	14,985	2,286	16,503	2,337
Directorate Expenditure	63,634	632	504	58,285	53,856	4,429	58,489	5,145
East Riding Of Yorkshire Place	1,637	25	16	1,500	1,095	405	1,114	523
Hull Place	1,486	25	18	1,363	1,052	311	1,182	305
North Lincolnshire Place	1,196	20	16	1,096	942	155	973	223
North Yorkshire Place	2,017	32	18	1,849	1,433	416	1,560	457
York Place	1,167	18	12	1,070	814	256	909	258
North East Lincolnshire Place	754	34	37	692	555	137	599	155
Place Teams Expenditure	8,257	154	116	7,569	5,890	1,679	6,336	1,920
Collaboratives & Other Expenditure	1,643	0	30	1,423	879	544	2,246	(603)
Total Expenditure	76,246	786	650	66,533	60,626	5,907	67,071	9,175
QEP/Efficiencies	(7,992)			(5,860)	0	(5,860)		(7,992)
Total Expenditure after Efficiencies	68,254	786	650	60,673	60,626	47	67,071	1,183

10. Summary Provider Agency Expenditure

The ICS agency costs target for 2023/24 is £59.4m. This is a reduction from the 2022/23 target of £64.7m (£5.3m reduction).

Table 9 shows the detailed agency expenditure at staff group and provider level. At month 11, ICS providers are £18.4m overspent against year-to-date target and forecasting that full year target will be exceeded by £20.8m by the year end.

Agency costs have continued to increase in 2023/24 mainly as consequence of industrial action. In comparison to 2022/23, year to date costs are £0.8m higher in 2023/24 at M11 and forecasting to be below 2022/23 outturn by £0.7m.

Table 9: Summary Provider Agency Expenditure - 2023/24 (M11)

	2023/24 Plan	Year to Date Position			Forecast		Prior Yr Comparator	
		YTD Budget	YTD Actual	YTD Variance	Forecast	Forecast Variance	Var PY M11 YTD VS 23/24 YTD	Var PY Outturn VS 23/24 FOT
		£'000	£'000	£'000	£'000	£'000	£'000	£'000
Registered nursing, midwifery and health visiting staff	22,956	21,536	25,783	(4,247)	27,976	(5,020)	3,740	4,709
Allied health professionals	2,046	1,873	2,491	(618)	2,680	(634)	(433)	(533)
Other scientific, therapeutic and technical staff	158	149	107	42	117	41	67	78
Healthcare scientists	0	0	0	0	0	0	29	29
Healthcare scientists and scientific, therapeutic and technical staff	2,204	2,022	2,598	(576)	2,797	(593)	(337)	(426)
Qualified ambulance service staff	0	0	0	0	0	0	0	0
Support to nursing staff	1,303	1,212	757	455	794	509	1,136	1,346
Support to allied health professionals	0	0	0	0	0	0	0	0
Support to other clinical staff	48	44	43	1	72	(24)	(25)	(51)
Support to clinical staff	1,351	1,256	800	456	866	485	1,111	1,295
Total non-medical - Clinical staff agency	26,511	24,813	29,181	(4,368)	31,639	(5,128)	4,514	5,578
Medical and dental agency								
Consultants	20,006	18,621	26,245	(7,624)	27,794	(7,788)	(4,171)	(3,693)
Career/staff grades	2,879	2,646	4,119	(1,473)	4,881	(2,002)	(149)	217
Trainee grades	7,358	6,791	11,267	(4,476)	12,356	(4,998)	(1,846)	(2,089)
Total medical and dental staff agency	30,243	28,058	41,631	(13,573)	45,031	(14,788)	(6,165)	(5,565)
Non medical - non-clinical staff agency								
NHS infrastructure support	2,621	2,410	2,888	(478)	3,491	(870)	850	668
Any others	12	11	20	(9)	20	(8)	(7)	17
Total non medical - non-clinical staff agency	2,633	2,421	2,908	(487)	3,511	(878)	843	685
Total pay bill - agency & contract staff excl. capitalised staff costs	59,387	55,293	73,720	(18,428)	80,181	(20,794)	(808)	698
MEMO: Agency Expenditure by Provider								
Harrogate and District NHS FT	9,552	8,756	7,232	1,524	7,484	2,068	2,639	3,427
Hull University Teaching Hospitals NHS Trust	9,722	8,908	12,048	(3,140)	12,909	(3,187)	(2,339)	(1,501)
Humber Teaching NHS FT	6,106	5,681	7,219	(1,538)	8,031	(1,925)	608	742
Northern Lincolnshire and Goole NHS FT	16,707	16,080	26,372	(10,293)	28,409	(11,702)	29	422
York and Scarborough Teaching Hospitals NHS FT	17,300	15,868	20,849	(4,981)	23,348	(6,048)	(1,745)	(2,392)
Total Provider Agency Expenditure	59,387	55,293	73,720	(18,428)	80,181	(20,794)	(808)	698

