

This CAF profile, applied to the health and care CAF overlay, represents the minimum expected achievement for relevant organisations in 2024-25.

Applies to: NHS trusts and foundation trusts
 Integrated care boards
 Arm's length bodies of the Department of Health and Social Care (excluding those that operate critical national infrastructure)
 Commissioning support units within NHS England

Health and care CAF element		Profile		
Principle	Outcome	NA	PA	A
Objective A - Managing risk				
Governance	A1.a Board direction			A
	A1.b Roles and responsibilities			A
	A1.c Decision-making			A
Risk management	A2.a Risk management process		PA	
	A2.b Assurance			A
Asset management	A3.a Asset management			A
Supply chain	A4.a Supply chain		PA	

NA	Not Achieved
PA	Partially Achieved
A	Achieved

Health and care CAF element		Profile		
Principle	Outcome	NA	PA	A
Objective B - Protecting against cyber attack and data breaches				
Policies, processes and procedures	B1.a Policy, process and procedure development			PA
	B1.b Policy, process and procedure implementation			PA
Identity and access control	B2.a Identity verification, authentication and authorisation			PA
	B2.b Device management	NA		
	B2.c Privileged user management	NA		
	B2.d Identity and access management (IAM)			PA
Data security	B3.a Understanding data			PA
	B3.b Data in transit			PA
	B3.c Stored data			PA
	B3.d Mobile data			PA
	B3.e Media / equipment sanitisation			PA
System security	B4.a Secure by design			PA
	B4.b Secure configuration			PA
	B4.c Secure management			PA
	B4.d Vulnerability management			PA
Resilient networks and systems	B5.a Resilience preparation			PA
	B5.b Design for resilience	NA		
	B5.c Backups			A
Staff awareness and training	B6.a Culture			PA
	B6.b Training			A

Health and care CAF element		Profile		
Principle	Outcome	NA	PA	A
Objective C - Detecting cyber security events				
Security monitoring	C1.a Monitoring coverage			PA
	C1.b Securing logs			PA
	C1.c Generating alerts			PA
	C1.d Identifying security incidents			PA
	C1.e Monitoring tools and skills	NA		
Proactive security event discovery	C2.a System abnormalities for attack detection	NA		
	C2.b Proactive attack discovery	NA		

Health and care CAF element		Profile		
Principle	Outcome	NA	PA	A
Objective D - Minimising the impact of incidents				
Response and recovery planning	D1.a Response plan			PA
	D1.b Response and recovery capability			A
	D1.c Testing and exercising			A
Lessons learned	D2.a Incident root cause analysis			A
	D2.b Using incidents and near misses to drive improvements			A

Health and care CAF element		Profile			
Principle	Outcome	NA	PA	A	
Objective E - Using and sharing information appropriately					
Transparency	E1.a Privacy and transparency information			PA	
	Upholding the rights of individuals	E2.a Managing data subject rights under UK GDPR			A
		E2.b Consent			A
Using and sharing information	E2.c National data opt-out policy			A	
				A	
	E3.a Using and sharing information for direct care			A	
Records management	E3.b Using and sharing information for other purposes			A	
	E4.a Managing records			A	
	E4.b Clinical coding			A	

Area	Indicator	Target	Actual	Comments	Source
Area 1	Indicator 1.1	Target 1.1.1	Partially Achieved
	Indicator 1.2	Target 1.2.1	Not Achieved
	Indicator 1.3	Target 1.3.1	Not Achieved
	Indicator 1.4	Target 1.4.1	Partially Achieved
Area 2	Indicator 2.1	Target 2.1.1	Partially Achieved
	Indicator 2.2	Target 2.2.1	Partially Achieved
	Indicator 2.3	Target 2.3.1	Partially Achieved
	Indicator 2.4	Target 2.4.1	Partially Achieved
	Indicator 2.5	Target 2.5.1	Partially Achieved
Area 3	Indicator 3.1	Target 3.1.1	Partially Achieved
	Indicator 3.2	Target 3.2.1	Partially Achieved