11. ICB Cash Position

The ICB currently has a cash resource allocation of £3,892.9m for the 12 months to 31st March 2024. For the 11 months to the end of February the ICB has used £3,524.1m (90.5%). Working on a straight line basis for cash use, the ICB would be expected to have used no more than £3,568.5m (91.7%), so usage is below this by £44.4m (or just 1.1% of the full cash resource allocation).

Table 10: ICB Cash Position - 2023/24 (M11)									
	Opening Balance	Cash Drawdown	Prescription & Dental Drawdown	Other Income	Cash Payment	Closing Balance	Closing Balance as % of drawdown	Achieved Target?	
	£m	£m	£m	£m	£m	£m			
Total Cash Drawdown Allocation £3,892.9m									
Monthly straight-line drawdown £324.41m									
April	0.37	268.00	27.00	5.57	(299.76)	1.17	0.44%	YES	
May	1.17	263.00	31.60	4.21	(298.93)	1.06	0.40%	YES	
June	1.06	295.00	35.14	4.48	(334.87)	0.81	0.27%	YES	
July	0.81	276.00	36.32	4.00	(316.07)	1.05	0.38%	YES	
August	1.05	272.00	36.51	2.25	(311.22)	0.59	0.22%	YES	
September	0.59	288.00	36.29	2.88	(326.72)	1.04	0.36%	YES	
October	1.04	289.00	33.39	3.98	(326.76)	0.64	0.22%	YES	
November	0.64	285.00	37.98	2.56	(325.91)	0.28	0.10%	YES	
December	0.28	302.60	37.10	4.25	(343.46)	0.78	0.26%	YES	
January	0.78	280.00	36.65	7.35	(323.98)	0.80	0.29%	YES	
February	0.80	321.00	36.51	4.80	(361.10)	2.01	0.63%	YES	
Cash drawn down to Date (actual)		3,524.09							Note: Target is 1.25%
Cash Drawdown Allocation (straight line)		3,568.47							
Difference		(44.39)							

12. System BPPC Performance

Table 11 shows the Better Payment Practice Code (BPPC) performance at provider and ICB level. Under the BPPC, NHS providers paid 90% of total bills by value and 92% by volume against the target on 95%. It is expected that this will increase in future months to meet the target. The ICB achieved above the 95% NHSE national target across both metrics.

Table 11: ICS BPPC Performance - 2023/24 (M10)						
Organisation	NHS		Non NHS		Total	
	Volume	Value	Volume	Value	Volume	Value
York and Scarborough Teaching Hospitals NHS FT	74%	79%	86%	90%	86%	87%
Harrogate and District NHS FT	83%	88%	94%	94%	93%	92%
Northern Lincolnshire and Goole NHS FT	93%	96%	94%	96%	93%	96%
Humber Teaching NHS FT	89%	94%	93%	92%	93%	93%
Hull University Teaching Hospital NHS Trust	90%	93%	96%	87%	96%	88%
Total NHS Provider Performance	86%	90%	93%	92%	92%	91%
Total ICB Performance	98%	100%	98%	97%	98%	99%

13. Recommendations

The Board is asked to:

- Note the system financial position for both year to date and forecast outturn.
- Note the residual level of risk identified at M11 that will need be managed to deliver planned/forecast position.

Appendix 1

Forecast ICB Efficiencies - by Category and Scheme - 2023/24 (M11)								
ICB Efficiencies	ICB Central	East Riding Place	Hull Place	North East Lincolnshire Place	North Lincolnshire Place	North Yorkshire Place	York Place	Total
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
ICB Efficiencies - By Category								
Acute	0	250	0	0	0	0	501	751
Community Healthcare	6,680	3,038	1,050	2,562	3,325	5,248	760	22,663
Mental Health	996	543	908	0	2,259	1,977	468	7,151
Primary Care (inc. Primary Co-Commissioning)	0	2,179	1,276	1,581	2,141	2,717	3,190	13,084
All-age Continuing Care	0	3,356	2,287	263	1,698	6,611	4,461	18,676
Running Costs	7,992	0	0	0	0	0	0	7,992
Other Programme Services	1,308	100	0	280	0	92	0	1,780
Unidentified	1,500	0	0	282	1,207	1,495	0	4,484
Total ICB Efficiencies	18,476	9,466	5,521	4,968	10,630	18,140	9,380	76,581
ICB Efficiencies - By scheme								
Demand Management (referrals)	0	0	0	0	0	0	0	0
Pathway transformation	6,500	3,288	1,050	2,562	4,049	7,233	2,397	27,079
All-age Continuing Care - Commissioning/Procurement	0	3,356	2,287	263	1,698	6,611	4,461	18,676
Mental Health - reducing out of area placements	1,000	543	908	0	2,259	0	0	4,710
Primary Care Prescribing	0	1,979	1,276	1,581	1,417	2,488	1,596	10,337
Transforming community-based primary care	0	200	0	0	0	150	0	350
GP IT transformation	0	0	0	0	0	46	121	167
Non-NHS Procurement	0	0	0	0	0	0	805	805
Estates / NHS property rationalisation	0	0	0	0	0	116	0	116
Establishment reviews	7,992	0	0	0	0	0	0	7,992
Other	0	100	0	270	0	1,495	0	1,865
Unidentified	2,984	0	0	292	1,207	0	0	4,483
Total ICB Efficiencies - by scheme	18,476	9,466	5,521	4,968	10,630	18,139	9,380	76,580