Strategic Objective	Key Performance Indicator	Target	Actual	Status	Comments	Detailed Description of Activities		Responsible Officer	Start Date	End Date	Budget	Actual Spend	Notes
						Activity 1	Activity 2						
Strategic Objective 1	KPI 1.1	Target 1.1.1	Actual 1.1.1	Partially Achieved	Comments 1.1.1	Activity 1.1.1.1	Activity 1.1.1.2	Responsible Officer 1.1.1	Start Date 1.1.1	End Date 1.1.1	Budget 1.1.1	Actual Spend 1.1.1	Notes 1.1.1
				Partially Achieved		Activity 1.1.1.3	Activity 1.1.1.4						
				Partially Achieved		Activity 1.1.1.5	Activity 1.1.1.6						
Strategic Objective 2	KPI 2.1	Target 2.1.1	Actual 2.1.1	Not Achieved	Comments 2.1.1	Activity 2.1.1.1	Activity 2.1.1.2	Responsible Officer 2.1.1	Start Date 2.1.1	End Date 2.1.1	Budget 2.1.1	Actual Spend 2.1.1	Notes 2.1.1
				Achieved		Activity 2.1.1.3	Activity 2.1.1.4						
				Partially Achieved		Activity 2.1.1.5	Activity 2.1.1.6						
Strategic Objective 3	KPI 3.1	Target 3.1.1	Actual 3.1.1	Partially Achieved	Comments 3.1.1	Activity 3.1.1.1	Activity 3.1.1.2	Responsible Officer 3.1.1	Start Date 3.1.1	End Date 3.1.1	Budget 3.1.1	Actual Spend 3.1.1	Notes 3.1.1
				Achieved		Activity 3.1.1.3	Activity 3.1.1.4						
				Partially Achieved		Activity 3.1.1.5	Activity 3.1.1.6						
Strategic Objective 4	KPI 4.1	Target 4.1.1	Actual 4.1.1	Partially Achieved	Comments 4.1.1	Activity 4.1.1.1	Activity 4.1.1.2	Responsible Officer 4.1.1	Start Date 4.1.1	End Date 4.1.1	Budget 4.1.1	Actual Spend 4.1.1	Notes 4.1.1
				Partially Achieved		Activity 4.1.1.3	Activity 4.1.1.4						
				Partially Achieved		Activity 4.1.1.5	Activity 4.1.1.6						
Strategic Objective 5	KPI 5.1	Target 5.1.1	Actual 5.1.1	Partially Achieved	Comments 5.1.1	Activity 5.1.1.1	Activity 5.1.1.2	Responsible Officer 5.1.1	Start Date 5.1.1	End Date 5.1.1	Budget 5.1.1	Actual Spend 5.1.1	Notes 5.1.1
				Partially Achieved		Activity 5.1.1.3	Activity 5.1.1.4						
				Partially Achieved		Activity 5.1.1.5	Activity 5.1.1.6						
Strategic Objective 6	KPI 6.1	Target 6.1.1	Actual 6.1.1	Not Achieved	Comments 6.1.1	Activity 6.1.1.1	Activity 6.1.1.2	Responsible Officer 6.1.1	Start Date 6.1.1	End Date 6.1.1	Budget 6.1.1	Actual Spend 6.1.1	Notes 6.1.1
				Partially Achieved		Activity 6.1.1.3	Activity 6.1.1.4						
				Partially Achieved		Activity 6.1.1.5	Activity 6.1.1.6						

Strategic Area	Strategic Objective	Key Performance Indicator	Target	Actual	Notes	Comments
Strategic Area 1	Strategic Objective 1.1	KPI 1.1.1	Target 1.1.1	Not Achieved		
				Not Achieved		
Strategic Area 2	Strategic Objective 2.1	KPI 2.1.1	Target 2.1.1	Partly Achieved		
				Achieved		
				Achieved		
				Achieved		
				Achieved		
Strategic Area 3	Strategic Objective 3.1	KPI 3.1.1	Target 3.1.1	Partly Achieved		
				Achieved		
	Strategic Objective 3.2	KPI 3.2.1	Target 3.2.1	Achieved		
				Achieved		
				Achieved		
	Strategic Objective 3.3	KPI 3.3.1	Target 3.3.1	Achieved		
				Achieved		
				Achieved		

Structure of the CAF-aligned DSPT

The CAF-aligned DSPT is organised into:

Objectives	- overarching goals of your organisation's cyber security and IG activities
Principles	- concepts which underpin your organisation's cyber security and IG 'objectives'
Outcomes	- contributing outcomes, key markers against which your organisation will judge the effectiveness of your cyber security and IG practices. These are the key element of the toolkit which you will be prompted to record results against. The combination of all recorded 'contributing outcome' results will determine whether your organisation has achieved 'standards met'
Expected achievement levels	- each outcome has a minimum achievement level set for the standard to be met. These expected achievement levels have been determined by a mapping exercise conducted by NHSE and DHSC which ensures that standards are no less stringent than the previous DSPT for 24/25. The CAF-aligned DSPT sets an expected achievement level of 'Not achieved', 'Partially achieved' or 'Achieved' for each contributing outcome. It is expected that organisations will assess their achievement of the standard for all outcomes. Do not take 'Not achieved' as an outcome which can be ignored.
Indicators of Good Practice	- concrete examples of procedures and processes which help inform your organisation's decision about whether it has achieved a contributing outcome. For each contributing outcome, you will be shown indicators of good practice and the option to select 'Not achieved', 'Partially achieved' or 'Achieved'.

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