Forecast ICS Provider Efficiencies - by Category - 2023/24 (M11)						
Provider Efficiencies	Harrogate & District NHS FT	Hull University Teaching Hosp NHS	Humber Teaching NHS FT	Northern Lincolnshire & Goole NHS FT	York & Scarborough NHS FT	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Pay Efficiencies						
Agency - price cap compliance	0	0	0	0	0	0
Agency - eliminate off framework supply	150	1,000	0	948	19	2,117
Bank - collaborative working	0	0	0	0	0	0
Bank - rate review	0	0	0	0	102	102
Establishment reviews	5,128	7,133	4,648	15,325	20,850	53,084
E-Rostering	0	303	0	180	41	524
Corporate services transformation - pay	102	0	146	2,511	34	2,793
Digital transformation	2	0	0	0	0	2
Service re-design - pay	1,135	30,551	651	1,078	0	33,415
Other - pay (balance - please provide description)	0	0	0	1,457	1,349	2,806
Unidentified - pay (please provide commentary)	0	0	0	0	0	0
Total Pay Efficiencies	6,517	38,987	5,445	21,500	22,395	94,844
Non-pay Efficiencies						
Medicines optimisation	234	35	0	183	1,866	2,318
Procurement (excl drugs) -non-clinical	299	4,383	199	3,078	1,742	9,701
Procurement (excl drugs) - medical dev & clinical cons	288	3,491	0	1,270	8,876	13,925
Estates and Premises transformation	449	137	1,424	1,138	1,281	4,429
Fleet optimisation	0	0	0	0	0	0
Pathology & imaging networks	100	344	0	0	402	846
Corporate services transformation - non-pay	739	140	555	375	119	1,928
Digital transformation	25	103	0	22	278	428
Service re-design - Non-pay	1,678	0	1,321	999	0	3,998
Other - Non-pay (balance - please provide description)	71	0	900	(0)	105	1,076
Unidentified - non-pay (please provide commentary)	7,389	0	0	0	0	7,389
Total Non-pay Efficiencies	11,271	8,633	4,399	7,065	14,669	46,037
Income Efficiencies						
Income Private Patient	0	0	0	119	511	630
Income Overseas Visitors	0	0	0	505	20	525
Income Non-Patient Care	2,957	2,648	1,500	1,156	274	8,535
Income Other (balance - please provide description)	87	0	272	206	45	610
Total Income Efficiencies	3,044	2,648	1,772	1,986	850	10,300
Total Provider Efficiencies	20,832	50,268	11,616	30,551	37,914	151,181

Appendix 2

ICS Provider Expenditure Against CDEL - 2023/24 (M11)						
Scheme Category	Harrogate & District NHS FT	Hull University Teaching Hosp NHS	Humber Teaching NHS FT	Northern Lincolnshire & Goole NHS FT	York & Scarborough NHS FT	Total
	£'000	£'000	£'000	£'000	£'000	£'000
Backlog maintenance - Moderate and low risk	4,826	0	2,976	0	0	7,802
Backlog maintenance - Significant and high risk (CIR)	1,117	8,616	0	11,271	5,014	26,018
Equipment - clinical diagnostics	1,075	0	0	1,128	0	2,203
Equipment - clinical Other	0	8,090	0	2,495	579	11,164
Equipment - non clinical	0	485	650	0	0	1,135
Equipment - clinical theatres & critical care	0	0	0	318	0	318
IT - Clinical Systems	0	0	1,930	0	0	1,930
IT - Cybersecurity, Infrastructure/Networking	0	1,674	0	1,676	0	3,350
IT - Hardware	0	0	700	0	1,600	2,300
IT - Other	0	0	0	263	0	263
New Build - A&E/AAU	0	0	0	0	5,000	5,000
New Build - Diagnostics	0	0	0	0	3,000	3,000
New Build - Multiple areas/ Other	0	5,810	0	0	3,961	9,771
New Build - Theatres & critical care	0	68	0	0	0	68
Plant and machinery	1,485	1,324	0	0	0	2,809
Routine maintenance (non-backlog) - Land, Buildings	2,817	1,985	310	24	0	5,136
Other - including investment property	0	0	2,439	0	0	2,439
Total Charge against CDEL	11,320	28,052	9,005	17,175	19,154	84,706
Impact of IFRS 16	1,118	1,797	5,177	782	7,255	16,129
Screening - Diagnostics Programme	54	510	0	115	218	897
Community Diagnostic Centres	1,049	1,282	0	23,446	15,468	41,245
Critical Cybersecurity Infrastructure Risks	30	124	0	0	55	209
Diagnostic Digital Capability Programme	456	444	0	2,109	0	3,009
Diagnostic Imaging Capacity	0	0	0	0	532	532
Endoscopy - Increasing Capacity	409	602	0	0	31	1,042
Elective Recovery/Targeted Investment Fund	2,000	17,712	0	0	2,467	22,179
Front Line Digitisation	4,665	1,823	3,334	2,251	1,621	13,694
Mental Health	0	0	477	0	0	477
STP Wave 4	0	0	0	2,015	8,850	10,865
UEC Capacity	0	2,770	0	0	0	2,770
Digital Technology - Other	10	0	0	0	7	17
LED Lighting	0	174	0	612	451	1,237
PFI capital charges (e.g. residual interest)	0	1,444	0	0	0	1,444
Other	0	1,602	0	0	364	1,966
Expenditure against Additional Schemes	8,673	28,487	3,811	30,548	30,064	101,583
Total Revised Forecast Outturn	21,111	58,336	17,993	48,505	56,473	202,418
Total Revised Capital Plan	22,200	55,364	11,439	47,771	54,296	191,070
Variance (Due to IFRS16)	1,089	(2,972)	(6,554)	(734)	(2,177)	(11,348)

ICS Provider Expenditure Against Additional Schemes - 2023/24 (M11)							
Capital Scheme	Scheme Category	Harrogate & District	Hull University	Humber Teaching	Northern Lincolnshire & Goole	York & Scarborough	Total
		NHS FT	Teaching	NHS FT		NHS FT	
		£'000	£'000	£'000	£'000	£'000	£'000
Estates Maintenance (Backlog)	Backlog Maintenance - Moderate and low risk	881	0	0	0	0	881
RAAC	Backlog Maintenance - Significant and high risk (CIR)	1,965	0	0	0	0	1,965
Salix	Backlog Maintenance - Moderate and low risk	1,748	0	0	0	0	1,748
Wensleydale/ digital/ Medical Ho B Ward	Routine maintenance (non-backlog) - Land, Buildings	1,208	0	0	0	0	1,208
Reconfiguration	Equipment - clinical diagnostics	1,075	0	0	0	0	1,075
Asceptics Refurbishment	Plant and machinery	1,710	0	0	0	0	1,710
Contingency	Routine maintenance (non-backlog) - Land, Buildings	340	0	0	0	0	340
HIF	Backlog Maintenance - Moderate and low risk	623	0	0	0	0	623
ED2 reconfiguration	Routine maintenance (non-backlog) - Land, Buildings	1,151	0	0	0	0	1,151
Carry fwd from 22/23	Backlog Maintenance - Moderate and low risk	925	0	0	0	0	925
Equipment replacement	Equipment - clinical Other	(306)	575	0	2,495	0	2,764
IM&T	IT - Cybersecurity, Infrastructure/Networking	0	1,674	0	0	0	1,674
Buildings, Maintenance & Compliance	Backlog Maintenance - Significant and high risk (CIR)	0	5,720	0	0	0	5,720
Planned Equipment Replacements	Equipment - clinical Other	0	7,297	0	0	0	7,297
Non Medical Equipment	Equipment - non clinical	0	485	0	0	0	485
Spend to Save	Plant and machinery	0	151	0	0	0	151
Strategic Other (Rev/Cap)	Plant and machinery	0	1,173	0	0	0	1,173
Feasibility	New Build - Multiple areas/ Other	0	25	0	0	0	25
(net Slippage)	Equipment - clinical Other	0	227	0	0	0	227
Digestive Suite	New Build - Multiple areas/ Other	0	5,785	0	0	0	5,785
Theatres - c/f 22/23	Backlog Maintenance - Significant and high risk (CIR)	0	4,872	0	0	0	4,872
Other Commitments c/f 22/23	Equipment - clinical Other	0	0	0	0	0	0
Day Surgery Phase 2 internal	New Build - Theatres & critical care	0	68	0	0	0	68
Clinical Environmental Risk	Backlog Maintenance - Moderate and low risk	0	0	150	0	0	150
Maintenance	Backlog Maintenance - Moderate and low risk	0	0	350	0	0	350
Statutory Compliance	Backlog Maintenance - Moderate and low risk	0	0	250	0	0	250
Granville Court - Refurbishment	Other - including investment property	0	0	100	0	0	100
PLACE	Routine maintenance (non-backlog) - Land, Buildings	0	0	200	0	0	200
CQC Seclusion Compliance	Other - including investment property	0	0	1,000	0	0	1,000
Humber Centre	Other - including investment property	0	0	500	0	0	500
IT	IT - Hardware	0	0	700	0	0	700
Pine View	Other - including investment property	0	0	614	0	0	614
Other	Backlog Maintenance - Moderate and low risk	0	0	696	0	0	696
Care record - yokshire and Humber	IT - Clinical Systems	0	0	2,907	0	0	2,907
staff attack alarms	Equipment - non clinical	0	0	650	0	0	650
Backlog fees	Backlog Maintenance - Moderate and low risk	0	0	200	0	0	200
Inpatient bathrooms	Backlog Maintenance - Moderate and low risk	0	0	230	0	0	230
Inpatient accommodation ventilation	Backlog Maintenance - Moderate and low risk	0	0	173	0	0	173
Decarbonisation	Routine maintenance (non-backlog) - Land, Buildings	0	0	110	0	0	110
PICU Extra Care	Other - including investment property	0	0	80	0	0	80
Townend Air Conditioning	Backlog Maintenance - Moderate and low risk	0	0	50	0	0	50
Inpatient Redesign	Other - including investment property	0	0	45	0	0	45
DPOW & SGH AAU	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	6,855	0	6,855
Theatres	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	173	0	173
Feasibility Fees	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	100	0	100
Ward/Clinical Refurbishment	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	163	0	163
BLM	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	692	0	692
SGH Fire Alarm	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	2,200	0	2,200
IM&T Infrastructure	IT - Cybersecurity, Infrastructure/Networking	0	0	0	1,676	0	1,676
Blood fridge relocation	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	1,088	0	1,088
Maternity IT system	IT - Other	0	0	0	263	0	263
Maternity Triage DPOW	Routine maintenance (non-backlog) - Land, Buildings	0	0	0	24	0	24
Audiology Booths	Equipment - clinical diagnostics	0	0	0	0	0	0
Surgical Hub Goole	Equipment - clinical theatres & critical care	0	0	0	318	0	318
SGH MRI	Equipment - clinical diagnostics	0	0	0	1,128	0	1,128
SGH UECC - Internal funding	New Build - A&E/AAU	0	0	0	0	5,000	5,000
York - VIU/ PACU	New Build - Diagnostics	0	0	0	0	3,000	3,000
DIS Plan	IT - Hardware	0	0	0	0	1,600	1,600
Backlog Maintenance & Ward Refurbs	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	0	5,043	5,043
Medical equipment	Equipment - clinical Other	0	0	0	0	566	566
Decarbonisation York	New Build - Multiple areas/ Other	0	0	0	0	394	394
Other schemes < £500k	New Build - Multiple areas/ Other	0	0	0	0	3,551	3,551
Prioritisation process	New Build - Multiple areas/ Other	0	0	0	0	0	0
Total Charge against CDEL		11,320	28,052	9,005	17,175	19,154	84,706

ICS Provider Expenditure Against Additional Schemes - 2023/24 (M11)

Capital Scheme	Scheme Category	Harrogate & District	Hull University	Humber Teaching	Northern Lincolnshire & Goole	York & Scarborough	Total
		NHS FT	Teaching	NHS FT	& Goole	NHS FT	
		£'000	£'000	£'000	£'000	£'000	£'000
TIF2	New Build - Theatres & critical care	2,000	0	0	0	0	2,000
EPR	IT - Other	4,665	165	0	0	0	4,830
Community Diagnostic Centre	Equipment - clinical diagnostics	1,049	0	0	0	364	1,413
Bowel Screening	Equipment - clinical diagnostics	54	0	0	0	0	54
Cybersecurity	IT - Cybersecurity, Infrastructure/Networking	30	0	0	0	0	30
Ediagnostics	Equipment - clinical diagnostics	456	0	0	0	0	456
Day Surgery PDC Phase 2	New Build - Theatres & critical care	0	17,712	0	0	0	17,712
Digital Diagnostics	IT - Other	0	359	0	0	0	359
Frontline Dig	IT - Other	0	1,743	0	0	0	1,743
UTC	New Build - Multiple areas/ Other	0	2,770	0	0	0	2,770
LED	Plant and machinery	0	174	0	612	0	786
IRT 4	Equipment - clinical diagnostics	0	1,552	0	0	0	1,552
Public Health Equipment	Equipment - clinical diagnostics	0	510	0	0	0	510
Endoscopy Equipment	Equipment - clinical Other	0	602	0	0	0	602
CDC Ophthalmology	Equipment - clinical Other	0	782	0	0	0	782
CDC	Equipment - clinical Other	0	500	0	0	0	500
Cyber Security	IT - Cybersecurity, Infrastructure/Networking	0	124	0	60	0	184
Hep C equipment	Equipment - clinical Other	0	50	0	0	0	50
YHCR - Any to Any	IT - Other	0	0	0	0	0	0
EPR	IT - Clinical Systems	0	0	1,268	2,191	0	3,459
Inpatient accommodation ventilation	Backlog Maintenance - Moderate and low risk	0	0	477	0	0	477
IT Network Upgrades	IT - Hardware	0	0	554	0	0	554
EPR - Messaging Add on	IT - Clinical Systems	0	0	212	0	0	212
ConCR	IT - Clinical Systems	0	0	1,300	0	0	1,300
DPOW & SGH AAU	Backlog Maintenance - Significant and high risk (CIR)	0	0	0	2,015	0	2,015
Path LIMS	IT - Clinical Systems	0	0	0	1,600	0	1,600
iRefer/Home reporting/Image sharing	IT - Clinical Systems	0	0	0	509	0	509
North Lincs CDC	New Build - Diagnostics	0	0	0	8,421	0	8,421
North Lincs CDC	Equipment - clinical diagnostics	0	0	0	5,067	0	5,067
North East Lincs CDC	Routine maintenance (non-backlog) - Land, Buildings	0	0	0	9,012	0	9,012
North East Lincs CDC	Equipment - clinical diagnostics	0	0	0	946	0	946
Colposcopy	Equipment - clinical diagnostics	0	0	0	46	0	46
Cepheid machines x2	Equipment - clinical diagnostics	0	0	0	69	0	69
SGH UECC - PDC funded	New Build - A&E/AAU	0	0	0	0	8,850	8,850
Diagnostic -NEY-1 / NEY27-7 / NEY27-10	Equipment - clinical diagnostics	0	0	0	0	532	532
York - VIU/ PACU	New Build - Diagnostics	0	0	0	0	2,467	2,467
Electronic Patient Record	IT - Clinical Systems	0	0	0	0	1,621	1,621
CDC - Scarborough Hub	New Build - Diagnostics	0	0	0	0	12,392	12,392
CDC - Selby & Askham Bar	New Build - Diagnostics	0	0	0	0	3,076	3,076
JAG Accredited Space	New Build - Land, buildings and dwellings	0	0	0	0	31	31
Diabetic Eye Screening - Retinal Camera	Equipment - clinical diagnostics	0	0	0	0	32	32
Diabetic Eye Screening - Equipment	Equipment - clinical diagnostics	0	0	0	0	33	33
Cyber Improvement Programme (CIP)	IT - Cybersecurity, Infrastructure/Networking	0	0	0	0	55	55
Increase LED Coverage at various sites	New Build - Land, buildings and dwellings	0	0	0	0	451	451
Increase Capacity in Colposcopy Clinics	Equipment - clinical diagnostics	409	0	0	0	153	562
Plan Funding	IT - Cybersecurity, Infrastructure/Networking	10	0	0	0	7	17
PFI capital charges (e.g. residual interest)		0	1,444	0	0	0	1,444
Expenditure against Additional Schemes		8,673	28,487	3,811	30,548	30,064	101,583